AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

Ref. No.: AUSFB/SEC/2021-22/166
Date: 19th August, 2021

To,

National Stock Exchange of India Ltd.	BSE Limited
Exchange Plaza, C-1, Block G,	Phiroze Jeejeebhoy Towers,
Bandra Kurla Complex,	Dalal Street,
Bandra (East), Mumbai 400051,	Mumbai 400001,
Maharashtra.	Maharashtra.
NSE Symbol: AUBANK	**Scrip Code: 540611**

Dear Sir/Madam,

Sub: Voting Results & Scrutinizer's Report of 26th Annual General Meeting ("AGM") Of AU Small Finance Bank Limited ("The Bank") held on 17th August 2021.

Dear Sir/Madam,

Pursuant to Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we hereby submit voting results of 26th Annual General Meeting ("**AGM**") of the Shareholders of the Bank held on Tuesday, 17th August 2021 at 10:30 A.M. through Video Conferencing ("**VC**") or Other Audio Visual Means ("**OAVM**").

1. Voting results in the format prescribed under Regulation 44(3) of the Listing Regulations; and
2. Consolidated Scrutinizer's Report on remote e-voting & conducted e-voting at the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended.

We hereby inform that resolutions (both Ordinary and Special) have been passed by the Shareholders with requisite majority as mandated under Companies Act, 2013 and other applicable laws.

This is for your information and records.

Thanking You,

Yours faithfully,
For AU SMALL FINANCE BANK LIMITED

AU SMALL FINANCE BANK LTD JAIPUR

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Encl: As above

AU Small Finance Bank Limited- AGM dated 17th August 2021

Date of the AGM	Tuesday, 17th August 2021
Total number of shareholders on record date	1,29,486
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	 Not Applicable Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	 1 122

AU SMALL FINANCE BANK LTD. JAIPUR

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Resolution required: (Ordinary/ Special)	Ordinary (1) To adopt the Audited Financial Statements of the Bank for the Financial Year ended on 31st March 2021 and the reports of the Board of Directors and the Auditors thereon.
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	88,899,206	88,899,206	100.0000%	88,899,206	0	100.0000%	0.0000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)	-	-	-	-	-	-	-
	Total	**88,899,206**	**88,899,206**	**100.0000%**	**88,899,206**	**0**	**100.0000%**	**0.0000%**
Public Institutions	E-Voting	169,413,960	146,700,219	86.5928%	146,457,076	243,143	99.8343%	0.1657%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**169,413,960**	**146,700,219**	**86.5928%**	**146,457,076**	**243,143**	**99.8343%**	**0.1657%**
Public Non Institutions	E-Voting	54,675,578	19,652,318	35.9435%	19,651,898	420	99.9979%	0.0021%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**54,675,578**	**19,652,318**	**35.9435%**	**19,651,898**	**420**	**99.9979%**	**0.0021%**
Total		**312,988,744**	**255,251,743**	**81.5530%**	**255,008,180**	**243,563**	**99.9046%**	**0.0954%**

AU SMALL FINANCE BANK LTD JAIPUR

Resolution required: (Ordinary/ Special)			Ordinary (2) To appoint a director in place of Mr. Uttam Tibrewal (DIN: 01024940), who retires by rotation and being eligible, has offered himself for re-appointment.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	88,899,206	88,899,206	100.0000%	88,899,206	0	100.0000%	0.0000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)	-	-	-	-	-	-	-
	Total	**88,899,206**	**88,899,206**	**100.0000%**	**88,899,206**	**0**	**100.0000%**	**0.0000%**
Public Institutions	E-Voting	169,413,960	143,928,926	84.9569%	143,928,926	0	100.0000%	0.0000%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**169,413,960**	**143,928,926**	**84.9569%**	**143,928,926**	**-**	**100.0000%**	**0.0000%**
Public Non Institutions	E-Voting	54,675,578	19,652,097	35.9431%	19,651,021	1076	99.9945%	0.0055%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**54,675,578**	**19,652,097**	**35.9431%**	**19,651,021**	**1,076**	**99.9945%**	**0.0055%**
Total		**312,988,744**	**252,480,229**	**80.6675%**	**252,479,153**	**1,076**	**99.9996%**	**0.0004%**

