

**Ref. No.: AUSFB/SEC/2025-26/354**

**Date: December 26, 2025**

To,

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611, 974093, 974094, 974095, 974914, 974963, 975017, 975038 &amp; 976580</b>
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Dear Sir/Madam,

**Sub: Voting Results & Scrutinizer's Report of the Postal Ballot of AU Small Finance Bank Limited**

**Ref: Regulation 30, 44(3) and 51 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")**

In continuation to our letter dated November 25, 2025, regarding notice of Postal Ballot and pursuant to Regulation 44(3) of the Listing Regulations and Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014, we hereby inform that the following resolutions have been duly passed by the members of the Bank with requisite majority:

Item No.	Agenda Item	Type of Resolution
1.	To approve the appointment of Mr. Narasinganallore Venkatesh Srinivasan (Mr. N S Venkatesh) (DIN: 01893686) as an Independent Director of the Bank	Special Resolution
2.	To approve the appointment of Mr. Satyajit Dwivedi (DIN: 08118455) as an Independent Director of the Bank	Special Resolution
3.	To approve the re-appointment of Ms. Malini Thadani (DIN: 01516555) for second term of 3 years as an Independent Director of the Bank	Special Resolution
4.	To approve remuneration of Mr. Sanjay Agarwal (DIN: 00009526), Managing Director & CEO of the Bank	Ordinary Resolution
5.	To approve the remuneration of Mr. Uttam Tibrewal (DIN: 01024940), Whole Time Director & Deputy CEO of the Bank	Ordinary Resolution
6.	To approve re-appointment of Mr. Sanjay Agarwal (DIN: 00009526) as Managing Director & CEO of the Bank	Ordinary Resolution

The voting results in the format prescribed and Scrutinizer's Report for Postal Ballot via remote e-voting, are enclosed as **Annexure-I**.

This is for your information and records.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan  
Parnami**

Digitally signed by Manmohan  
Parnami  
Date: 2025.12.26 18:46:17 +05'30'

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)  
**Enclosed: As above**

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
**Phone: +91 141 4110060/61, Fax: +91 141 4110090**  
CIN: L36911RJ1996PLC011381



## Annexure-I

### AU Small Finance Bank Limited

Date of the Postal Ballot:	
a) Date of Postal Ballot Notice	November 24, 2025
b) Date of Declaration of Result of Postal Ballot	December 26, 2025
Last Date of receipt of Postal Ballot Form/ e-voting	December 25, 2025
Total number of shareholders on record date (cut-off date for ascertaining the shareholders to whom the notice of Postal Ballot was sent and also reckoning voting rights i.e. cut-off date for Beneficiary Position)	1,87,601
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	Not Applicable

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Resolution Required : Special			ITEM NO. 1 - TO APPROVE THE APPOINTMENT OF MR. NARASINGANALLORE VENKATESH SRINIVASAN (MR. N S VENKATESH) (DIN: 01893686) AS AN INDEPENDENT DIRECTOR OF THE BANK					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>170298412</b>	<b>100.0000</b>	<b>170298412</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	501462021	385971676	76.9693	384989288	982388	99.7455	0.2545
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>385971676</b>	<b>76.9693</b>	<b>384989288</b>	<b>982388</b>	<b>99.7455</b>	<b>0.2545</b>
Public Non Institutions	E-Voting	74588304	18645471	24.9978	18644973	498	99.9973	0.0027
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18645471</b>	<b>24.9978</b>	<b>18644973</b>	<b>498</b>	<b>99.9973</b>	<b>0.0027</b>
<b>Total</b>		<b>746348737</b>	<b>574915559</b>	<b>77.0304</b>	<b>573932673</b>	<b>982886</b>	<b>99.8290</b>	<b>0.1710</b>

