

# AU SMALL FINANCE BANK LIMITED

## Website Content Archival Policy

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## 1. OBJECTIVES & SCOPE

Regulation 30(8), 46(2)(oa)(ii) & 51(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“**Listing Regulations**”) requires that a listed entity shall disclose on its website all such events or information which has been disclosed to stock exchange(s) under these regulations, and such disclosures shall be hosted on the website of the listed entity for a minimum period of five years and thereafter as per the archival policy of the listed entity, as disclosed on its website.

In line with the above, the Board of Directors (“**Board**”) of AU Small Finance Bank Limited (“**Bank**”) has formulated this Policy to comply with requirement of above provisions of Listing Regulations.

This Policy covers archival of all events or information which have been disclosed to the stock exchange(s) and hosted on the website of the Bank in accordance with the above-mentioned Regulations of the Listing Regulations. Other contents displayed / hosted on the website shall be out of purview of the Policy and can be archived / deleted as per the requirement of the content.

## 2. HOSTING AND ARCHIVAL OF INFORMATION

- A. The information disclosed to the stock exchanges under Regulation 30, 46(2)(oa)(i) & 51 of the Listing Regulations shall be uploaded on the website of the Bank [www.aubank.in](http://www.aubank.in) under the section pertaining to ‘Investors’. The disclosures will be provided to IT Department by Secretarial Department post submission to Stock Exchanges for upload and the same shall be updated by IT Department within stipulated time as per Listing Regulations.
- B. The website of the Bank shall be reviewed by Secretarial Department on regular intervals for ensuring that all the above-mentioned disclosures are available on the website of the Bank as required.
- C. All the above disclosures will be hosted on the website of the Bank for a period of 5 years from the date of first hosting of such information.
- D. The above disclosures shall thereafter be moved/transferred in the Archive section for a minimum period of 2 years by the IT Department as recommended by Secretarial Department.
- E. After the expiry of said time, the disclosed information may be removed from the archive folder by the IT Department.

## 3. DISCLOSURES

This policy shall be hosted on the website of the Bank [www.aubank.in](http://www.aubank.in).

## 4. POLICY REVIEW AND UPDATES

The policy shall be reviewed annually and as and when required for incorporating regulatory/other updates and changes, if any.

## 5. POLICY AMENDMENT AND IMPLEMENTATION

Policy Owners	Role
Secretarial Department & IT Department	Responsible for review and implementation of Policy.

## 6. POLICY AUTHORISATION

This Policy has been approved by the Board of Directors of AU Small Finance Bank Limited

Proposed By:	
Name	Signature
Mr. Pankaj Kumar Deputy Vice President Secretarial	
Reviewed by	
Mr. Manmohan Parnami Company Secretary	

Approving Authority	Board of Directors
Date of Approval/ Review	
Acknowledgement of Receiving	