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Resolution required: (Ordinary/ Special)			**Ordinary (3) To appoint Joint Statutory Auditors of the Bank and to fix their remuneration.**					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	88,899,206	88,899,206	100.0000%	88,899,206	0	100.0000%	0.0000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)	-	-	-	-	-	-	-
	Total	**88,899,206**	**88,899,206**	**100.0000%**	**88,899,206**	**0**	**100.0000%**	**0.0000%**
Public Institutions	E-Voting	169,413,960	147,026,084	86.7851%	145,725,109	1,300,975	99.1151%	0.8849%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**169,413,960**	**147,026,084**	**86.7851%**	**145,725,109**	**1,300,975**	**99.1151%**	**0.8849%**
Public Non Institutions	E-Voting	54,675,578	19,652,092	35.9431%	19,651,553	539	99.9973%	0.0027%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**54,675,578**	**19,652,092**	**35.9431%**	**19,651,553**	**539**	**99.9973%**	**0.0027%**
Total		**312,988,744**	**255,577,382**	**81.6571%**	**254,275,868**	**1,301,514**	**99.4908%**	**0.5092%**

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Resolution required: (Ordinary/ Special)	Special (4) To issue debt securities/bonds/other permissible instruments, in one or more tranches.							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	88,899,206	88,899,206	100.0000%	88,899,206	0	100.0000%	0.0000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)	-	-	-	-	-	-	-
	Total	**88,899,206**	**88,899,206**	**100.0000%**	**88,899,206**	**-**	**100.0000%**	**0.0000%**
Public Institutions	E-Voting	169,413,960	147,026,084	86.7851%	146,525,570	500,514	99.6596%	0.3404%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**169,413,960**	**147,026,084**	**86.7851%**	**146,525,570**	**500,514**	**99.6596%**	**0.3404%**
Public Non Institutions	E-Voting	54,675,578	19,652,097	35.9431%	19,651,289	808	99.9959%	0.0041%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**54,675,578**	**19,652,097**	**35.9431%**	**19,651,289**	**808**	**99.9959%**	**0.0041%**
Total		**312,988,744**	**255,577,387**	**81.6571%**	**255,076,065**	**501,322**	**99.8038%**	**0.1962%**

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Resolution required: (Ordinary/ Special)			**Special (5) To raise funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement or such other permissible mode or combinations thereof.**					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]* 100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	88,899,206	88,899,206	100.0000%	88,899,206	0	100.0000%	0.0000%
	Poll	-	-	-	-	-	-	-
	Postal Ballot (if applicable)	-	-	-	-	-	-	-
	Total	**88,899,206**	**88,899,206**	**100.0000%**	**88,899,206**	**0**	**100.0000%**	**0.0000%**
Public Institutions	E-Voting	169,413,960	147,026,084	86.7851%	146,933,248	92,836	99.9369%	0.0631%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**169,413,960**	**147,026,084**	**86.7851%**	**146,933,248**	**92,836**	**99.9369%**	**0.0631%**
Public Non Institutions	E-Voting	54,675,578	19,652,097	35.9431%	19,651,341	756	99.9962%	0.0038%
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total	**54,675,578**	**19,652,097**	**35.9431%**	**19,651,341**	**756**	**99.9962%**	**0.0038%**
Total		**312,988,744**	**255,577,387**	**81.6571%**	**255,483,795**	**93,592**	**99.9634%**	**0.0366%**

V.M. & ASSOCIATES
COMPANY SECRETARIES

403, Royal World
Sansar Chandra Road, Jaipur- 1

Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

SCRUTINIZER'S REPORT

To,
The Chairman of
26th Annual General Meeting ("AGM") of the Shareholders of AU Small Finance Bank Limited held on Tuesday, 17th August, 2021 at 10:30 A.M. through Video Conferencing ("VC") / Other Audio-Visual Means ("OAVM").

Sub: Consolidated Scrutinizer's Report on Remote E-Voting & E-Voting conducted at the AGM

The Board of Directors of AU Small Finance Bank Limited (hereinafter referred to as "**the Bank**") at its meeting held on Thursday, 08th July, 2021 has appointed me as the scrutinizer for the remote e-voting process as well as to scrutinize the e-voting conducted at the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (as amended) (hereinafter referred to as "**Rule 20**") and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure compliance with the requirements of the Companies Act, 2013, Rules and circulars issued by MCA and SEBI relating to conducting of AGM through VC/OAVM and voting by electronic means for the resolutions contained in the Notice of the 26th AGM of the Equity Shareholders dated 08th July, 2021. My responsibility as a Scrutinizer for the voting process of voting by electronic means is restricted to making a Consolidated Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of the AGM, based on the reports generated from the e-voting system provided by National Securities Depository Limited, the Agency Authorized under the Rules and engaged by the Bank to provide remote e-voting facilities and e-voting facilities to vote at the AGM.