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Resolution Required : Special			ITEM NO. 2 - TO APPROVE THE APPOINTMENT OF MR. SATYAJIT DWIVEDI (DIN: 08118455) AS AN INDEPENDENT DIRECTOR OF THE BANK					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]=[2]/[1]*100	[4]	[5]	[6]=[4]/[2]*100	[7]=[5]/[2]*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>170298412</b>	<b>100.0000</b>	<b>170298412</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	501462021	385971676	76.9693	384989288	982388	99.7455	0.2545
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>385971676</b>	<b>76.9693</b>	<b>384989288</b>	<b>982388</b>	<b>99.7455</b>	<b>0.2545</b>
Public Non Institutions	E-Voting	74588304	18645553	24.9980	18645019	534	99.9971	0.0029
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18645553</b>	<b>24.9980</b>	<b>18645019</b>	<b>534</b>	<b>99.9971</b>	<b>0.0029</b>
<b>Total</b>		<b>746348737</b>	<b>574915641</b>	<b>77.0304</b>	<b>573932719</b>	<b>982922</b>	<b>99.8290</b>	<b>0.1710</b>

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Resolution Required : Special			ITEM NO. 3 - TO APPROVE THE RE-APPOINTMENT OF MS. MALINI THADANI (DIN: 01516555) FOR SECOND TERM OF 3 YEARS AS AN INDEPENDENT DIRECTOR OF THE BANK					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\{[2]/[1]\} * 100$	[4]	[5]	$[6]=\{[4]/[2]\} * 100$	$[7]=\{[5]/[2]\} * 100$
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>170298412</b>	<b>100.0000</b>	<b>170298412</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	501462021	385968521	76.9686	352899854	33068667	91.4323	8.5677
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>385968521</b>	<b>76.9686</b>	<b>352899854</b>	<b>33068667</b>	<b>91.4323</b>	<b>8.5677</b>
Public Non Institutions	E-Voting	74588304	18645471	24.9978	18644850	621	99.9967	0.0033
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18645471</b>	<b>24.9978</b>	<b>18644850</b>	<b>621</b>	<b>99.9967</b>	<b>0.0033</b>
<b>Total</b>		<b>746348737</b>	<b>574912404</b>	<b>77.0300</b>	<b>541843116</b>	<b>33069288</b>	<b>94.2479</b>	<b>5.7521</b>

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Resolution Required : Ordinary			ITEM NO. 4 - TO APPROVE REMUNERATION OF MR. SANJAY AGARWAL (DIN: 00009526), MANAGING DIRECTOR & CEO OF THE BANK					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>170298412</b>	<b>100.0000</b>	<b>170298412</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	501462021	384989288	76.7734	381369839	3619449	99.0599	0.9401
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>384989288</b>	<b>76.7734</b>	<b>381369839</b>	<b>3619449</b>	<b>99.0599</b>	<b>0.9401</b>
Public Non Institutions	E-Voting	74588304	18645321	24.9976	18643115	2206	99.9882	0.0118
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18645321</b>	<b>24.9976</b>	<b>18643115</b>	<b>2206</b>	<b>99.9882</b>	<b>0.0118</b>
<b>Total</b>		<b>746348737</b>	<b>573933021</b>	<b>76.8988</b>	<b>570311366</b>	<b>3621655</b>	<b>99.3690</b>	<b>0.6310</b>

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CIN: L36911RJ1996PLC011381

Resolution Required : Ordinary			ITEM NO. 5 - TO APPROVE THE REMUNERATION OF MR. UTTAM TIBREWAL (DIN: 01024940), WHOLE TIME DIRECTOR & DEPUTY CEO OF THE BANK					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>170298412</b>	<b>100.0000</b>	<b>170298412</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	501462021	384989288	76.7734	381369839	3619449	99.0599	0.9401
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>384989288</b>	<b>76.7734</b>	<b>381369839</b>	<b>3619449</b>	<b>99.0599</b>	<b>0.9401</b>
Public Non Institutions	E-Voting	74588304	18645471	24.9978	18642972	2499	99.9866	0.0134
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18645471</b>	<b>24.9978</b>	<b>18642972</b>	<b>2499</b>	<b>99.9866</b>	<b>0.0134</b>
<b>Total</b>		<b>746348737</b>	<b>573933171</b>	<b>76.8988</b>	<b>570311223</b>	<b>3621948</b>	<b>99.3689</b>	<b>0.6311</b>

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**CIN:** L36911RJ1996PLC011381

