Ref. No.: AUSFB/SEC/2019-20/172
Date: 27th July, 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. **Scrip code: AUBANK**	Department of Corporate Services, BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. **Scrip Code: 540611**

SUB: VOTING RESULTS & SCRUTINIZER'S REPORT OF 24TH ANNUAL GENERAL MEETING ("AGM") OF AU SMALL FINANCE BANK LIMITED ("THE BANK") HELD ON 26TH JULY, 2019.

REF: REGULATION 44(3) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS").

Dear Sir/Madam,

Pursuant to **Regulation 44(3)** of Listing Regulations, we hereby submit voting results of 24th Annual General Meeting ("**AGM**") of the Shareholders of the Bank held on Friday, 26th July, 2019 at Suryavanshi Mahal- Ground Floor, ITC Rajputana, Palace Road, Jaipur- 303006, Rajasthan. The meeting was commenced at 04:00 P.M. and concluded at 06:00 P.M.

We hereby submit the below mentioned disclosure(s):

1. Voting results in the format prescribed under Regulation 44(3) of the Listing Regulations; and
2. Scrutinizer's Report on remote e-voting & Polling process conducted at the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20(4) of the Companies (Management and Administration) Rules, 2014, as amended.

We hereby inform that resolutions (both Ordinary and Special) have been passed by the Shareholders with requisite majority as mandated under Companies Act, 2013 and other applicable laws.

Kindly take the same on record and bring it to notice of all concerned.

Thanking You.
Yours sincerely,
For AU SMALL FINANCE BANK LIMITED

AU Small Finance Bank Limited JAIPUR

Manmohan Parnami
Company Secretary and Compliance Officer
Enclosed: As above

AU SMALL FINANCE BANK

AU Small Finance Bank Limited- AGM Dated 26th July, 2019

Date of the AGM	26th July, 2019
Total number of shareholders on record date	82062
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	 4 111
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	 N.A. N.A.

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**
info@aubank.in | www.aubank.in

AU Small Finance Bank Limited JAIPUR

AU SMALL FINANCE BANK

Resolution required: (Ordinary/ Special)			***Ordinary (1) To consider and adopt the Audited Financial Statements of the Bank for the Financial Year ended 31st March 2019 and the reports of the Board of Directors and the Auditors thereon.**					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	76,970,785	68.4582%	76,970,785	-	100.00000%	0.00000%
	Poll			0.0000%	-	-	-	-
	Postal Ballot (if applicable)			0.0000%	-	-	-	-
	Total	112,434,801	76,970,785	68.4582%	76,970,785	-	100.00000%	0.00000%
Public Non Institutions	E-Voting	85,845,244	58,731,271	68.4153%	58,731,143	128	99.99978%	0.00022%
	Poll	-	35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,766,680	68.4565%	58,766,552	128	99.99978%	0.00022%
Total		**292,398,437**	**216,949,263**	**74.1965%**	**216,949,135**	**128**	**99.99994%**	**0.00006%**

