

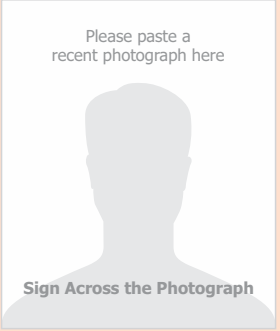
*Identity Proof Passport Driving Licence UID (Aadhaar) NREGA Job Card Voter ID Card PAN Deemed OVD

Identity Proof Number

Date of Expiry (Incase of DL/Passport) Date

*Signature of Authorised Signatory

Entity Seal

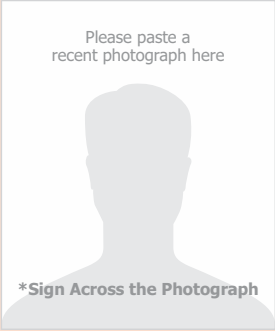
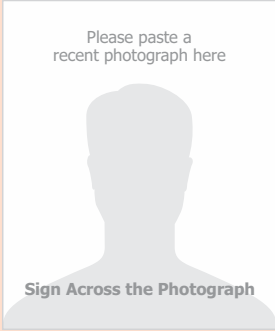
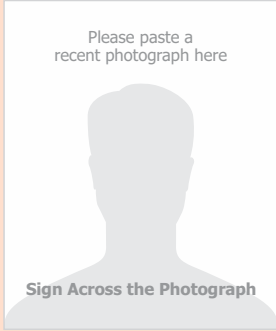


Date: _____ Place: _____

BENEFICIAL OWNER DECLARATION (In case of Beneficial Owner more than three (3), please fill 'Annexure A')

Eligibility*: 1. Private limited / Limited companies (more than 25% shares) 2. Partnership firm/unincorporated association or body of individuals (more than 15% shares) (Select either of the below)

- Details of natural persons ultimately holding shares* or exercising ultimate control over the entity OR
- We hereby declare that no natural person exercises control/ is holding shares* in the entity as above or information about the ultimate shareholders is not available with the entity. The details of senior managing officials (e.g. Managing Director / Chief Executive Officer/president/secretary/treasurer/trustees/partners, etc.) are as under:

Particulars/S.N. 1	Beneficial Owner 1	Beneficial Owner 2	Beneficial Owner 3
C-KYC/KIN Number			
Name			
*Photograph	<p>Please paste a recent photograph here</p>  <p>*Sign Across the Photograph</p>	<p>Please paste a recent photograph here</p>  <p>Sign Across the Photograph</p>	<p>Please paste a recent photograph here</p>  <p>Sign Across the Photograph</p>
Date of birth			
Gender			
Marital Status			
PAN No.			
Cust ID.			
Mobile No.			
Father's/Spouse's name			
Nationality/Citizenship			
Current residential address (with Pincode & City)	Address Line 1* _____ Address Line 2 _____ Address Line 3 _____ Pin Code: City: District:	Address Line 1* _____ Address Line 2 _____ Address Line 3 _____ Pin Code: City: District:	Address Line 1* _____ Address Line 2 _____ Address Line 3 _____ Pin Code: City: District:
Proof Of Identity Type (Passport / Driving Licence / UID (Aadhaar) / NREGA Job / Card Voter ID Card / PAN** / Deemed OVD)			
Proof of Identity No.			
Address Proof type (Passport / Driving Licence / UID (Aadhaar) / NREGA Job / Card Voter ID Card / Deemed OVD)			
Address Proof No.			
Email ID			
Share Holding %/ Controlling interest %			
Whether tax resident outside India***			

I/We hereby give my/our consent to AU SFB obtaining my/our KYC details from CERSAI CKYC portal and to receive information from Central KYC Registry through SMS/Email on the registered number/email address. I/We certify that the facts stated above are true and correct. I/We hereby authorize AU Small Finance Bank Limited to exchange, share or part with all the information/data provided herein including personal and business information with the AU Small Finance Bank group companies, financial institutions / credit bureaus / agencies / Reserve Bank of India or any other regulatory or statutory bodies / other such persons, in order to facilitate AU Small Finance Bank to comply with its obligations under various applicable laws, regulations, and standards or as shall be required or deemed fit by AU Small Finance Bank Limited in this regard.

Beneficial Owner 1 Sign

Beneficial Owner 2 Sign

Beneficial Owner 3 Sign

I/We undertake and agree that we will notify AU SFB without delay of any changes in the controlling persons, person exercising control or having controlling ownership interest in the Company, partnership firm, unincorporated association or body of individuals and trusts, as declared in the table above.

Exemption: Govt entities / Listed companies or Majority owned by Listed company. | ** PAN is mandatory | OVD as address and identity proof to be obtained | *** if yes then CRS/FATCA declaration to be mandatory filled separately with documentation |

(Signature of Authorised Signatory)

(Entity Seal)

FATCA DECLARATION

*I/we declare that the entity is tax resident of any country other than India.

