AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

Ref. No.: AUSFB/SEC/2022-23/112
Date: 30th May, 2022

To,

National Stock Exchange of India Ltd.	BSE Limited
Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
NSE Symbol: AUBANK	**Scrip Code: 540611**

Dear Sir/Madam,

Sub: Voting Results & Scrutinizer's Report of Postal Ballot of AU Small Finance Bank Limited ("the Bank")- Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

In continuation to our letter bearing no. AUSFB/SEC/2022-23/84 for issue of Postal Ballot notice dated 29th April, 2022 and pursuant to Regulation 44(3) of Listing Regulations and Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014, we hereby inform that the below Resolutions have been duly passed by the members of the Bank with the requisite majority:

Item No.	Agenda Items	Type of Resolution
1.	Increase in authorised share capital and consequential alteration to the capital clause of Memorandum of Association	Ordinary Resolutions
2.	Issue of Bonus Shares	
3.	Approval for the appointment of Mr. Kamlesh Shivji Vikamsey (Din: 00059620) as an Independent Director of the Bank	Special Resolution

In this regard, please find enclosed:

1. Voting results in the format prescribed under Regulation 44(3) of the Listing Regulations; and
2. Scrutinizer's Report for Postal Ballot via remote e-voting, pursuant to the provisions of the Companies Act, 2013 read with Rules made thereunder.

Further, as required voting results will also be submitted in XBRL mode.

This is for your information and records.

Thanking You,

Yours faithfully,
For AU SMALL FINANCE BANK LIMITED

AU SMALL FINANCE BANK LTD JAIPUR

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Enclosed: As above

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**

406829

AU Small Finance Bank Limited

Date of the Postal Ballot: a) Date of Postal Ballot Notice b) Date of Declaration of Result of Postal Ballot	 26th April, 2022 30th May, 2022
Last Date of receipt of Postal Ballot Form/ e-voting	29th May, 2022
Total number of shareholders on record date (cut-off date for ascertaining the list of shareholders to whom the notice of Postal Ballot was ascertaining the list of shareholders to whom the notice of Postal Ballot was sent and also reckoning voting rights i.e. cut-off date for Beneficiary Position)	1,57,720 equity shareholders (as on 28th April, 2022)
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	Not Applicable

AU SMALL FINANCE BANK JAIPUR LTD

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info@aubank.in I www.aubank.in

AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

Resolution required: (Ordinary/ Special)	**Ordinary:** (1) Increase in authorised share capital and consequential alteration to the capital clause of Memorandum of Association
Whether promoter/ promoter group are interested in the agenda/resolution?	No

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in Favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		(1)	(2)	(3)=[(2)/(1)] *100	(4)	(5)	(6)=[(4)/(2)] *100	(7)=[(5)/(2)] *100
Promoter and Promoter Group	E-Voting	8,88,99,206	8,70,69,885	97.94	8,70,69,885	-	100.00	0.00
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**8,70,69,885**	**97.94**	**8,70,69,885**	**-**	**100.00**	**0.00**
Public Institutions	E-Voting	16,77,21,798	15,01,08,324	89.50	14,63,38,834	37,69,490	97.49	2.51
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**15,01,08,324**	**89.50**	**14,63,38,834**	**37,69,490**	**97.49**	**2.51**
Public Non Institutions	E-Voting	5,82,91,727	2,48,82,561	42.69	2,48,80,631	1,930	99.99	0.01
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**2,48,82,561**	**42.69**	**2,48,80,631**	**1,930**	**99.99**	**0.01**
Total		**31,49,12,731**	**26,20,60,770**	**83.22**	**25,82,89,350**	**37,71,420**	**98.56**	**1.44**

AU SMALL FINANCE BANK LTD JAIPUR

Resolution required: (Ordinary/ Special)	Ordinary: (2) Issue of Bonus Shares							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)] *100	(4)	(5)	(6)=[(4)/(2)] *100	(7)=[(5)/(2)] *100
Promoter and Promoter Group	E-Voting	8,88,99,206	8,70,69,885	97.94	8,70,69,885	-	100.00	0.00
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**8,70,69,885**	**97.94**	**8,70,69,885**	**-**	**100.00**	**0.00**
Public Institutions	E-Voting	16,77,21,798	15,01,08,324	89.50	14,80,52,605	20,55,719	98.63	1.37
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**15,01,08,324**	**89.50**	**14,80,52,605**	**20,55,719**	**98.63**	**1.37**
Public Non Institutions	E-Voting	5,82,91,727	2,48,82,399	42.69	2,48,82,115	284	100.00	0.00
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**2,48,82,399**	**42.69**	**2,48,82,115**	**284**	**100.00**	**0.00**
Total		**31,49,12,731**	**26,20,60,608**	**83.22**	**26,00,04,605**	**20,56,003**	**99.22**	**0.78**