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**

info@aubank.in | www.aubank.in

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			*Ordinary (2) To declare dividend of Rs. 0.75 per equity share of Rs. 10 each for the Financial Year 2018-19.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes – Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594			-		
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	76,970,785	68.4582%	76,970,785	-	100.00000%	0.00000%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	76,970,785	68.4582%	76,970,785	-	100.00000%	0.00000%
Public Non Institutions	E-Voting	85,845,244	58,782,133	68.4745%	58,782,041	92	99.99984%	0.00016%
	Poll	-	35,409	0.0412%	35,368	41	99.88421%	0.11579%
	Postal Ballot (if applicable)	-	-	-	-	-	-	-
	Total	85,845,244	58,817,542	68.5158%	58,817,409	133	99.99977%	0.00023%
Total		**292,398,437**	**217,000,125**	**74.2138%**	**216,999,992**	**133**	**99.99994%**	**0.00006%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			*Ordinary (3) To appoint a Director in place of Mr. Uttam Tibrewal (DIN: 01024940), who retires by rotation and being eligible, has offered himself for re-appointment.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	76,970,785	68.4582%	76,799,217	171568	99.77710%	0.22290%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	76,970,785	68.4582%	76,799,217	171,568	99.77710%	0.22290%
Public Non Institutions	E-Voting	85,845,244	58,782,133	68.4745%	58,781,882	251	99.99957%	0.00043%
	Poll	-	35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,542	68.5158%	58,817,291	251	99.99957%	0.00043%
Total		**292,398,437**	**217,000,125**	**74.2138%**	**216,828,306**	**171,819**	**99.92082%**	**0.07918%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			*Ordinary (4) To ratify the appointment of M/S S.R. BATLIBOI & ASSOCIATES LLP, Chartered Accountants (Registration No. 101049W/E300004) as the Statutory Auditors of the Bank.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	76,970,785	68.4582%	76,970,785	0	100.00000%	0.00000%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-		-	-
	Total	112,434,801	76,970,785	68.4582%	76,970,785	-	100.00000%	0.00000%
Public Non Institutions	E-Voting	85,845,244	58,782,133	68.4745%	58,781,625	508	99.99914%	0.00086%
	Poll	-	35,409	0.0412%	35,409	0	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	0	-	-
	Total	85,845,244	58,817,542	68.5158%	58,817,034	508	99.99914%	0.00086%
Total		**292,398,437**	**217,000,125**	**74.2138%**	**216,999,617**	**508**	**99.99977%**	**0.00023%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			*Ordinary (5) To appoint Mr. Narendra Ostawal (DIN: 06530414) as Director (Non-Executive).					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	66,671,864	59.2982%	41,009,755	25,662,109	61.50984%	38.49016%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	66,671,864	59.2982%	41,009,755	25,662,109	61.50984%	38.49016%
Public Non Institutions	E-Voting	85,845,244	37,811,339	44.0459%	37,811,038	301	99.99920%	0.00080%
	Poll	-	35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	37,846,748	44.0872%	37,846,447	301	99.99920%	0.00080%
Total		**292,398,437**	**185,730,410**	**63.5196%**	**160,068,000**	**25,662,410**	**86.18298%**	**13.81702%**

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited
info@aubank.in | www.aubank.in

