AU SMALL FINANCE BANK

Ref. No.: AUSFB/SEC/2022-23/309
Date: 24th August, 2022

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. **NSE Symbol: AUBANK**	**BSE Limited** Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. **Scrip Code: 540611**

Dear Sir/Madam,

Sub: Voting Results & Scrutinizer's Report of 27th Annual General Meeting ("AGM") held on 23rd August, 2022 of AU Small Finance Bank Limited ("the Bank")- Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Dear Sir/Madam,

Pursuant to Regulation 44(3) of the Listing Regulations, we hereby submit the Voting results of 27th AGM of the Shareholders of the Bank held on Tuesday, 23rd August, 2022 at 04:00 P.M. through Video Conferencing ("**VC**") or Other Audio Visual Means ("**OAVM**"), and Consolidated Scrutinizer's Report on remote e-voting & e-voting conducted at the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended.

We hereby inform that resolutions (both Ordinary and Special) have been passed by the Shareholders with requisite majority as mandated under Companies Act, 2013 and other applicable laws.

Further, as required voting results will also be submitted in XBRL mode.

This is for your information and records.

Thanking You,

Yours faithfully,
For AU SMALL FINANCE BANK LIMITED

Small Finance Bank Limited JAIPUR

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Enclosed: As above

AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

AU Small Finance Bank Limited- AGM dated 23rd August, 2022

Date of the AGM	Tuesday, 23rd August, 2022
Total number of shareholders on record date	1,88,786
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	 Not Applicable Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	 1 130

AU Small Finance Bank Limited JAIPUR

A SCHEDULED COMMERCIAL BANK

Resolution required: (Ordinary/ Special)	**Ordinary:** (1) To adopt the Audited Financial Statements of the Bank for the Financial Year ended on 31st March 2022 and the reports of the Board of Directors and the Auditors thereon
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.00000	17,02,98,412	-	100.00000	0.00000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.00000**	**17,02,98,412**	**-**	**100.00000**	**0.00000**
Public Institutions	E-Voting	37,06,51,897	29,16,61,171	78.68870	29,16,61,171	-	100.00000	0.00000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,16,61,171**	**78.68870**	**29,16,61,171**	**-**	**100.00000**	**0.00000**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,980	39.32703	4,86,54,754	226	99.99954	0.00046
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,980**	**39.32703**	**4,86,54,754**	**226**	**99.99954**	**0.00046**
Total		**66,46,69,224**	**51,06,14,563**	**76.82236**	**51,06,14,337**	**226**	**99.99996**	**0.00004**

AU Small Finance Bank Limited JAIPUR

AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

Resolution required: (Ordinary/ Special)	Ordinary: (2) To declare dividend of Rs. 0.50 per equity share of Rs. 10 each for the Financial Year 2021-22
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,18,68,613	78.7447	29,18,68,613	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,18,68,613**	**78.7447**	**29,18,68,613**	**-**	**100.0000**	**0.0000**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,876	39.3270	4,86,53,924	952	99.9980	0.0020
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,876**	**39.3270**	**4,86,53,924**	**952**	**99.9980**	**0.0020**
Total		**66,46,69,224**	**51,08,21,901**	**76.8536**	**51,08,20,949**	**952**	**99.9998**	**0.0002**

AU Small Finance Bank Limited JAIPUR

AU SMALL FINANCE BANK

A SCHEDULED COMMERCIAL BANK

Resolution required: (Ordinary/ Special)			Ordinary: (3) To appoint a director in place of Mr. Sanjay Agarwal (DIN: 00009526) who retires by rotation and being eligible, has offered himself for re-appointment					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	-	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,17,43,826	78.7110	29,17,43,826	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,17,43,826**	**78.7110**	**29,17,43,826**	-	**100.0000**	**0.0000**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,677	39.3268	4,86,52,918	1,759	99.9964	0.0036
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,677**	**39.3268**	**4,86,52,918**	**1,759**	**99.9964**	**0.0036**
Total		**66,46,69,224**	**51,06,96,915**	**76.8347**	**51,06,95,156**	**1,759**	**99.9997**	**0.0003**

