

Ref. No.: AUSFB/SEC/2019-20/471

Date: 4th February, 2020

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, BandraKurla Complex, Bandra (East), Mumbai 400051, Maharashtra. Scrip Code: AUBANK	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir,

Sub: Intimation of Newspaper advertisement for Postal Ballot Notice of AU Small Finance Bank Limited under Regulation 47 Regulation of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 47 and any other applicable provisions of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulations, 2015, the Postal Ballot Notice of the Bank has been published in all India edition of “**Financial Express**” in English and in Jaipur Edition of “**Nafa Nuksan**” in Hindi on **4th February, 2020**.

The Copy of newspaper advertisement is enclosed for reference.

Request you to take note of the same on your records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED



Manmohan Parnami
Company Secretary and Compliance Officer
investorrelations@aubank.in

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**



AU SMALL FINANCE BANK LIMITED

(CIN: U36911RJ1996PLC011381)

Regd. Office: 19-A, Dhuleshwar Garden, Ajmer Road, Jaipur-302001, Rajasthan, India

Tel: +91-141-4110060, Fax: +91-141-4110090

E-mail: investorrelations@aubank.in | Website: www.aubank.in

POSTAL BALLOT NOTICE

(Notice pursuant to Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014)

NOTICE is hereby given that pursuant to provisions of Section 110 and other applicable provisions, if any, of the Companies Act, 2013, (the "Act") read with Rule 22 of the Companies (Management and Administration) Rules, 2014, and pursuant to all other applicable rules/regulations/guidelines/circulars/notifications (including any statutory modification(s), amendment(s) thereto or re-enactment(s) thereof for the time being in force), AU Small Finance Bank Limited (hereinafter referred to as the "Bank") is seeking consent of the members of the Bank on special business items set out herein below, proposed to be passed by way of Postal Ballot including voting by electronic means ("e-voting").

Item No.	Agenda
1.	To approve the re-appointment of Mr. Krishna Kant (Rathi) (DIN: 00340094) for second term as Independent Director.
2.	To approve the re-appointment of Mrs. Jyoti Narang (DIN: 00351187) for second term as Independent Director.
3.	To approve the re-appointment of Mr. Raj Vikash Verma (DIN: 00340341) for second term as Independent Director.
4.	To designate Mr. Raj Vikash Verma as Part Time Chairman (Independent Director) (Non-Executive) of the Bank subject to approval of Reserve Bank of India ("RBI").

The Postal Ballot Notice and the Postal Ballot Form are available on the Bank's website www.aubank.in and can also be downloaded from the following link <https://www.evoting.nsdl.com>. These details are also available on the website of the stock exchanges where the shares of the Bank are listed i.e. **BSE Limited** (www.bseindia.com) and **National Stock Exchange of India Limited** (www.nseindia.com).

The Bank duly completed dispatch of Postal Ballot Notice, Ballot form, along with other documents as required, to all the Members by permitted mode along with a Postage Paid Reply Envelope on **Monday, 03rd February 2020** to Members who have not registered their e-mail address and whose names appear in the Register of Members/ Beneficial Owners received from the Registrar and Share Transfer Agent ("RTA"), National Securities Depository Limited ("NSDL") and the Central Depository Services (India) Limited ("CDSL") as on **Friday, 24th January 2020**. The Postal Ballot Notice have also been sent in electronic mode to all the members whose e-mail addresses are registered with the RTA, NSDL & CDSL on **Friday, 30th January 2020**.

In compliance with the provisions of Sections 108 and 110 of the Act and Rules 20 and 22 of the Companies (Management and Administration) Rules, 2014 and Regulation 44 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, the Bank is pleased to provide voting by electronic means ("remote e-voting") facility to the Members to enable them to cast their votes using electronic voting system, for which the Bank has engaged the services of NSDL as e-voting agency.

Voting through postal ballot and e-voting will commence from **09:00 A.M (IST)** on **Tuesday, 4th February 2020** and will end on **05:00 P.M (IST)** on **Wednesday, 4th March 2020**. During this period, Members holding shares as on **Friday, 24th January 2020** i.e. Cut-off date, may cast their vote electronically/ or through postal ballot. The remote e-voting module shall be disabled by NSDL for voting thereafter and shall not be allowed beyond the said date and time. Once the vote on a resolution is cast by a member, the member shall not be allowed to change it subsequently or cast the vote again. The voting rights of members shall be in proportion to their shares in the paid-up equity share capital of the Bank as on Cut-off date.

Members are requested to note that duly completed and signed postal ballot forms should reach the scrutineer not later than **05:00 P.M (IST)** on **Wednesday, 4th March 2020** and postal ballot forms received beyond the said date will not be considered valid and will be treated as if reply from such members has not been received.

The procedure for e-voting has been provided in the Postal Ballot Notice. In case of any queries, you may refer the frequently asked questions (FAQs) for members and remote e-voting user manual for members available at the download section of www.evoting.nsdl.com or call on toll free no. 1800-222-999. In case of any grievances connected with the facility for e-voting and voting through Postal Ballot, members are requested to contact to Ms. Pallavi Mishra (Manager), National Securities Depository Ltd., Trade World, 'W' Wing, 4th Floor, Kamala Mills Compound, Senapati Bapat Marg, Lower Panel, Mumbai - 400013 Contact No. +91 22 2499 4545, Email ID: evoting@nsdl.co.in.