AU Small Finance Bank Limited JAIPUR

AU SMALL FINANCE BANK

Resolution required: (Ordinary/ Special)			***Ordinary (6) To approve fees or compensation (profit linked commission) payable to Non-Executive Directors, including Independent Directors.**					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting		66,671,864	59.2982%	64,243,214	2,428,650	96.35731%	3.64269%
	Poll	112,434,801	-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	66,671,864	59.2982%	64,243,214	2,428,650	96.35731%	3.64269%
Public Non Institutions	E-Voting	85,845,244	58,782,092	68.4745%	58,781,498	594	99.99899%	0.00101%
	Poll	-	35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,501	68.5157%	58,816,907	594	99.99899%	0.00101%
Total		**292,398,437**	**206,701,163**	**70.6916%**	**204,271,919**	**2,429,244**	**98.82476%**	**1.17524%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			*Ordinary (7) To revise the compensation (Profit Linked Commission) payable to Mr. Mannil Venugopalan (DIN:00255575), as the Non-Executive Independent (Part-Time) Chairman.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	66,671,864	59.2982%	64,243,214	2,428,650	96.35731%	3.64269%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	66,671,864	59.2982%	64,243,214	2,428,650	96.35731%	3.64269%
Public Non Institutions	E-Voting	85,845,244	58,782,092	68.4745%	58,781,467	625	99.99894%	0.00106%
	Poll		35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,501	68.5157%	58,816,876	625	99.99894%	0.00106%
Total		**292,398,437**	**206,701,163**	**70.6916%**	**204,271,888**	**2,429,275**	**98.82474%**	**1.17526%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			*Ordinary (8) To revise the remuneration payable to Mr. Sanjay Agarwal (DIN 00009526), as the Managing Director & CEO.					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes – Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	66,671,864	59.2982%	66,671,864	-	100.00000%	0.00000%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	66,671,864	59.2982%	66,671,864	-	100.00000%	0.00000%
Public Non Institutions	E-Voting	85,845,244	58,782,133	68.4745%	58,781,620	513	99.99913%	0.00087%
	Poll	-	35,409	0.0412%	35,368	41	99.88421%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,542	68.5158%	58,816,988	554	99.99906%	0.00087%
Total		**292,398,437**	**206,701,204**	**70.6916%**	**206,700,650**	**554**	**99.99973%**	**0.00025%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			* Ordinary (9) To Revise the remuneration payable to Mr. Uttam Tibrewal (DIN: 01024940), as the Whole Time Director.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	66,671,864	59.2982%	66,671,864	0	100.00000%	0.00000%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	66,671,864	59.2982%	66,671,864	-	100.00000%	0.00000%
Public Non Institutions	E-Voting	85,845,244	58,751,230	68.4385%	58,730,706	20,524	99.96507%	0.03493%
	Poll	-	35,409	0.0412%	35,368	41	99.88421%	0.11579%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,786,639	68.4798%	58,766,074	20,565	99.96502%	0.03498%
Total		**292,398,437**	**206,670,301**	**70.6811%**	**206,649,736**	**20,565**	**99.99005%**	**0.00995%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			***Special (10) To authorise Board of Directors to borrow money in excess of paid up capital, free reserves and securities premium of Bank u/s 180(1)(c) of the Companies Act, 2013.**					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes – Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	72,640,344	64.6066%	72,330,364	309,980	99.57327%	0.42673%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	72,640,344	64.6066%	72,330,364	309,980	99.57327%	0.42673%
Public Non Institutions	E-Voting	85,845,244	58,781,933	68.4743%	58,781,408	525	99.99911%	0.00089%
	Poll		35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,342	68.5156%	58,816,817	525	99.99911%	0.00089%
Total		**292,398,437**	**212,669,484**	**72.7328%**	**212,358,979**	**310,505**	**99.85400%**	**0.14600%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)			*Special (11) To issue securities/bonds/other permissible instruments in one or more tranches.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392					
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)	-	-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	76,970,785	68.4582%	76,660,805	309,980	99.59728%	0.40272%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	76,970,785	68.4582%	76,660,805	309,980	99.59728%	0.40272%
Public Non Institutions	E-Voting	85,845,244	58,782,133	68.4745%	58,781,926	207	99.99965%	0.00035%
	Poll	-	35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,542	68.5158%	58,817,335	207	99.99965%	0.00035%
Total		**292,398,437**	**217,000,125**	**74.2138%**	**216,689,938**	**310,187**	**99.85706%**	**0.14294%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)	*Special (12) To alter Article of Association of the Bank.							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes – Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392	-				
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	-	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	76,970,785	68.4582%	76,970,785	-	100.00000%	0.00000%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	112,434,801	76,970,785	68.4582%	76,970,785	-	100.00000%	0.00000%
Public Non Institutions	E-Voting	85,845,244	58,782,133	68.4745%	58,781,548	585	99.99900%	0.00100%
	Poll	-	35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,542	68.5158%	58,816,957	585	99.99901%	0.00099%
Total		**292,398,437**	**217,000,125**	**74.2138%**	**216,999,540**	**585**	**99.99973%**	**0.00027%**