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AU SMALL FINANCE BANK
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Resolution required: (Ordinary/ Special)			**Special:** (4) To re-appoint Mr. Mankal Shankar Sriram (DIN: 00588922) for second term of 3 years as an Independent Director					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	28,92,93,363	78.0499	28,30,55,049	62,38,314	97.8436	2.1564
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**28,92,93,363**	**78.0499**	**28,30,55,049**	**62,38,314**	**97.8436**	**2.1564**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,677	39.3268	4,86,53,067	1,610	99.9967	0.0033
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,677**	**39.3268**	**4,86,53,067**	**1,610**	**99.9967**	**0.0033**
Total		**66,46,69,224**	**50,82,46,452**	**76.4661**	**50,20,06,528**	**62,39,924**	**98.7723**	**1.2277**

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Resolution required: (Ordinary/ Special)	Special: (5) To re-appoint Mr. Pushpinder Singh (DIN: 08496066) for second term of 3 years as an Independent Director
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,17,43,826	78.7110	29,17,39,580	4,246	99.9985	0.0015
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,17,43,826**	**78.7110**	**29,17,39,580**	**4,246**	**99.9985**	**0.0015**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,177	39.3264	4,86,52,172	2,005	99.9959	0.0041
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,177**	**39.3264**	**4,86,52,172**	**2,005**	**99.9959**	**0.0041**
Total		**66,46,69,224**	**51,06,96,415**	**76.8347**	**51,06,90,164**	**6,251**	**99.9988**	**0.0012**

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Resolution required: (Ordinary/ Special)	Special: (6) To re-appoint Mr. Kannan Gopalaraghavan Vellur (DIN: 03443982) for second term of 3 years as an Independent Director
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,17,43,826	78.7110	28,96,67,781	20,76,045	99.2884	0.7116
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,17,43,826**	**78.7110**	**28,96,67,781**	**20,76,045**	**99.2884**	**0.7116**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,638	39.3268	4,86,53,087	1,551	99.9968	0.0032
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,638**	**39.3268**	**4,86,53,087**	**1,551**	**99.9968**	**0.0032**
Total		**66,46,69,224**	**51,06,96,876**	**76.8347**	**50,86,19,280**	**20,77,596**	**99.5932**	**0.4068**

AU Small Finance Bank Limited JAIPUR

AU SMALL FINANCE BANK
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Resolution required: (Ordinary/ Special)			**Ordinary:** (7) To approve the remuneration of Mr. Sanjay Agarwal (DIN: 00009526), Managing Director & CEO of the Bank for the Financial Year 2022-23					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,16,80,084	78.6938	27,70,66,074	1,46,14,010	94.9897	5.0103
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,16,80,084**	**78.6938**	**27,70,66,074**	**1,46,14,010**	**94.9897**	**5.0103**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,679	39.3268	4,86,53,455	1,224	99.9975	0.0025
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,679**	**39.3268**	**4,86,53,455**	**1,224**	**99.9975**	**0.0025**
Total		**66,46,69,224**	**51,06,33,175**	**76.8252**	**49,60,17,941**	**1,46,15,234**	**97.1378**	**2.8622**

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Resolution required: (Ordinary/ Special)	**Ordinary:** (8) To approve the remuneration of Mr. Uttam Tibrewal (DIN: 01024940), Whole Time Director of the Bank for the Financial Year 2022-23
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,16,80,084	78.6938	27,70,66,074	1,46,14,010	94.9897	5.0103
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,16,80,084**	**78.6938**	**27,70,66,074**	**1,46,14,010**	**94.9897**	**5.0103**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,680	39.3268	4,86,52,798	1,882	99.9961	0.0039
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,680**	**39.3268**	**4,86,52,798**	**1,882**	**99.9961**	**0.0039**
Total		**66,46,69,224**	**51,06,33,176**	**76.8252**	**49,60,17,284**	**1,46,15,892**	**97.1377**	**2.8623**

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Resolution required: (Ordinary/ Special)			**Special:** (9) To issue non-convertible debt securities/bonds/other permissible instruments, in one or more tranches					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,17,18,518	78.7042	28,96,14,228	21,04,290	99.2787	0.7213
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,17,18,518**	**78.7042**	**28,96,14,228**	**21,04,290**	**99.2787**	**0.7213**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,682	39.3268	4,86,53,960	722	99.9985	0.0015
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,682**	**39.3268**	**4,86,53,960**	**722**	**99.9985**	**0.0015**
Total		**66,46,69,224**	**51,06,71,612**	**76.8309**	**50,85,66,600**	**21,05,012**	**99.5878**	**0.4122**