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AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

Resolution required: (Ordinary/ Special)			**Special:** (3) Approval for the appointment of Mr. Kamlesh Shivji Vikamsey (Din: 00059620) as an Independent Director of the Bank					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	**Mode of Voting**	**No. of shares held**	**No. of votes polled**	**% of Votes Polled on outstanding shares**	**No. of Votes - in Favour**	**No. of Votes - Against**	**% of Votes in favour on votes polled**	**% of Votes against on votes polled**
		(1)	(2)	(3)=[(2)/(1)]*100	(4)	(5)	(6)=[(4)/(2)]*100	(7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	8,88,99,206	8,70,69,885	97.94	8,70,69,885	-	100.00	0.00
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**8,70,69,885**	**97.94**	**8,70,69,885**	**-**	**100.00**	**0.00**
Public Institutions	E-Voting	16,77,21,798	14,99,57,202	89.41	11,19,75,825	3,79,81,377	74.67	25.33
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**14,99,57,202**	**89.41**	**11,19,75,825**	**3,79,81,377**	**74.67**	**25.33**
Public Non Institutions	E-Voting	5,82,91,727	2,48,82,277	42.69	2,48,81,426	851	100.00	0.00
	Poll		-	0.00	-	-	0.00	0.00
	Postal Ballot (if applicable)		-	0.00	-	-	0.00	0.00
	Total		**2,48,82,277**	**42.69**	**2,48,81,426**	**851**	**100.00**	**0.00**
Total		**31,49,12,731**	**26,19,09,364**	**83.17**	**22,39,27,136**	**3,79,82,228**	**85.50**	**14.50**

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V. M. & ASSOCIATES
COMPANY SECRETARIES
403, ROYAL WORLD
SANSAR CHANDRA ROAD
JAIPUR—302 001 (RAJASTHAN)
Phone: 0141 - 2370954
E-mail: cs.vmanda@gmail.com

Scrutinizer's Report

To,
The Chairman
AU Small Finance Bank Limited
19-A Dhuleshwar Garden
Jaipur –302001 (Rajasthan)

Dear Sir,

Sub: Scrutinizer's Report on Postal Ballot by way of Electronic Voting ("e-voting").

The Board of Directors of AU Small Finance Bank Limited (hereinafter referred to as "**the Bank**") at its Meeting held on Tuesday, April 26, 2022 has appointed me as the scrutinizer for scrutinizing the Postal Ballot by way of e-voting pursuant to Section 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 (as amended) and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure the compliance with the requirements of the relevant provisions of Section 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, (including any statutory modification(s), clarification(s), substitution(s) or re-enactment(s) thereof for the time being in force) and the guidelines prescribed by the Ministry of Corporate Affairs ("MCA") for holding general meetings/conducting postal ballot process through e-voting vide General Circular Nos. 14/2020 dated 8th April, 2020, No. 17/2020 dated 13th April, 2020, No. 22/2020 dated 15th June, 2020, No. 33/2020 dated 28th September, 2020, No. 39/2020 dated 31st December, 2020, No. 10/2021 dated 23rd June, 2021 and No. 20/2021 dated 8th December, 2021 respectively in view of COVID-19 pandemic ('MCA Circulars"), relating to postal ballot including voting by electronic means for the resolutions contained in the Notice of Postal Ballot dated April 26, 2022.

My responsibility as a Scrutinizer is restricted to making a Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of Postal Ballot, based on the reports generated/data downloaded from the e-voting system provided by National Securities Depository Limited, the Agency Authorized under the Rules and engaged by the Bank to provide e-voting facility.

Report on Scrutiny:

- The Bank had appointed National Securities Depository Limited (hereinafter referred to as "**NSDL / Service Provider**") as the Service Provider, for the purpose of extending the facility of remote e-voting to the Shareholders of the Bank. Link Intime India Private Limited is the Registrar and Share Transfer Agent (hereinafter referred to as "**RTA**") of the Bank.