Resolution Required : Ordinary			ITEM NO. 6 - TO APPROVE RE-APPOINTMENT OF MR. SANJAY AGARWAL (DIN: 00009526) AS MANAGING DIRECTOR & CEO OF THE BANK					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>170298412</b>	<b>100.0000</b>	<b>170298412</b>	<b>0</b>	<b>100.0000</b>	<b>0.0000</b>
Public Institutions	E-Voting	501462021	385971676	76.9693	381551479	4420197	98.8548	1.1452
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>385971676</b>	<b>76.9693</b>	<b>381551479</b>	<b>4420197</b>	<b>98.8548</b>	<b>1.1452</b>
Public Non Institutions	E-Voting	74588304	18645471	24.9978	18644591	880	99.9953	0.0047
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	<b>Total</b>		<b>18645471</b>	<b>24.9978</b>	<b>18644591</b>	<b>880</b>	<b>99.9953</b>	<b>0.0047</b>
<b>Total</b>		<b>746348737</b>	<b>574915559</b>	<b>77.0304</b>	<b>570494482</b>	<b>4421077</b>	<b>99.2310</b>	<b>0.7690</b>

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**Scrutinizer's Report**

To,  
The Chairman  
AU Small Finance Bank Limited  
19-A Dhuleshwar Garden  
Jaipur –302001 (Rajasthan)

Dear Sir,

**Sub: Scrutinizer's Report on Postal Ballot by way of Electronic Voting ("e-voting").**

The Board of Directors of **AU Small Finance Bank Limited** (hereinafter referred to as "**the Bank**") at its Meeting held on Monday, November 24, 2025 has appointed me as the scrutinizer for scrutinizing the Postal Ballot by way of remote e-voting pursuant to Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 (as amended) and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure the compliance with the requirements of the relevant provisions of Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, (including any statutory modification(s), clarification(s), substitution(s) or re-enactment(s) thereof for the time being in force) and the guidelines prescribed by the Ministry of Corporate Affairs ("MCA") for holding general meetings/conducting postal ballot process through e-voting vide General Circular No. 03/2025 dated September 22, 2025 read along with other connected circulars issued from time to time in this regard ("MCA Circulars"), relating to postal ballot including voting by electronic means for the resolution contained in the Notice of Postal Ballot dated November 24, 2025.

My responsibility as a Scrutinizer is restricted to making a Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of Postal Ballot, based on the reports generated/data downloaded from the e-voting system provided by Central Depository Services (India) Limited, the Agency Authorized under the Rules and engaged by the Bank to provide e-voting facility.

**Report on Scrutiny:**

- The Bank had appointed Central Depository Services (India) Limited (hereinafter referred to as "**CDSL / Service Provider**") as the Service Provider, for the purpose of extending the facility of remote e-voting to the Shareholders of the Bank. MUFG Intime India Private Limited (Formerly known as Link Intime India Private Limited) is the Registrar and Share Transfer Agent (hereinafter referred to as "**RTA**") of the Bank.
- The Service Provider had provided a system for recording the votes of the Shareholders electronically on the items of business stated in the Notice of Postal Ballot dated November 24, 2025.



- The Service Provider had set up an electronic voting facility on their website, <https://www.evotingindia.com>. The Bank had uploaded the item of business to be transacted through Postal Ballot on the website of the Service Provider to facilitate their Shareholders to cast their vote through Remote E-voting.
- The internal cut-off date for the dispatch of the Notice of Postal Ballot was Friday, November 21, 2025 and as on that date, there were 1,87,601 (One Lakh Eighty Seven Thousand Six Hundred and One) Shareholders of the Bank.
- The Bank informed that in compliance with the MCA Circulars and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider completed dispatch of Notice of Postal Ballot along-with e-voting details on Tuesday, November 25, 2025 by E-mail to 1,81,730 (One Lakh Eighty One Thousand Seven Hundred and Thirty) shareholders who had already registered their Email IDs with the Bank / Depositories.
- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.
- As stated in Rule 22 of The Companies (Management and Administration) Rules, 2014 (as amended), an advertisement was published by the Bank on Wednesday, November 26, 2025, in "Financial Express", English newspaper in English language and in "Nafa Nuksan" Vernacular language newspaper in vernacular language, informing about the completion of the dispatch of the Notice of Postal Ballot, by means of E-mail to the shareholders along with other related matters mentioned therein.
- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolution contained in the Notice of Postal Ballot was Friday, November 21, 2025.
- The remote e-voting period commenced from Wednesday, November 26, 2025 at 09:00 A.M. and concluded on Thursday, December 25, 2025 at 05:00 P.M.
- At the end of the voting period on Thursday, December 25, 2025 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith.
- After completion of e-voting, the votes cast by the Shareholders were unblocked and downloaded from the e-voting website of CDSL / Service Provider (<https://www.evotingindia.com>) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data/results downloaded from the e-voting system of CDSL were scrutinized and reviewed, the votes were counted, and the results were prepared.
- Based on the data downloaded from CDSL e-voting system, the total votes cast in favour or against on the resolution proposed in the Notice of Postal Ballot are as under:

**Item No. 1: Special Resolution:****TO APPROVE THE APPOINTMENT OF MR. NARASINGANALLORE VENKATESH SRINIVASAN (MR. N S VENKATESH) (DIN: 01893686) AS AN INDEPENDENT DIRECTOR OF THE BANK**

Total No. of Shareholders/folios	1,87,601		
Total No. of Shares	74,63,48,737		
e-voting Period	From 09:00 A.M. on Wednesday, November 26, 2025 till 05:00 P.M. on Thursday, December 25, 2025		
		<b>Number of Votes/Folio</b>	<b>Number of shares</b>
Total votes cast through remote e-voting	A	1,019	57,49,15,559
<del>Total Votes cast through Postal Ballot forms received</del>	<del>B</del>	<del>0</del>	<del>0</del>
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,019	57,49,15,559
Less: Invalid remote e-voting/ <del>Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)</del>	D	NA	NA
<b>Net remote e-voting/Postal Ballot Forms (C-D)</b>	<b>E</b>	<b>1,019</b>	<b>57,49,15,559</b>

**SUMMARY OF VOTING**

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,14,62,021	38,59,71,676	76.9693	38,49,89,288	9,82,388	99.7455	0.2545
Public- others	7,45,88,304	1,86,45,471	24.9978	1,86,44,973	498	99.9973	0.0027
<b>Total</b>	<b>74,63,48,737</b>	<b>57,49,15,559</b>	<b>77.0304</b>	<b>57,39,32,673</b>	<b>9,82,886</b>	<b>99.8290</b>	<b>0.1710</b>

**Percentage of votes cast in favour: 99.8290% | Percentage of votes cast against: 0.1710%****RESULT:-**

Since, the number of votes cast in favour of the resolution is **99.8290%**, based on the aforesaid result, I report that the **Special Resolution** as set out at **item no. 1** in the notice of postal ballot dated November 24, 2025 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. December 25, 2025.

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**Item No. 2: Special Resolution:****TO APPROVE THE APPOINTMENT OF MR. SATYAJIT DWIVEDI (DIN: 08118455) AS AN INDEPENDENT DIRECTOR OF THE BANK**

Total No. of Shareholders/folios	1,87,601		
Total No. of Shares	74,63,48,737		
e-voting Period	From 09:00 A.M. on Wednesday, November 26, 2025 till 05:00 P.M. on Thursday, December 25, 2025		
		<b>Number of Votes/Folio</b>	<b>Number of shares</b>
Total votes cast through remote e-voting	A	1,020	57,49,15,641
<del>Total Votes cast through Postal Ballot forms received</del>	<del>B</del>	<del>0</del>	<del>0</del>
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,020	57,49,15,641
Less: Invalid remote e-voting/ <del>Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)</del>	D	NA	NA
<b>Net remote e-voting/Postal Ballot Forms (C-D)</b>	<b>E</b>	<b>1,020</b>	<b>57,49,15,641</b>

**SUMMARY OF VOTING**

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,14,62,021	38,59,71,676	76.9693	38,49,89,288	9,82,388	99.7455	0.2545
Public- others	7,45,88,304	1,86,45,553	24.9980	1,86,45,019	534	99.9971	0.0029
<b>Total</b>	<b>74,63,48,737</b>	<b>57,49,15,641</b>	<b>77.0304</b>	<b>57,39,32,719</b>	<b>9,82,922</b>	<b>99.8290</b>	<b>0.1710</b>

**Percentage of votes cast in favour: 99.8290% | Percentage of votes cast against: 0.1710%****RESULT:-**

Since, the number of votes cast in favour of the resolution is **99.8290%**, based on the aforesaid result, I report that the **Special Resolution** as set out at **item no. 2** in the notice of postal ballot dated November 24, 2025 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. December 25, 2025.