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Resolution required: (Ordinary/ Special)	**Special:** (10) To raise funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement or such other permissible mode or combinations thereof
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	-	100.0000	0.0000
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**17,02,98,412**	**100.0000**	**17,02,98,412**	**-**	**100.0000**	**0.0000**
Public Institutions	E-Voting	37,06,51,897	29,17,43,826	78.7110	28,57,88,115	59,55,711	97.9586	2.0414
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**29,17,43,826**	**78.7110**	**28,57,88,115**	**59,55,711**	**97.9586**	**2.0414**
Public Non Institutions	E-Voting	12,37,18,915	4,86,54,771	39.3269	4,86,54,063	708	99.9985	0.0015
	Poll		-	-	-	-	-	-
	Postal Ballot (if applicable)		-	-	-	-	-	-
	Total		**4,86,54,771**	**39.3269**	**4,86,54,063**	**708**	**99.9985**	**0.0015**
Total		**66,46,69,224**	**51,06,97,009**	**76.8348**	**50,47,40,590**	**59,56,419**	**98.8337**	**1.1663**

V.M. & ASSOCIATES
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SCRUTINIZER'S REPORT

To,
The Chairman of
27th Annual General Meeting ("AGM") of the Shareholders of AU Small Finance Bank Limited held on Tuesday, 23rd August, 2022 at 04:00 P.M. (IST) through Video Conferencing ("VC") / Other Audio-Visual Means ("OAVM").

Sub: Consolidated Scrutinizer's Report on Remote E-Voting & E-Voting conducted at the AGM

The Board of Directors of AU Small Finance Bank Limited (hereinafter referred to as "**the Bank**") at its meeting held on Saturday, 23rd July, 2022 has appointed me as the scrutinizer for the remote e-voting process as well as to scrutinize the e-voting conducted at the AGM pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (as amended) (hereinafter referred to as "**Rule 20**") and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure compliance with the requirements of the Companies Act, 2013, Rules and circulars issued by MCA and SEBI relating to conducting of AGM through VC/OAVM and voting by electronic means for the resolutions contained in the Notice of the 27th AGM of the Equity Shareholders dated 23rd July, 2022. My responsibility as a Scrutinizer for the voting process of voting by electronic means is restricted to making a Consolidated Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of the AGM, based on the reports generated from the e-voting system provided by National Securities Depository Limited, the Agency Authorized under the Rules and engaged by the Bank to provide remote e-voting facilities and e-voting facilities to vote at the AGM.

Report on Scrutiny:

- The Bank had appointed National Securities Depository Limited (hereinafter referred to as "**NSDL**" / "**Service Provider**") as the service provider, for the purpose of extending the facility of remote e-voting and e-voting at the AGM to the shareholders of the Bank. Link Intime India Private Limited is the Registrar and Share Transfer Agents (hereinafter referred to as "RTA") of the Bank.

- The Service Provider had provided a system for recording the votes of the Shareholders electronically on all the items of the business (both Ordinary and Special Business) sought to be transacted at the AGM of the Bank.

- The Service Provider had set up electronic voting facility on their website, https://www.evoting.nsdl.com. The Bank had uploaded the items of the business to be transacted at the AGM on the website of the Bank and also of the Service Provider to facilitate their Shareholders to cast their vote through remote e-voting and e-voting at the AGM.

AU SMALL FINANCE BANK LIMITED JAIPUR

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- Pursuant to General Circular No. 02/2022 dated 05th May, 2022, read with Circular No. 20/2020 dated 05th May, 2020, Circular No. 14/2020 dated 08th April, 2020 and Circular No. 17/2020 dated 13th April, 2020 issued by the Ministry of Corporate Affairs (collectively referred to as "MCA Circulars"), advertisement was published in Business Standard (English newspaper) and Nafa Nuksan (vernacular language newspaper), having electronic editions on 27th July, 2022 specifying the date and time of the AGM, availability of the notice on Bank's website and website of the Stock Exchanges, manner of registration of email IDs by the members (both physical and demat) who are yet to register their email IDs with the Bank, manner of voting through remote e-voting or through e-voting system at the AGM etc.

- The internal cut-off date for the dispatch of the Notice of the AGM was Friday, 29th July, 2022 and as on that date, there were 1,93,124 Shareholders of the Bank.

- The Bank informed that in compliance with the MCA Circulars and SEBI Circular No. SEBI/HO/CFD/CMD2/CIR/P/2022/62 dated 13th May, 2022 read with SEBI Circular No. SEBI/HO/CFD/CMD2/CIR/P/2021/11 dated 15th January, 2021 and SEBI Circular No. SEBI/HO/CFD/CMD1/CIR/P/2020/79 dated 12th May, 2020 and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider of the Bank completed dispatch of Notice of AGM on 31st July, 2022 by E-mail to 1,86,685 Members who had already registered their email ids with the Bank / Depositories.

- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.

- As prescribed in clause (v) of sub rule 4 of Rule 20 of The Companies (Management and Administration) Rules, 2014, the Bank also released an advertisement, which was published 21 days before the date of the AGM in "Business Standard", English newspaper in English language and in "Nafa Nuksan", vernacular newspaper in vernacular language on Monday, 1st August, 2022. The notice published in the newspaper carried the required information as specified in Sub Rule 4(v) (a) to (h) of the said Rule 20.

- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolutions placed for approval of the Shareholders was Tuesday, 16th August, 2022.

- The remote e-voting period remained open from Friday, 19th August, 2022 at 9:00 A.M. and ended on Monday, 22nd August, 2022 at 05:00 P.M.

- At the end of the voting period on Monday, 22nd August, 2022 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith. The limited information for the Shareholders who have cast their votes, such as name, folio number of shares held was obtained from the Service Provider.

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- The Bank had also provided e-voting facility to the shareholders present at the AGM through VC / OAVM and who had not cast their vote earlier.

- After declaration of voting at AGM by the Chairman, the shareholders present through VC voted using e-voting facility provided by NSDL / Service Provider. As per the information given by the Bank / RTA the names of the shareholders who had voted by remote e-voting through the facility provided by NSDL had been blocked and only those members who were present at the AGM through VC and who had not voted on remote e-voting were allowed to cast their votes through e-voting system during the AGM.

- After closure of e-voting at the AGM, the votes cast through e-voting at the AGM and through remote e-voting prior to the date of AGM were unblocked and downloaded from the e-voting website of NSDL / Service Provider (https://www.evoting.nsdl.com) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data / results downloaded from the e-voting system of NSDL were scrutinized and reviewed, the votes were counted, and the results were prepared.

- Based on the data downloaded from NSDL e-voting system, the total votes cast in favour or against all the resolutions proposed in the Notice of the AGM are as under:

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Item No. 1: Ordinary Resolution:

To adopt the Audited Financial Statements of the Bank for the Financial Year ended on 31st March 2022 and the reports of the Board of Directors and the Auditors thereon.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,069	510,590,781
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,086	510,614,563
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,086	510,614,563

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.00000%	170,298,412	0	100.00000%	0.00000%
Public- Institutional Holders	370,651,897	291,661,171	78.68870%	291,661,171	0	100.00000%	0.00000%
Public- others	123,718,915	48,654,980	39.32703%	48,654,754	226	99.99954%	0.00046%
Total	**664,669,224**	**510,614,563**	**76.82236%**	**510,614,337**	**226**	**99.99996%**	**0.00004%**

Percentage of Votes cast in favour: 99.99996% | Percentage of votes cast against: 0.00004%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.99996%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 1** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

AU SMALL FINANCE BANK LIMITED JAIPUR

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Item No. 2: Ordinary Resolution:

To declare dividend of Rs. 0.50 per equity share of Rs. 10 each for the Financial Year 2021-22.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,070	510,798,119
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,087	510,821,901
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,087	510,821,901

NOTES:

(iv) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(v) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(vi) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,868,613	78.7447%	291,868,613	0	100.0000%	0.0000%
Public- others	123,718,915	48,654,876	39.3270%	48,653,924	952	99.9980%	0.0020%
Total	**664,669,224**	**510,821,901**	**76.8536%**	**510,820,949**	**952**	**99.9998%**	**0.0002%**

Percentage of Votes cast in favour: 99.9998% | Percentage of votes cast against: 0.0002%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9998%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 2** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

AU SMALL FINANCE BANK LIMITED JAIPUR

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Item No. 3: Ordinary Resolution:
To appoint a director in place of Mr. Sanjay Agarwal (DIN: 00009526), who retires by rotation and, being eligible, has offered himself for re-appointment.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,062	510,673,133
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,079	510,696,915
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,079	510,696,915

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,743,826	78.7110%	291,743,826	0	100.0000%	0.0000%
Public- others	123,718,915	48,654,677	39.3268%	48,652,918	1,759	99.9964%	0.0036%
Total	**664,669,224**	**510,696,915**	**76.8347%**	**510,695,156**	**1,759**	**99.9997%**	**0.0003%**

Percentage of Votes cast in favour: 99.9997% | Percentage of votes cast against: 0.0003%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9997%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 3** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