AU Small Finance Bank Limited JAIPUR

Resolution required: (Ordinary/ Special)	*Special (13) To approve amendments in Employee Stock Option Scheme 2016.
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	94,118,392	94,118,392	-				
	Less: not considered pursuant to Section 12(2) of Banking Regulations Act, 1949	12,906,594	12,906,594					
	Vote Considered	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	81,211,798	81,211,798	100.0000%	81,211,798	0	100.00000%	0.00000%
Public Institutions	E-Voting	112,434,801	76,970,785	68.4582%	76,864,013	106,772	99.86128%	0.13872%
	Poll		-	0.0000%	-	-	-	-
	Postal Ballot (if applicable)		-	0.0000%	-	-	-	-
	Total	112,434,801	76,970,785	68.4582%	76,864,013	106,772	99.86128%	0.13872%
Public Non Institutions	E-Voting	85,845,244	58,782,133	68.4745%	58,781,727	406	99.99931%	0.00069%
	Poll	-	35,409	0.0412%	35,409	-	100.00000%	0.00000%
	Postal Ballot (if applicable)	-	-	0.0000%	-	-	-	-
	Total	85,845,244	58,817,542	68.5158%	58,817,136	406	99.99931%	0.00069%
Total		**292,398,437**	**217,000,125**	**74.2138%**	**216,892,947**	**107,178**	**99.95061%**	**0.04939%**

*Pursuant to Section 12(2) of the Banking Regulations Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered in above Agenda No. 1 to 13.

AU Small Finance Bank Limited JAIPUR

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.**com**
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

SCRUTINIZER'S REPORT

To,
The Chairman of
24th Annual General Meeting of the Shareholders of AU Small Finance Bank Limited held on Friday, 26th July, 2019 at 04:00 P.M. at Suryavanshi Mahal-Ground Floor, ITC Rajputana, Palace Road, Jaipur – 302006 (Rajasthan) ("AGM").

Sub: Consolidated Scrutinizer's Report on Remote E-voting & Voting conducted at the AGM

The Board of Directors of AU Small Finance Bank Limited (hereinafter referred to as "the Bank") at its meeting held on Monday, 22nd April, 2019 has appointed me as the scrutinizer for the remote e-voting process as well as to scrutinize the electronic voting conducted at the venue of the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (as amended) (hereinafter referred to as **"Rule 20")** and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Report on Scrutiny:

- The Bank had appointed National Securities Depository Limited (hereinafter referred to as "**NSDL**") as the service provider, for the purpose of extending the facility of remote e-voting to the shareholders of the Bank. Link Intime India Pvt. Ltd. is the Registrar and Share Transfer Agents (hereinafter referred to as "**RTA**") of the Bank.

- The Service Provider had provided a system for recording the votes of the Shareholders electronically on all the items of the business (both Ordinary and Special Business) sought to be transacted at the AGM of the Bank.

- The Service Provider had set up electronic voting facility on their website, https://www.evoting.nsdl.com. The Bank had uploaded the items of the business to be transacted at the AGM on the website of the Bank and also of the Service Provider to facilitate their Shareholders to cast their vote through Remote E-Voting.

- The internal cut-off date for the dispatch of the Notice of the AGM was Friday, 07th June, 2019 and as on that date, there were 82,993 Shareholders of the Bank. The RTA of the Bank had sent the Notices of the AGM along with Annual Report and E-Voting details by email to 69,560 Shareholders whose email IDs were made available by the two Depositories. In respect of 13,433 Shareholders whose email-IDs were not available, notices were sent by Courier. In respect of 4,346 cases where transmissions through email had failed, the Bank had sent the Notice of the AGM along with Annual Report and e-voting details through physical form by Courier.

  Further, as confirmed by the management, there were 1,372 such envelopes containing notice of AGM which were returned undelivered.

- The notices sent (both through e-mail and physical mode) contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.

MANOJ MAHESHWARI COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolutions placed for approval of the Shareholders was Friday, 19th July, 2019. The remote e-voting period remained open from Monday, 22nd July, 2019 at 9:00 A.M. and ended on Thursday, 25th July, 2019 at 05:00 P.M.

- The Bank completed the dispatch of the notices to the Shareholders by Tuesday, 25th June, 2019.

- As prescribed in clause (v) of sub rule 4 of Rule 20 of The Companies (Management and Administration) Rules, 2014, the Bank also released an advertisement, which was published more than 21 days before the date of the AGM in "Financial Express", English newspaper in English language and in "Punjab Kesari", vernacular newspaper in vernacular language dated Wednesday, 26th June, 2019. The notice published in the newspaper carried the required information as specified in Sub Rule 4(v) (a) to (h) of the said Rule 20.