Members whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on cut-off date of **Friday, 24th January 2020** shall only be entitled to avail the facility of remote e-voting or vote through postal ballot.

CS Manoj Maheshwari, Practicing Company Secretary, (FCS 8355, CP 1971) has been appointed as the Scrutinizer, failing him CS Vikas Mehta (FCS-9985), Practicing Company Secretary as the Alternate Scrutinizer to scrutinize the postal ballot voting process in a fair and transparent manner. The results of the remote e-voting and postal ballot will be declared on or before **Friday, 06th March 2020** by 05:00 P.M. The Results declared, along with the Scrutinizer's Report, shall be placed on the Bank's website www.aubank.in and on the website of NSDL, i.e. www.evoting.nsdl.com for information of the Members besides being communicated to the Stock Exchange(s) (BSE Limited & National Stock Exchange of India Limited) where the Bank's shares are listed.

Members who have not received the Postal Ballot Notice and Postal Ballot Form may write to the Bank at its registered office or to the Registrar and Transfer Agents to obtain the duplicate thereof or download the same from the Bank's website www.aubank.in or from the website of NSDL www.evoting.nsdl.com.

BY THE ORDER OF THE BOARD OF DIRECTORS
FOR AU SMALL FINANCE BANK LIMITED

Sd/-

MANMOHAN PARNANI
COMPANY SECRETARY

Place: Jaipur

Date: 3rd February 2020



एयू स्मॉल फाइनेन्स बैंक लिमिटेड

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डा. विमलजी काँडिल: 19-ए, पुर्वीवाडी गार्डन, कन्नौज रोड, लखनऊ - 202001, उत्तरप्रदेश, भारत
 मोबा. +91 91 4818080, फ़ैक्स: +91 522 4120820, वेबसाइट: www.vkandil.com, ईमेल: imc@vkandil.com

पोस्टल बैलेंस की सचना

प्राप्त 110 कम्पनी अधिकांश 2013 के भर्तारपूर्वक 23 कम्पनी

(सत्यमेव जयते) अधिनियम 2014, के तहत बनाया

एकमुखाए सुविध किया जाता है कि कर्मी (अर्थात् वीजा उद्योग) विस्थापित, 2011 के नियमों 20 और 22 के साथ प्रतिस्पर्धी जीवितान, 2011 2, (अतिरिक्त) कि पाठ 1.1 4 और अन्य जन्म प्रमाणों के अनुसार, केन के सेवाधारकों से निम्न विशेष रूप सेवा प्राप्त करने के लिए या अतिरिक्तिकीति (1-वर्ष) मान्य है। वीजा के अनुसार प्रतिस्पर्धी विद्यमान है।

आवृत्तियाँ	
1.	राज्यीय निर्देशिका की रूप में चुनी जातीयता की लिए की सूचना जारी करने (DIN-0040094) को फिर से प्रिंटिंग/रिप्रिंटिंग चयन किया।
2.	राज्यीय निर्देशिका की रूप में चुनी जातीयता की लिए, सूची जारी करने (DIN-0040095, DIN-0040096) को फिर से प्रिंटिंग/रिप्रिंटिंग चयन किया।
3.	राज्यीय निर्देशिका की रूप में चुनी जातीयता की लिए, की राजकीयता जारी करने (DIN-0040097-0040101) को फिर से प्रिंटिंग/रिप्रिंटिंग चयन किया।
4.	राज्यीय निर्देशिका की रूप में चुनी जातीयता की लिए, की राजकीयता जारी करने (DIN-0040102-0040106) को फिर से प्रिंटिंग/रिप्रिंटिंग चयन किया।

संसाधन बैंक लिमिटेड और संसाधन बैंक प्राइवेट लिमिटेड की वेबसाइटें www.srbank.in पर उपलब्ध हैं और निम्न लिंक <https://www.writingindia.com/> की जा-फो-होप का प्रयोग करने वाले डिजिटल लेखकों को सहायता के लिए हैं।
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1. **संस्था** - संस्था का नाम, पता, फ़ोन नंबर, ईमेल आदि जानकारी।
2. **उद्देश्य** - संस्था का उद्देश्य, मिशन, विजन आदि।
3. **संस्था के सदस्य** - संस्था के सदस्यों के नाम, पता, फ़ोन नंबर, ईमेल आदि जानकारी।
4. **संस्था के कार्य** - संस्था के कार्यों का विवरण।
5. **संस्था के बजट** - संस्था के बजट का विवरण।
6. **संस्था के वार्षिक प्रतिवेदन** - संस्था के वार्षिक प्रतिवेदनों का विवरण।
7. **संस्था के अन्य जानकारी** - संस्था के अन्य जानकारी का विवरण।

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जिन सलामी का नाम भुवनाथ, 2-4 नवम्बरी 2020 (मन-आँक निकालें) का औपचारिक नौ नौ है, वे कौन हैं—संक्षिप्त या लम्बे नामों के साथ। (संक्षिप्त नामों के साथ नौ नौ)

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১০০০ টি নির্বাচনস্থানে ১০ জন নির্বাচনসচিব
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दिनांक - १३ फरवरी २०१०