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Item No. 4: Special Resolution:

To re-appoint Mr. Mankal Shankar Sriram (DIN: 00588922) for second term of 3 years as an Independent Director.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	1,057	508,222,670
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,074	508,246,452
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,074	508,246,452

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	289,293,363	78.0499%	283,055,049	6,238,314	97.8436%	2.1564%
Public- others	123,718,915	48,654,677	39.3268%	48,653,067	1,610	99.9967%	0.0033%
Total	**664,669,224**	**508,246,452**	**76.4661%**	**502,006,528**	**6,239,924**	**98.7723%**	**1.2277%**

Percentage of Votes cast in favour: 98.7723% | Percentage of votes cast against: 1.2277%

RESULT:-

Since, the number of votes cast in favour of the resolution is **98.7723%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 4** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

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Item No. 5: Special Resolution:
To re-appoint Mr. Pushpinder Singh (DIN: 08496066) for second term of 3 years as an Independent Director.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,060	510,672,633
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,077	510,696,415
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,077	510,696,415

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,743,826	78.7110%	291,739,580	4,246	99.9985%	0.0015%
Public- others	123,718,915	48,654,117	39.3263%	48,652,172	2,005	99.9960%	0.0041%
Total	**664,669,224**	**510,696,415**	**76.8347%**	**510,690,164**	**6,251**	**99.9988%**	**0.0012%**

Percentage of Votes cast in favour: 99.9988% | Percentage of votes cast against: 0.0012%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9988%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 5** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

AU SMALL FINANCE BANK LIMITED JAIPUR

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Item No. 6: Special Resolution:
To re-appoint Mr. Kannan Gopalaraghavan Vellur (DIN: 03443982) for second term of 3 years as an Independent Director.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,061	510,673,094
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,078	510,696,876
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,078	510,696,876

NOTES:

(i) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.
(ii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.
(iii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstandin g shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)] *100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,743,826	78.7110%	289,667,781	2,076,045	99.2884%	0.7116%
Public- others	123,718,915	48,654,638	39.3268%	48,653,087	1,551	99.9968%	0.0032%
Total	**664,669,224**	**510,696,876**	**76.8347%**	**508,619,280**	**2,077,596**	**99.5932%**	**0.4068%**

Percentage of Votes cast in favour: 99.5932% | Percentage of votes cast against: 0.4068%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.5932%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 6** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

AU SMALL FINANCE BANK LIMITED JAIPUR

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Item No. 7: Ordinary Resolution:

To approve the remuneration of Mr. Sanjay Agarwal (DIN: 00009526), Managing Director & CEO of the Bank for the Financial Year 2022-23.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,064	510,609,393
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,081	510,633,175
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,081	510,633,175

NOTES:

(iv) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(v) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(vi) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,680,084	78.6938%	277,066,074	14,614,010	94.9897%	5.0103%
Public- others	123,718,915	48,654,679	39.3268%	48,653,455	1,224	99.9975%	0.0025%
Total	**664,669,224**	**510,633,175**	**76.8252%**	**496,017,941**	**14,615,234**	**97.1378%**	**2.8622%**

Percentage of Votes cast in favour: 97.1378% | Percentage of votes cast against: 2.8622%

RESULT:-

Since, the number of votes cast in favour of the resolution is **97.1378%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 7** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

AU SMALL FINANCE BANK LIMITED JAIPUR

V.M. & ASSOCIATES COMPANY SECRETARIES

403, Royal World
Sansar Chandra Road, Jaipur- 1
Telephone : 0141-2370954
Email : cs.vmanda@gmail.com

Item No. 8: Ordinary Resolution:

To approve the remuneration of Mr. Uttam Tibrewal (DIN: 01024940), Whole Time Director of the Bank for the Financial Year 2022-23.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,064	510,609,394
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,081	510,633,176
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,081	510,633,176

NOTES:

(vii) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(viii) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(ix) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,680,084	78.6938%	277,066,074	14,614,010	94.9897%	5.0103%
Public- others	123,718,915	48,654,680	39.3268%	48,652,798	1,882	99.9961%	0.0039%
Total	**664,669,224**	**510,633,176**	**76.8252%**	**496,017,284**	**14,615,892**	**97.1377%**	**2.8623%**

Percentage of Votes cast in favour: 97.1377% | Percentage of votes cast against: 2.8623%

RESULT:-

Since, the number of votes cast in favour of the resolution is **97.1377%**, Based on the aforesaid result, I report that the **Ordinary Resolution** as set out in **Item No. 8** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