Report on Scrutiny:

- The Bank had appointed National Securities Depository Limited (hereinafter referred to as "**NSDL**" / "**Service Provider**") as the service provider, for the purpose of extending the facility of remote e-voting and e-voting at the AGM to the shareholders of the Bank. Link Intime India Private Limited is the Registrar and Share Transfer Agents (hereinafter referred to as "**RTA**") of the Bank.

- The Service Provider had provided a system for recording the votes of the Shareholders electronically on all the items of the business (both Ordinary and Special Business) sought to be transacted at the AGM of the Bank.

- The Service Provider had set up electronic voting facility on their website, https://www.evoting.nsdl.com. The Bank had uploaded the items of the business to be transacted at the AGM on the website of the Bank and also of the Service Provider to facilitate their Shareholders to cast their vote through Remote E-Voting and e-voting at the AGM.

V.M. & ASSOCIATES COMPANY SECRETARIES

403, Royal World
Sansar Chandra Road, Jaipur- 1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

- Pursuant to General Circular No. 02/2021 dated 13th January, 2021, read with Circular No. 20/2020 dated 05th May, 2020 read with Circular No. 14/2020 dated April 08th April, 2020 and Circular No. 17/2020 dated 13th April, 2020 issued by the Ministry of Corporate Affairs (collectively referred to as "MCA Circulars"), advertisement was published in Business Standard (English newspaper) and Punjab Kesari (vernacular language newspaper), having electronic editions on 15th July, 2021 specifying the date and time of the AGM, availability of the notice on Bank's website and website of the Stock Exchanges, manner of registration of email IDs by the members (both physical and demat) who are yet to register their email IDs with the Bank, manner of voting through remote e-voting or through e-voting system at the AGM etc.

- The internal cut-off date for the dispatch of the Notice of the AGM was Monday, 19th July, 2021 and as on that date, there were 1,40,266 Shareholders of the Bank.

- The Bank informed that in compliance with the MCA Circulars and SEBI Circular No. SEBI/HO/CFD/CMD2/CIR/P/2021/11 dated 15th January, 2021 read with SEBI Circular No. SEBI/HO/CFD/CMD1/CIR/P/2020/79 dated 12th May, 2020 and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider of the Bank completed dispatch of Notice of AGM on 23rd July, 2021 by E-mail to 1,32,581 Members who had already registered their email ids with the Bank / Depositories.

- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.

- As prescribed in clause (v) of sub rule 4 of Rule 20 of The Companies (Management and Administration) Rules, 2014, the Bank also released an advertisement, which was published 21 days before the date of the AGM in "Financial Express", English newspaper in English language and in "Punjab Kesari", vernacular newspaper in vernacular language on Sunday, 25th July, 2021. The notice published in the newspaper carried the required information as specified in Sub Rule 4(v) (a) to (h) of the said Rule 20.

- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolutions placed for approval of the Shareholders was Tuesday, 10th August, 2021.

- The remote e-voting period remained open from Friday, 13th August, 2021 at 9:00 A.M. and ended on Monday, 16th August, 2021 at 05:00 P.M.

- At the end of the voting period on Monday, 16th August, 2021 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith. The limited information for the Shareholders who have cast their votes, such as name, folio number of shares held was obtained from the Service Provider.

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403, Royal World
Sansar Chandra Road, Jaipur- 1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

- The Bank had also provided e-voting facility to the shareholders present at the AGM through VC / OAVM and who had not cast their vote earlier.

- After declaration of voting at AGM by the Chairman, the shareholders present through VC voted using e-voting facility provided by NSDL / Service Provider. As per the information given by the Bank / RTA the names of the shareholders who had voted by remote e-voting through the facility provided by NSDL had been blocked and only those members who were present at the AGM through VC and who had not voted on remote e-voting were allowed to cast their votes through e-voting system during the AGM.

- After closure of e-voting at the AGM, the votes cast through e-voting at the AGM and through remote e-voting prior to the date of AGM were unblocked and downloaded from the e-voting website of NSDL / Service Provider (https://www.evoting.nsdl.com) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data / results downloaded from the e-voting system of NSDL were scrutinized and reviewed, the votes were counted, and the results were prepared.