- At the end of the voting period on Thursday, 25th July, 2019 at 05:00 P.M., the voting portal of the Service provider was blocked forthwith. The limited information for the Shareholders who have cast their votes, such as name, folio number of shares held was obtained from the service provider.

- At the venue of the AGM of the Bank, the facility to vote electronically through polling pads was provided to facilitate those Shareholders present in the meeting but could not participate in the Remote e-voting to record their votes.

- On 26th July, 2019, after tabulating the votes conducted at the venue of the AGM electronically, the votes cast through remote e-voting were unblocked by me in the presence of 2 witnesses who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20.After the voting by electronic means at the AGM was concluded, the polling pads were taken over by the service provider and the votes cast electronically was tabulated for the purpose of considering the total votes cast by the shareholders at the venue of the meeting.

- Thereafter, I have duly compiled details of the Remote e-voting carried out by the Shareholders and the electronic voting done at the venue of the AGM, the details of which are as follows:

MANOJ MAHESHWARI
CS
COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) E-mail: cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 1: Ordinary Resolution:
To consider and adopt the Audited Financial Statements of the Bank for the Financial Year ended 31st March, 2019 and the reports of the Board of Directors and the Auditors thereon

Total No. of shareholders/ folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	331	22,98,20,448
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	385	22,98,55,857
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	385	22,98,55,857

NOTES:
(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	7,69,70,785	68.46%	7,69,70,785	--	100%	0.00%
Public- others		8,58,45,244	5,87,66,680	68.46%	5,87,66,552	128	99.99978%	0.00022%
Total		**29,23,98,437**	**21,69,49,263**	**74.20%**	**21,69,49,135**	**128**	**99.99994%**	**0.00006%**

Percentage of Votes cast in favour: 99.99994% | Percentage of votes cast against: 0.00006%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-
Since, the number of votes cast in favour of the resolution is **99.99994%** I report that the ordinary resolution under Section 129 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI CS COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

☎ 2370 954; 93145 04821(M) E-mail: cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 2: Ordinary Resolution:
To declare dividend of Rs. 0.75 per equity share of Rs. 10 each for the Financial Year 2018-19.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	332	22,98,71,310
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	386	22,99,06,719
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	386	22,99,06,719

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	81211798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	7,69,70,785	68.46%	7,69,70,785	--	100%	0.00%
Public- others		8,58,45,244	5,88,17,542	68.52%	5,88,17,409	133	99.99977%	0.00023%
Total		**29,23,98,437**	**21,70,00,125**	**74.21%**	**21,69,99,992**	**133**	**99.99994%**	**0.00006%**

Percentage of Votes cast in favour: 99.99994% | Percentage of votes cast against: 0.00006%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.99994%,** I report that the ordinary resolution under Section 123 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI COMPANY SECRETARY CS

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 3: Ordinary Resolution:
To appoint a Director in place of Mr. Uttam Tibrewal (DIN: 01024940), who retires by rotation and being eligible, has offered himself for re-appointment.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	332	22,98,71,310
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	386	22,99,06,719
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	386	22,99,06,719

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	7,69,70,785	68.46%	7,67,99,217	1,71,568	99.77710%	0.22290%
Public- others		8,58,45,244	5,88,17,542	68.52%	5,88,17,291	251	99.99957%	0.00043%
Total		**29,23,98,437**	**21,70,00,125**	**74.21%**	**21,68,28,306**	**1,71,819**	**99.92082%**	**0.07918%**

Percentage of Votes cast in favour: 99.92082% | Percentage of votes cast against: 0.07918%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-
Since, the number of votes cast in favour of the resolution is **99.92082%,** I report that the ordinary resolution under Section 152 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI CS COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) E-mail: cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 4: Ordinary Resolution:
To ratify the appointment of M/S S.R. BATLIBOI & ASSOCIATES LLP, Chartered Accountants (Registration No.101049W/E300004) as the Statutory Auditors of the Bank.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	332	22,98,71,310
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	386	22,9906,719
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	386	22,99,06,719