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V.M. & ASSOCIATES
COMPANY SECRETARIES

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Item No. 9: Special Resolution:

To issue Non convertible debt securities/bonds/other permissible instruments, in one or more tranches.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,064	510,647,830
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,081	510,671,612
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,081	510,671,612

NOTES:

(x) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(xi) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(xii) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,718,518	78.7042%	289,614,228	2,104,290	99.2787%	0.7213%
Public- others	123,718,915	48,654,682	39.3268%	48,653,960	722	99.9985%	0.0015%
Total	**664,669,224**	**510,671,612**	**76.8309%**	**508,566,600**	**2,105,012**	**99.5878%**	**0.4122%**

Percentage of Votes cast in favour: 99.5878%| Percentage of votes cast against: 0.4122%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.5878%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 9** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

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Item No. 10: Special Resolution:

To raise funds through issue of equity shares and/or any other instruments or securities representing either equity shares and/or convertible securities linked to equity shares including through Qualified Institutions Placement or such other permissible mode or combinations thereof.

Total No. of shareholders/ folios	188,786		
Total No. of Shares	664,669,224		
Remote E-voting Period	From Friday, 19th August, 2022 at 9:00 A.M to Monday, 22nd August, 2022 at 5:00 P.M		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1,064	510,673,227
Total Votes cast through e-voting at AGM	B	17	23,782
Grand Total of remote e-voting / e-voting at AGM (A+B)	C	1,081	510,697,009
Less: Invalid~~/abstain remote e-voting/ Polls at AGM (On account of for/against option not indicated)~~ / Less Voted	D	--	--
Net remote e-voting/ e-voting at AGM (C-D)	E	1,081	510,697,009

NOTES:

(xiii) There is no case where a shareholder has voted both through remote e-voting and voting at AGM.

(xiv) Invalid voting was not taken into account for counting of percentages of valid and invalid votes.

(xv) Votes cast in favour or against has been considered on the basis of the number of shares held as on the date reckoned for the purpose of the E-voting or the number of shares mentioned in the e-voting whichever is less.

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	170,298,412	170,298,412	100.0000%	170,298,412	0	100.0000%	0.0000%
Public- Institutional Holders	370,651,897	291,743,826	78.7110%	285,788,115	5,955,711	97.9586%	2.0414%
Public- others	123,718,915	48,654,771	39.3269%	48,654,063	708	99.9985%	0.0015%
Total	**664,669,224**	**510,697,009**	**76.8348%**	**504,740,590**	**5,956,419**	**98.8337%**	**1.1663%**

Percentage of Votes cast in favour: 98.8337% | Percentage of votes cast against: 1.1663%

RESULT:-

Since, the number of votes cast in favour of the resolution is **98.8337%**, Based on the aforesaid result, I report that the **Special Resolution** as set out in **Item No. 10** of the Notice of the AGM dated 23rd July, 2022 has been passed with requisite majority. The resolution is deemed to be passed as on the date of AGM.

AU SMALL FINANCE BANK LIMITED JAIPUR

V.M. & ASSOCIATES COMPANY SECRETARIES

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All the Resolutions mentioned in the AGM Notice dated 23rd July, 2022 as per the results above stand passed under remote e-voting and e-voting at the AGM with the requisite majority and deemed to be passed as on the date of the AGM.

A soft copy, containing a list of Equity Shareholders who voted "FOR" or "AGAINST" and those whose votes were declared invalid/abstained/voted for lesser shares, for each resolution is being handed over to the Company Secretary.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary for preserving safely after the Chairman considers, approves and signs the Minutes of the aforesaid AGM.

This report may be treated as a report under Section 109 of the Companies Act, 2013 and Rule 21(2) of The Companies (Management and Administration) Rules, 2014.

I thank you for the opportunity given to act as a Scrutinizer for the remote e-voting and e-voting at AGM.

Yours Faithfully

V.M. & ASSOCIATES * COMPANY SECRETARIES *

CS Manoj Maheshwari
Scrutinizer
M. No.: FCS 3355 | C.P. No. 1971
Partner
V. M. & Associates
Company Secretaries
(ICSI Unique Code P1984RJ039200)

Place: Jaipur
Date: August 24, 2022
UDIN: F003355D000839795

Countersigned By:
For AU Small Finance Bank Limited

JAIPUR

Manmohan Parnami
Company Secretary & Compliance Officer
M. No.: FCS 9999
(as authorized by Chairman of AGM)