- The Service Provider had provided a system for recording the votes of the Shareholders electronically on the items of business stated in the Postal Ballot Notice dated April 26, 2022.

Manoj Maheshwari
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Date: 2022.05.30 12:14:21 +05'30'

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COMPANY SECRETARIES

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- The Service Provider had set up an electronic voting facility on their website, https://www.evoting.nsdl.com.TheBank had uploaded the items of business to be transacted through Postal Ballot on the website of the Service Provider to facilitate their Shareholders to cast their vote through Remote E-voting.

- The internal cut-off date for the dispatch of the Notice of Postal Ballot was Thursday, April 28, 2022 and as on that date, there were 1,57,720 (One Lakh Fifty Seven Thousand Seven Hundred and Twenty) Shareholders of the Bank.

- The Bank informed that in compliance with the MCA Circulars and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider completed dispatch of Notice of Postal Ballot along-with e-voting details on April 29, 2022 by E-mail to 1,51,673 (One Lakh Fifty One Thousand Six Hundred and Seventy Three) shareholders who had already registered their email IDs with the Bank / Depositories.

- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.

- As stated in Rule 22 of The Companies (Management and Administration) Rules, 2014 (as amended), an advertisement was published by the Bank on April 30, 2022, in “Financial Express”, English newspaper in English language and in “Nafa Nuksan” Vernacular language newspaper in vernacular language, informing about the completion of the dispatch of the Postal Ballot Notice, by means of E-mail to the shareholders along with other related matters mentioned therein.

- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolutions contained in the Postal Ballot Notice was Thursday, April 28, 2022.

- The remote e-voting period commenced from Saturday, April 30, 2022 at 09:00 A.M. and concluded on Sunday, May 29, 2022 at 05:00 P.M.

- At the end of the voting period on Sunday, May 29, 2022 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith.

- After completion of e-voting, the votes cast by the Shareholders were unblocked and downloaded from the e-voting website of NSDL / Service Provider (https://www.evoting.nsdl.com) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data/results downloaded from the e-voting system of NSDL were scrutinized and reviewed, the votes were counted, and the results were prepared.

- Based on the data downloaded from NSDL e-voting system, the total votes cast in favour or against on the resolutions proposed in the Notice of Postal Ballot are as under:

Manoj Maheshwari Digitally signed by Manoj Maheshwari Date: 2022.05.30 12:14:46 +05'30'

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Item No. 1: Ordinary Resolution:
Increase in Authorized Share Capital and consequential Alteration to the Capital clause of Memorandum of Association.

Total No. of Shareholders/folios	1,57,720		
Total No. of Shares	31,49,12,731		
e-voting Period	From 09:00 A.M. on Saturday, April 30, 2022 till 05:00 P.M. on Sunday, May 29, 2022		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	1,220	26,20,60,770
~~Total Votes cast through Postal Ballot forms received~~	B	N.A.	N.A.
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,220	26,20,60,770
Less: Invalid remote e-voting~~/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)~~	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1,220	26,20,60,770

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)] *100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)] *100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	8,88,99,206	8,70,69,885	97.942%	8,70,69,885	0	100.000%	0.000%
Public- Institutional Holders	16,77,21,798	15,01,08,324	89.498%	14,63,38,834	37,69,490	97.489%	2.511%
Public- others	5,82,91,727	2,48,82,561	42.686%	2,48,80,631	1,930	99.992%	0.008%
Total	**31,49,12,731**	**26,20,60,770**	**83.217%**	**25,82,89,350**	**37,71,420**	**98.561%**	**1.439%**

Percentage of votes cast in favour: 98.561% | Percentage of votes cast against: 1.439%

RESULT:-

Since, the number of votes cast in favour of the resolution is **98.561%**; Based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 1** in the notice of postal ballot dated April 26, 2022 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. May 29, 2022.