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**Item No. 3: Special Resolution:****TO APPROVE THE RE-APPOINTMENT OF MS. MALINI THADANI (DIN: 01516555) FOR SECOND TERM OF 3 YEARS AS AN INDEPENDENT DIRECTOR OF THE BANK**

Total No. of Shareholders/folios	1,87,601		
Total No. of Shares	74,63,48,737		
e-voting Period	From 09:00 A.M. on Wednesday, November 26, 2025 till 05:00 P.M. on Thursday, December 25, 2025		
		<b>Number of Votes/Folio</b>	<b>Number of shares</b>
Total votes cast through remote e-voting	A	1,018	57,49,12,404
<del>Total Votes cast through Postal Ballot forms received</del>	B	0	0
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,018	57,49,12,404
Less: Invalid remote e-voting/ <del>Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)</del>	D	NA	NA
<b>Net remote e-voting/Postal Ballot Forms (C-D)</b>	<b>E</b>	<b>1,018</b>	<b>57,49,12,404</b>

**SUMMARY OF VOTING**

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,14,62,021	38,59,68,521	76.9686	35,28,99,854	3,30,68,667	91.4323	8.5677
Public- others	7,45,88,304	1,86,45,471	24.9978	1,86,44,850	621	99.9967	0.0033
<b>Total</b>	<b>74,63,48,737</b>	<b>57,49,12,404</b>	<b>77.0300</b>	<b>54,18,43,116</b>	<b>3,30,69,288</b>	<b>94.2479</b>	<b>5.7521</b>

**Percentage of votes cast in favour: 94.2479% | Percentage of votes cast against: 5.7521%****RESULT:-**

Since, the number of votes cast in favour of the resolution is **94.2479%**, based on the aforesaid result, I report that the **Special Resolution** as set out at **item no. 3** in the notice of postal ballot dated November 24, 2025 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. December 25, 2025.

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**Item No. 4: Ordinary Resolution:****TO APPROVE REMUNERATION OF MR. SANJAY AGARWAL (DIN: 00009526), MANAGING DIRECTOR & CEO OF THE BANK**

Total No. of Shareholders/folios	1,87,601		
Total No. of Shares	74,63,48,737		
e-voting Period	From 09:00 A.M. on Wednesday, November 26, 2025 till 05:00 P.M. on Thursday, December 25, 2025		
		<b>Number of Votes/Folio</b>	<b>Number of shares</b>
Total votes cast through remote e-voting	A	1,014	57,39,33,021
<del>Total Votes cast through Postal Ballot forms received</del>	<del>B</del>	<del>0</del>	<del>0</del>
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,014	57,39,33,021
Less: Invalid remote e-voting/ <del>Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/abstained/less voted)</del>	D	NA	NA
<b>Net remote e-voting/Postal Ballot Forms (C-D)</b>	<b>E</b>	<b>1,014</b>	<b>57,39,33,021</b>

**SUMMARY OF VOTING**

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,14,62,021	38,49,89,288	76.7734	38,13,69,839	36,19,449	99.0599	0.9401
Public- others	7,45,88,304	1,86,45,321	24.9976	1,86,43,115	2,206	99.9882	0.0118
<b>Total</b>	<b>74,63,48,737</b>	<b>57,39,33,021</b>	<b>76.8988</b>	<b>57,03,11,366</b>	<b>36,21,655</b>	<b>99.3690</b>	<b>0.6310</b>

**Percentage of votes cast in favour: 99.3690% | Percentage of votes cast against: 0.6310%****RESULT:-**

Since, the number of votes cast in favour of the resolution is **99.3690%**, based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 4** in the notice of postal ballot dated November 24, 2025 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. December 25, 2025.