- Based on the data downloaded from NSDL e-voting system, the total votes cast in favour or against all the resolutions proposed in the Notice of the AGM are as under:

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V.M. & ASSOCIATES
COMPANY SECRETARIES

403, Royal World
Sansar Chandra Road, Jaipur-1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

Item No. 1: Ordinary Resolution:
To adopt the Audited Financial Statements of the Bank for the Financial Year ended on 31st March 2021 and the reports of the Board of Directors and the Auditors thereon.

Total No. of shareholders/ folios	1,29,486		
Total No. of Shares	31,29,88,744		
Remote E-voting Period	From Friday, 13th August, 2021 at 9:00 A.M to Monday, 16th August, 2021 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	753	25,52,45,115
Total Votes cast through e-voting at AGM	B	22	6,628
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	775	25,52,51,743
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	775	25,52,51,743

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	8,88,99,206	8,88,99,206	100.0000%	8,88,99,206	0	100.0000%	0.0000%
Public- Institutional Holders	16,94,13,960	14,67,00,219	86.5928%	14,64,57,076	2,43,143	99.8343%	0.1657%
Public- others	5,46,75,578	1,96,52,318	35.9435%	1,96,51,898	420	99.9979%	0.0021%
Total	**31,29,88,744**	**25,52,51,743**	**81.5530%**	**25,50,08,180**	**2,43,563**	**99.9046%**	**0.0954%**

Percentage of Votes cast in favour: 99.9046%| Percentage of votes cast against: 0.0954%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9046%,** Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 1** of the Notice of the AGM dated 08th July, 2021 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

V.M. & ASSOCIATES * COMPANY SECRETARIES *

403, Royal World
Sansar Chandra Road, Jaipur- 1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

Item No. 2: Ordinary Resolution:

To appoint a director in place of Mr. Uttam Tibrewal (DIN: 01024940), who retires by rotation and, being eligible, has offered himself for re-appointment.

Total No. of shareholders/ folios	1,29,486		
Total No. of Shares	31,29,88,744		
Remote E-voting Period	From Friday, 13th August, 2021 at 9:00 A.M to Monday, 16th August, 2021 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	749	25,24,73,601
Total Votes cast through e-voting at AGM	B	22	6,628
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	771	25,24,80,229
Less: Invalid/~~abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	771	25,24,80,229

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	8,88,99,206	8,88,99,206	100.0000%	8,88,99,206	0	100.0000%	0.0000%
Public- Institutional Holders	16,94,13,960	14,39,28,926	84.9569%	14,39,28,926	0	100.0000%	0.0000%
Public- others	5,46,75,578	1,96,52,097	35.9431%	1,96,51,021	1,076	99.9945%	0.0055%
Total	**31,29,88,744**	**25,24,80,229**	**80.6675%**	**25,24,79,153**	**1,076**	**99.9996%**	**0.0004%**

Percentage of Votes cast in favour: 99.9996% | Percentage of votes cast against: 0.0004%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9996%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 2** of the Notice of the AGM dated 08th July, 2021 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

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403, Royal World
Sansar Chandra Road, Jaipur- 1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

Item No. 3: Ordinary Resolution:
To appoint Joint Statutory Auditors of the Bank and to fix their remuneration

Total No. of shareholders/ folios	1,29,486		
Total No. of Shares	31,29,88,744		
Remote E-voting Period	From Friday, 13th August, 2021 at 9:00 A.M to Monday, 16th August, 2021 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	754	25,55,70,754
Total Votes cast through e-voting at AGM	B	22	6,628
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	776	25,55,77,382
Less: Invalid/~~abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	776	25,55,77,382

NOTES:
(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	8,88,99,206	8,88,99,206	100.0000%	8,88,99,206	0	100.0000%	0.0000%
Public- Institutional Holders	16,94,13,960	14,70,26,084	86.7851%	14,57,25,109	13,00,975	99.1151%	0.8849%
Public- others	5,46,75,578	1,96,52,092	35.9431%	1,96,51,553	539	99.9973%	0.0027%
Total	**31,29,88,744**	**25,55,77,382**	**81.6571%**	**25,42,75,868**	**13,01,514**	**99.4908%**	**0.5092%**

Percentage of Votes cast in favour: 99.4908% | Percentage of votes cast against: 0.5092%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.4908%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 3** of the Notice of the AGM dated 08th July, 2021 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