Yes No

(If Yes please fill part A, B, & C of FATCA/CRS Declaration form)

*The controlling person / ultimate beneficial owner/ proprietor is tax resident of any country other than India

Yes No

(If Yes please fill part D of FATCA/CRS Declaration form)

Under penalty of perjury, I certify that: a. The number shown on the form is the correct identification number of the applicant, and b. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the A/c holder is identified as a US person) OR c. The applicant is taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India) 2. I/ We understand that the Bank is relying on this information for the purpose of determining my status in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact. I shall seek advice from professional tax advisor for any tax questions. 3. I/ We agree to submit a new form within 30 days if any information or certification on this form gets changed. 4. I/ We agree that as may be required by regulatory authorities, Bank shall be required to report, reportable details to CBDT or close or suspend my account. 5. I/ We certify that I/ We provide the information on this form and to the best of my knowledge and belief the certification is true, correct, and complete including the taxpayer identification number / functional equivalent number of the applicant.

Certification:

I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct, and complete. I also confirm that I have read and understood the FATCA / CRS Terms and Conditions and hereby accept the same.

Signature of Authorised Signatory

Entity Seal

RELATIONSHIP WITH AU BANK

*Do you have any relationship with Director / Promoter or Senior Management Personnel of AU Bank?

Yes

No

If Yes, Relationship with Director/Promotor/Senior Mnmt. Personnel _____

If Yes, Name of Director/ Promotor or SMT _____

DECLARATION

The Entity hereby applies for establishing in the name of the Entity, AU Small Finance Bank VISA Corporate Card / Purchase Card Account and agrees to accept and be bound by the Terms and Conditions governing the Corporate Card / Purchase Card facility prescribed by AU Small Finance Bank in this regard from time to time. It is certified that the person signing this application form has full authority to do so and thereby binds the Entity to the terms of the AU Small Finance Bank's Most Important Terms and Conditions & AU Bank General Terms and Conditions as uploaded on Bank's website www.aubank.in and also agrees unconditionally to be bound by the terms and conditions of the card member agreement accompanying each AU Small Finance Bank Corporate/Purchase card and agrees and gives the consent to all its content. The Entity declares and hereby undertakes that the AU Small Finance Bank Corporate Card / Purchase Card issued to those persons named by the Entity, if used overseas shall be utilised strictly in accordance with the relevant exchange control regulations, issued and amended by the Reserve Bank of India from time to time. In the event of any failure to do so by any of the persons named by the Entity, the said person shall be liable to for any action under the Foreign Exchange Management Act 1999 as amended from time to time or any corresponding Act/applicable law and that person shall be debarred from the Corporate Card / Purchase Card Facility either at AU Small Finance Bank's instance or by RBI. The Entity also agrees that its Card Members will comply with all Foreign Exchange rules and regulations in force at present and also any additions / amendments made there to from time to time by the Reserve Bank of India / other competent authority. • It is also certified that all the particulars and information given in this application form are true, correct, complete and up to date in all respects and we have not withheld any information. The liabilities of the Card member and the Entity are as per the conditions set out in the individual Corporate Card / Purchase Card Member Terms and Conditions and the Corporate Card / Purchase Card Account Terms and Conditions. By executing this Application form, the Entity declares to agree to abide by the Most Important Terms and Conditions, terms contained in this application form, and any other terms and conditions governing the Corporate Card / Purchase Card facility of AU Small Finance Bank Limited. The Entity agrees that access to any changes/updation in the terms and conditions applicable to the Corporate Card / Purchase Card would be available on the website of AU Small Finance Bank Limited only i.e at www.aubank.com. Bank shall be entitled to lien and right of set-off over all accounts of Card Member at all branches of the Bank and for this purpose the Bank shall be entitled to combine and consolidate all or any of such accounts. • The Entity agrees that the Corporate Card / Purchase Card facility is provided by AU Small Finance Bank Limited at the request of the Entity with the liability type as specified above of the Entity to pay the total outstanding amount/other applicable charges to AU Small Finance Bank Limited without any delay and as per the Terms and conditions governing the Corporate Card / Purchase Card facility of AU Small Finance Bank Limited. • The Entity authorises AU Small Finance Bank Ltd. and/or their representatives to contact the Entity's bankers or any other source to obtain or verify information provided in the application form. • The Entity agrees that AU Small Finance Bank Ltd. reserves the right to decline this application form without providing any reason or entering in to any correspondence. The Entity further agrees and undertakes to provide to AU Small Finance Bank the audited balance sheets or financials of the Entity within thirty (30) days of closure of the financial year or on receipt of a specific request for the same from AU Small Finance Bank, failing which, AU Small Finance Bank shall reserve the right to withdraw permanently / temporarily the Corporate Card / Purchase Card facility provided to the Entity. • The Entity hereby authorize AU Small Finance Bank Limited to exchange, share or part with all the information/data provided herein including personal and business information with the AU Small Finance Bank group companies, financial institutions / credit bureaus / agencies / Reserve Bank of India or any other regulatory or statutory bodies / other such persons, in order to facilitate AU Small Finance Bank to comply with its obligations under various applicable laws, regulations, and standards or as shall be required or deemed fit by AU Small Finance Bank Limited in this regard.