Manoj Maheshwari
Digitally signed by Manoj Maheshwari
Date: 2022.05.30 12:15:01 +05'30'

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Item No. 2: Ordinary Resolution:
Issue of Bonus Shares

Total No. of Shareholders/folios	1,57,720		
Total No. of Shares	31,49,12,731		
e-voting Period	From 09:00 A.M. on Saturday, April 30, 2022 till 05:00 P.M. on Sunday, May 29, 2022		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	1,220	26,20,60,608
~~Total Votes cast through Postal Ballot forms received~~	B	N.A.	N.A.
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,220	26,20,60,608
Less: Invalid remote e-voting~~/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)~~	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1,220	26,20,60,608

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)] *100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)] *100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	8,88,99,206	8,70,69,885	97.942%	8,70,69,885	0	100.000%	0.000%
Public- Institutional Holders	16,77,21,798	15,01,08,324	89.498%	14,80,52,605	20,55,719	98.631%	1.369%
Public- others	5,82,91,727	2,48,82,399	42.686%	2,48,82,115	284	99.999%	0.001%
Total	**31,49,12,731**	**26,20,60,608**	**83.217%**	**26,00,04,605**	**20,56,003**	**99.215%**	**0.785%**

Percentage of votes cast in favour: 99.215% | Percentage of votes cast against: 0.785%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.215%**; Based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 2** in the notice of postal ballot dated April 26, 2022 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. May 29, 2022.

Manoj Maheshwari
Digitally signed by Manoj Maheshwari
Date: 2022.05.30 12:15:17 +05'30'

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Item No. 3: Special Resolution:
Approval for the appointment of Mr. Kamlesh Shivji Vikamsey (DIN: 00059620) as an Independent Director of the Bank.

Total No. of Shareholders/folios	1,57,720		
Total No. of Shares	31,49,12,731		
e-voting Period	From 09:00 A.M. on Saturday, April 30, 2022 till 05:00 P.M. on Sunday, May 29, 2022		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	1,205	26,19,09,364
~~Total Votes cast through Postal Ballot forms received~~	B	N.A.	N.A.
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,205	26,19,09,364
Less: Invalid remote e-voting~~/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)~~	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1,205	26,19,09,364

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)] *100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)] *100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	8,88,99,206	8,70,69,885	97.942%	8,70,69,885	0	100.000%	0.000%
Public- Institutional Holders	16,77,21,798	14,99,57,202	89.408%	11,19,75,825	3,79,81,377	74.672%	25.328%
Public- others	5,82,91,727	2,48,82,277	42.686%	2,48,81,426	851	99.997%	0.003%
Total	**31,49,12,731**	**26,19,09,364**	**83.169%**	**22,39,27,136**	**3,79,82,228**	**85.498%**	**14.502%**

Percentage of votes cast in favour: 85.498% | Percentage of votes cast against: 14.502%

RESULT:-

Since, the number of votes cast in favour of the resolution is **85.498%**; Based on the aforesaid result, I report that the **Special Resolution** as set out at **item no. 3** in the notice of postal ballot dated April 26, 2022 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. May 29, 2022.

Manoj Maheshwari
Digitally signed by Manoj Maheshwari
Date: 2022.05.30 12:15:33 +05'30'

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All the Resolutions mentioned in the Notice of Postal Ballot dated April 26, 2022 as per the result above stand passed under remote e-voting with the requisite majority and deemed to be passed as on the last date specified for the e-voting i.e., May 29, 2022.

A soft copy, containing a list of Equity Shareholders who voted "FOR" or "AGAINST" and those whose votes were declared invalid/abstained/voted for lesser shares, for each resolution is being handed over to the Company Secretary.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary for preserving safely after the Chairman considers, approves and signs the Minutes/Report on Postal Ballot.

I thank you for the opportunity given to act as a Scrutinizer for the above Postal Ballot.

Yours Faithfully

Manoj Maheshwari
Digitally signed by Manoj Maheshwari
Date: 2022.05.30 12:15:52 +05'30'

CS Manoj Maheshwari
Scrutinizer
M. No.: FCS 3355 | C.P. No. 1971
Partner
V. M. & Associates
Company Secretaries
(ICSI Unique Code P1984RJ039200)

Place: Jaipur
Date: May 30, 2022
UDIN: F003355D000426074

Countersigned By:
For AU Small Finance Bank Limited

MANMOHAN PARNAMI
Digitally signed by MANMOHAN PARNAMI
Date: 2022.05.30 12:58:02 +05'30'

Manmohan Parnami
Company Secretary & Compliance Officer
M. No.: FCS 9999
(As authorized by Chairman of the Bank)