V.M. & ASSOCIATES COMPANY SECRETARIES

403, Royal World
Sansar Chandra Road, Jaipur- 1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

Item No. 4: Special Resolution:
To issue debt securities/bonds/other permissible instruments, in one or more tranches

Total No. of shareholders/ folios	1,29,486		
Total No. of Shares	31,29,88,744		
Remote E-voting Period	From Friday, 13th August, 2021 at 9:00 A.M to Monday, 16th August, 2021 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	756	25,55,70,759
Total Votes cast through e-voting at AGM	B	22	6,628
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	778	25,55,77,387
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	778	25,55,77,387

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	8,88,99,206	8,88,99,206	100.0000%	8,88,99,206	0	100.0000%	0.0000%
Public- Institutional Holders	16,94,13,960	14,70,26,084	86.7851%	14,65,25,570	5,00,514	99.6596%	0.3404%
Public- others	5,46,75,578	1,96,52,097	35.9431%	1,96,51,289	808	99.9959%	0.0041%
Total	**31,29,88,744**	**25,55,77,387**	**81.6571%**	**25,50,76,065**	**5,01,322**	**99.8038%**	**0.1962%**

Percentage of Votes cast in favour: 99.8038%| Percentage of votes cast against: 0.1962%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.8038%,** Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 4** of the Notice of the AGM dated 08th July, 2021 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

V.M. & ASSOCIATES COMPANY SECRETARIES

403, Royal World
Sansar Chandra Road, Jaipur- 1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

Item No. 5: Special Resolution:

To raise funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement or such other permissible mode or combinations thereof.

Total No. of shareholders/ folios	1,29,486		
Total No. of Shares	31,29,88,744		
Remote E-voting Period	From Friday, 13th August, 2021 at 9:00 A.M to Monday, 16th August, 2021 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	755	25,55,70,759
Total Votes cast through e-voting at AGM	B	22	6,628
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	777	25,55,77,387
Less: Invalid/~~abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~	D	-	-
Net remote e-voting/ e-voting at AGM (C-D)	E	777	25,55,77,387

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)] *100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	8,88,99,206	8,88,99,206	100.0000%	8,88,99,206	0	100.0000%	0.0000%
Public- Institutional Holders	16,94,13,960	14,70,26,084	86.7851%	14,69,33,248	92,836	99.9369%	0.0631%
Public- others	5,46,75,578	1,96,52,097	35.9431%	1,96,51,341	756	99.9962%	0.0038%
Total	**31,29,88,744**	**25,55,77,387**	**81.6571%**	**25,54,83,795**	**93,592**	**99.9634%**	**0.0366%**

Percentage of Votes cast in favour: 99.9634% | Percentage of votes cast against: 0.0366%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9634%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 5** of the Notice of the AGM dated 08th July, 2021 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

V.M. & ASSOCIATES COMPANY SECRETARIES

V.M. & ASSOCIATES
COMPANY SECRETARIES

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All the Resolutions mentioned in the AGM Notice dated 08th July, 2021 as per the results above stand passed under remote e-voting and e-voting at the AGM with the requisite majority and deemed to be passed as on the date of the AGM.

A soft copy, containing a list of Equity Shareholders who voted "FOR" or "AGAINST" and those whose votes were declared invalid/abstained/voted for lesser shares, for each resolution is being handed over to the Company Secretary.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary for preserving safely after the Chairman considers, approves and signs the Minutes of the aforesaid AGM.

This report may be treated as a report under Section 109 of the Companies Act, 2013 and Rule 21(2) of The Companies (Management and Administration) Rules, 2014.

I thank you for the opportunity given to act as a Scrutinizer for the remote e-voting and e-voting at AGM.

Yours Faithfully

CS Manoj Maheshwari
Scrutinizer
M. No.: FCS 3355 | C.P. No. 1971
Partner
V. M. & Associates
Company Secretaries
(ICSI Unique Code P1984RJ039200)

V.M. & ASSOCIATES ★ COMPANY SECRETARIES ★

Place: Jaipur
Date: August 19, 2021
UDIN: F003355C000803451

Countersigned By:
For AU Small Finance Bank Limited

AU SMALL FINANCE BANK LTD JAIPUR

Manmohan Parnami
Company Secretary & Compliance Officer
M. No.: FCS 9999
(as authorized by Chairman of AGM)