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	7,69,70,785	68.46%	7,69,70,785	--	100%	0.00%
Public- others		8,58,45,244	5,88,17,542	68.52%	5,88,17,034	508	99.99914%	0.00086%
Total		**29,23,98,437**	**21,70,00,125**	**74.21%**	**21,69,99,617**	**508**	**99.99977%**	**0.00023%**

Percentage of Votes cast in favour: 99.99977% | Percentage of votes cast against: 0.00023%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.99977%,** I report that the ordinary resolution under Section 139 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI
CS
COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

2370 954; 93145 04821(M) E-mail: cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 5: Ordinary Resolution:
To appoint Mr. Narendra Ostawal (DIN: 06530414) as Director (Non-Executive).

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	321	19,86,01,596
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	375	19,86,37,005
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	1	1
Net remote e-voting/ Polling at AGM(C-D)	E	374	19,86,37,004

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM..

(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	6,66,71,864	59.30%	4,10,09,755	2,56,62,109	61.50984%	38.49016%
Public- others		8,58,45,244	3,78,46,748	44.09%	3,78,46,447	301	99.99920%	0.00080%
	Total	**29,23,98,437**	**18,57,30,410**	**63.52%**	**16,00,68,000**	**2,56,62,410**	**86.18298%**	**13.81702%**

Percentage of Votes cast in favour: 86.18298% | Percentage of votes cast against: 13.81702%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **86.18298%,** I report that the ordinary resolution under Section 152 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI ★ COMPANY SECRETARY ★ CS

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) E-mail: cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 6: Ordinary Resolution:
To approve fees or compensation (profit linked commission) payable to Non-Executive Directors, including Independent Directors.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	320	21,95,72,348
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	374	21,96,07,757
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	374	21,96,07,757

NOTES:
(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392					--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	6,6671,864	59.30%	6,42,43,214	24,28,650	96.35731%	3.64269%
Public- others		8,58,45,244	5,88,17,501	68.52%	5,88,16,907	594	99.99899%	0.00101%
Total		**29,23,98,437**	**20,67,01,163**	**70.69%**	**20,42,71,919**	**24,29,244**	**98.82476%**	**1.17524%**

Percentage of Votes cast in favour: 98.82476% | Percentage of votes cast against: 1.17524%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-
Since, the number of votes cast in favour of the resolution is **98.82476%,** I report that the ordinary resolution under Section 197 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI * COMPANY SECRETARY * CS

MANOJ MAHESHWARI
COMPANY SECRETARY

(**2370 954; 93145 04821(M)** **E-mail:** cs.vmanda@gmail.**com**
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 7: Ordinary Resolution:
To revise the compensation (Profit Linked Commission) payable to Mr. Mannil Venugopalan (DIN:00255575), as the Independent Director (Part-Time)Chairman.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	320	21,95,72,348
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	374	21,96,07,757
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	374	21,96,07,757

NOTES:
(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	81211798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	6,66,71,864	59.30%	6,42,43,214	24,28,650	96.35731%	3.64269%
Public- others		8,58,45,244	5,88,17,501	68.52%	58816876	625	99.99894%	0.00106%
Total		**29,23,98,437**	**20,67,01,163**	**70.69%**	**20,42,71,888**	**24,29,275**	**98.82474%**	**1.17526%**

Percentage of Votes cast in favour: 98.82474% | Percentage of votes cast against: 1.17526%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-
Since, the number of votes cast in favour of the resolution is **98.82474%,** I report that the ordinary resolution under Section 197 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI COMPANY SECRETARY CS

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.**com**
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 8: Ordinary Resolution:
To revise the remuneration payable to Mr. Sanjay Agarwal (DIN 00009526), as the Managing Director & CEO.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	321	21,95,72,389
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	375	21,96,07,798
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	-	--
Net remote e-voting/ Polling at AGM(C-D)	E	375	21,96,07,798