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**Item No. 5: Ordinary Resolution:****TO APPROVE THE REMUNERATION OF MR. UTTAM TIBREWAL (DIN: 01024940), WHOLE TIME DIRECTOR & DEPUTY CEO OF THE BANK**

Total No. of Shareholders/folios	1,87,601		
Total No. of Shares	74,63,48,737		
e-voting Period	From 09:00 A.M. on Wednesday, November 26, 2025 till 05:00 P.M. on Thursday, December 25, 2025		
		<b>Number of Votes/Folio</b>	<b>Number of shares</b>
Total votes cast through remote e-voting	A	1,015	57,39,33,171
<del>Total Votes cast through Postal Ballot forms received</del>	<del>B</del>	<del>0</del>	<del>0</del>
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,015	57,39,33,171
Less: Invalid remote e-voting/ <del>Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)</del>	D	NA	NA
<b>Net remote e-voting/Postal Ballot Forms (C-D)</b>	<b>E</b>	<b>1,015</b>	<b>57,39,33,171</b>

**SUMMARY OF VOTING**

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,14,62,021	38,49,89,288	76.7734	38,13,69,839	36,19,449	99.0599	0.9401
Public- others	7,45,88,304	1,86,45,471	24.9978	1,86,42,972	2,499	99.9866	0.0134
<b>Total</b>	<b>74,63,48,737</b>	<b>57,39,33,171</b>	<b>76.8988</b>	<b>57,03,11,223</b>	<b>36,21,948</b>	<b>99.3689</b>	<b>0.6311</b>

**Percentage of votes cast in favour: 99.3689% | Percentage of votes cast against: 0.6311%****RESULT:-**

Since, the number of votes cast in favour of the resolution is **99.3689%**, based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 5** in the notice of postal ballot dated November 24, 2025 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. December 25, 2025.

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**Item No. 6: Ordinary Resolution:****TO APPROVE RE-APPOINTMENT OF MR. SANJAY AGARWAL (DIN: 00009526) AS MANAGING DIRECTOR & CEO OF THE BANK**

Total No. of Shareholders/folios	1,87,601		
Total No. of Shares	74,63,48,737		
e-voting Period	From 09:00 A.M. on Wednesday, November 26, 2025 till 05:00 P.M. on Thursday, December 25, 2025		
		<b>Number of Votes/Folio</b>	<b>Number of shares</b>
Total votes cast through remote e-voting	A	1,019	57,49,15,559
<del>Total Votes cast through Postal Ballot forms received</del>	<del>B</del>	<del>0</del>	<del>0</del>
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,019	57,49,15,559
Less: Invalid remote e-voting/ <del>Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)</del>	D	NA	NA
<b>Net remote e-voting/Postal Ballot Forms (C-D)</b>	<b>E</b>	<b>1,019</b>	<b>57,49,15,559</b>

**SUMMARY OF VOTING**

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,14,62,021	38,59,71,676	76.9693	38,15,51,479	44,20,197	98.8548	1.1452
Public- others	7,45,88,304	1,86,45,471	24.9978	1,86,44,591	880	99.9953	0.0047
<b>Total</b>	<b>74,63,48,737</b>	<b>57,49,15,559</b>	<b>77.0304</b>	<b>57,04,94,482</b>	<b>44,21,077</b>	<b>99.2310</b>	<b>0.7690</b>

**Percentage of votes cast in favour: 99.2310% | Percentage of votes cast against: 0.7690%****RESULT:-**

Since, the number of votes cast in favour of the resolution is **99.2310%**, based on the aforesaid result, I report that the **Ordinary Resolution** as set out at **item no. 6** in the notice of postal ballot dated November 24, 2025 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. December 25, 2025.

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A soft copy, containing a list of Equity Shareholders who voted “FOR” or “AGAINST” and those whose votes were declared invalid/ abstained/ voted for lesser shares, for the resolution is being handed over to the Company Secretary.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary and Compliance Officer of the Company for preserving safely after the Chairman considers, approves and signs the Minutes/ Report on Postal Ballot.

I thank you for the opportunity given to act as a Scrutinizer for the above Postal Ballot.

Yours Faithfully

MANOJ  
MAHESHWARI

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**CS Manoj Maheshwari**  
**Scrutinizer**  
**M. No.: FCS 3355 | C.P. No. 1971**  
**Partner**  
**V. M. & Associates**  
**Company Secretaries**  
**(ICSI Unique Code P1984RJ039200)**

**Place: Jaipur**  
**Date: December 26, 2025**  
**UDIN: F003355G002844919**

Countersigned By:

**For AU Small Finance Bank Limited**

**Manmohan  
Parnami**

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**Manmohan Parnami**  
**Company Secretary & Compliance Officer**  
**M. No.: FCS 9999**  
**(As authorized by Chairman of the Bank)**