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392					--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	6,66,71,864	59.30%	6,66,71,864	--	100%	0.00%
Public- others		8,58,45,244	5,88,17,542	68.52%	5,88,16,988	554	99.99906%	0.00094%
Total		**29,23,98,437**	**20,67,01,204**	**70.69%**	**20,67,00,650**	**554**	**99.99973%**	**0.00027%**

Percentage of Votes cast in favour: 99.99973% | Percentage of votes cast against: 0.00027%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.99973%,** I report that the ordinary resolution under Section 197 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI CS COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 9: Ordinary Resolution:
To Revise the remuneration payable to Mr. Uttam Tibrewal (DIN: 01024940), as the Whole Time Director.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	320	21,95,41,486
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	374	21,95,76,895
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	374	21,95,76,895

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	6,66,71,864	59.30%	6,66,71,864	--	100%	0.00%
Public- others		8,58,45,244	5,87,86,639	68.48%	5,87,66,074	20,565	99.96502%	0.03498%
Total		**29,23,98,437**	**20,66,70,301**	**70.68%**	**20,66,49,736**	**20,565**	**99.99005%**	**0.00995%**

Percentage of Votes cast in favour: 99.99005% | Percentage of votes cast against: 0.00995%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.99005%,** I report that the ordinary resolution under Section 197 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI CS COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.com
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 10: Special Resolution:
To authorize Board of Directors to borrow money in excess of paid up capital, free reserves and securities premium of Bank u/s 180 (1) (c) of the Companies Act, 2013.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	330	22,55,40,669
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	384	22,55,76,078
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	384	22,55,76,078

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	7,26,40,344	64.61%	72330364	3,09,980	99.57327%	0.42673%
Public- others		8,58,45,244	5,88,17,342	68.52%	58816817	525	99.99911%	0.00089%
Total		**29,23,98,437**	**21,26,69,484**	**72.73%**	**21,23,58,979**	**3,10,505**	**99.85400%**	**0.14600%**

Percentage of Votes cast in favour: 99.85400% | Percentage of votes cast against: 0.14600%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-
Since, the number of votes cast in favour of the resolution is **99.85400%,** I report that the special resolution under Section 180 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

(**2370 954; 93145 04821(M)** **E-mail:** cs.vmanda@gmail.**com**
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 11: Special Resolution:
To issue securities/bonds/other permissible instruments, in one or more tranches.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	332	22,98,71,310
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	386	22,99,06,719
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	386	22,99,06,719

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	7,69,70,785	68.46%	7,66,60,805	3,09,980	99.59728%	0.40272%
Public- others		8,58,45,244	5,88,17,542	68.52%	5,88,17,335	207	99.99965%	0.00035%
Total		**29,23,98,437**	**21,70,00,125**	**74.21%**	**21,66,89,938**	**3,10,187**	**99.85706%**	**0.14294%**

Percentage of Votes cast in favour: 99.85706% | Percentage of votes cast against: 0.14294%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.85706%,** I report that the special resolution under Section 42 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI COMPANY SECRETARY

MANOJ MAHESHWARI
COMPANY SECRETARY

✆ 2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.**com**
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 12: Special Resolution:
To alter Articles of Association of the Bank.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	332	22,98,71,310
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	386	22,99,06,719
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D		
Net remote e-voting/ Polling at AGM(C-D)	E	386	22,99,06,719

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	8,12,11,798	8,12,11,798	100%	8,12,11798	--	100%	**0.00%**
Public- Institutional holders		11,24,34,801	7,69,70,785	68.46%	7,697,07,85	--	100%	**0.00%**
Public- others		8,58,45,244	5,88,17,542	68.52%	5,88,16,957	585	99.99901%	**0.00099%**
Total		**29,23,98,437**	**21,70,00,125**	**74.21%**	**21,69,99,540**	**585**	**99.99973%**	**0.00027%**

Percentage of Votes cast in favour: 99.99973% | Percentage of votes cast against: 0.00027%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.99973%,** I report that the special resolution under Section 14 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI COMPANY SECRETARY CS

MANOJ MAHESHWARI
COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.**com**
403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.
"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

Item No. 13: Special Resolution:
To approve amendments in Employee Stock Option Scheme 2016.

Total No. of shareholders/folios	82,062		
Total No. of Shares	29,23,98,437		
Remote E-voting Period	22nd July, 2019 to 25th July, 2019		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	332	22,98,71,310
Total Votes cast through Polling at AGM	B	54	35,409
Grand Total of remote e-voting / Polling at AGM(A+B)	C	386	22,99,06,719
Less: Invalid/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)	D	--	--
Net remote e-voting/ Polling at AGM(C-D)	E	386	22,99,06,719

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the Polling or the number of shares mentioned in the polling whichever is less.

SUMMARY OF VOTING

Promoter/Public		No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	Total	9,41,18,392	9,41,18,392	--	--	--	--	--
	Considered	81211798	8,12,11,798	100%	8,12,11,798	--	100%	0.00%
Public- Institutional holders		11,24,34,801	7,69,70,785	68.46%	7,68,64,013	1,06,772	99.86128%	0.13872%
Public- others		8,58,45,244	5,88,17,542	68.52%	5,88,17,136	406	99.99931%	0.00069%
Total		**29,23,98,437**	**21,70,00,125**	**74.21%**	**21,68,92,947**	**1,07,178**	**99.95061%**	**0.04939%**

Percentage of Votes cast in favour: 99.95061% | Percentage of votes cast against: 0.04939%

***Pursuant to section 12(2) of the Banking Regulation Act, 1949, voting rights of the members exceeding 15% of the total voting rights of the shareholders of the Bank have not been considered.**

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.95061%,** I report that the special resolution under Section 62 of the Companies Act, 2013 as set out in the notice of AGM dated 22nd April, 2019 has been passed by the shareholders with the requisite majority. The resolution is deemed to be passed as on the date of AGM.

MANOJ MAHESHWARI * COMPANY SECRETARY * CS

MANOJ MAHESHWARI

COMPANY SECRETARY

(2370 954; 93145 04821(M) **E-mail:** cs.vmanda@gmail.com

403, "ROYAL WORLD" S.C ROAD, JAIPUR – 302001.

"USHA – KIRAN", 11, GEEJ GARH VIHAR, HAWA SARAK, JAIPUR – 302019.

All the Resolutions mentioned in the AGM Notice dated 22nd April, 2019 as per the results above stand passed under remote e-voting and voting conducted at AGM electronically with the requisite majority and deemed to be passed as on the date of the AGM.

A soft copy, containing a list of Equity Shareholders who voted "FOR" or "AGAINST" and those whose votes were declared invalid/abstained/voted for lesser shares, for each resolution is being handed over to the Bank separately.

I hereby confirm that I am maintaining the Registers received from the RTA and the electronic voting data received from the Service Provider, in respect of the votes cast through remote e-voting and voting conducted at AGM electronically by the Shareholders of the Bank. All relevant records relating to remote e-voting and electronic voting are under my safe custody and will be handed over to the Company Secretary for safe keeping.

This report may be treated as a report under Section 109 of the Companies Act, 2013 and Rule 21(2) of The Companies (Management and Administration) Rules, 2014.

I thank you for the opportunity given to act as a Scrutinizer for the remote e-voting and voting at AGM.

Yours Faithfully

MANOJ MAHESHWARI CS COMPANY SECRETARY

CS Manoj Maheshwari
Scrutinizer
FCS: 3355
C.P. No. 1971

For AU Small Finance Bank Limited

AU SMALL FINANCE BANK LIMITED JAIPUR

Sanjay Agarwal
Managing Director & CEO
DIN: 00009526

(as authorized by chairman of AGM)

Place: Jaipur
Date: July 27, 2019