

LOAN APPLICATION FORM (MICRO BUSINESS LOAN)

Please fill the form in CAPITAL LETTERS with BLACK ink only.



CREDIT/LOAN FACILITIES APPLIED FOR- TERM LOAN

Type of Facilities	Applied Loan Amount (INR)	Tenure (Months)
Micro Business Loan - Secured by Collateral		
Micro Business Loan- Secured by Credit Guarantee		
Micro Business Loan - Unsecured		
Other		

Form No.

Date

A. APPLICANT DETAILS (Leave space between two words)

Existing Customer New Customer If existing customer, Customer ID CKYCR No.

There are changes in my/ our KYC [submit detail/document] There are no changes in my/ our KYC [Re-KYC is not due and KYC Valid as on date]

*Constitution Individual Proprietorship Partnership Firm Pvt. Ltd. Society Trust Others specify

*Name

Father's OR Name Spouse

*Mother's Name

*Mobile NO. +91 Telephone No. (R) Telephone No. (O)

*Email ID (In Capital Letters)

*Religion Hinduism Christianity Islam Sikhism Buddhism Jainism Others specify *Gender M F T

*Category General SC ST OBC Others specify *Date of Birth/ Incorporation

*Marital Status Single Married Divorced Widowed Separated *Nationality / Country of Birth Indian Others specify

*Place of Birth/Incorporation

*PAN No. Form 60 (Please provide Form 49A if PAN applied)

GST No. UDYAM No. U D Y A M - - -

*Are you a politically exposed person or related to one? NO YES

*Are you differently abled? NO YES If yes Type of Impairment (As per UDID card) Percentage of Impairment (As per UDID Card)
UDID Number (As per UDID Card)

*Education Qualification Illiterate Below 10th Metric (10th) Intermediate (12th) Graduate Post-Graduate and above Others specify

*Residential Status Resident Non-Resident Indian OCI/PIO Foreign National Do you want this account to be seeded with Aadhaar Yes No

*Citizenship Indian Foreign *Disbursement required in Single Trench Multiple Trench No. of Trenches

*Are you tax resident in any country other than India? No Yes (If yes, please fill the FATCA/ CRS declaration form separately)

ADDRESS 1 *Address Line 1
Landmark *City
*District *State *PIN Code
Country India Others specify Number of years of stay at current city Number of years of stay at current Residence
Property Status Self-Owned Rented Company Provided Parental Others specify

ADDRESS 2 *Address Line 1
Landmark *City
*District *State *PIN Code
Country India Others specify Property Status Self-Owned Rented Company Provided Parental Others specify

KYC DETAILS

*Proof of Identity Passport Driving Licence Aadhaar Voter ID Others specify

*ID No. *ID Expiry Date

*Proof of Address Passport Driving Licence Aadhaar Utility Bill Voter ID Others specify (If applicable)

*ID No. *ID Expiry Date (If applicable)

OCCUPATION

Self-employed Self-employed Professional Agri Others specify

*Gross Annual Income (INR) 1-2,50,000 2,50,001-5,00,000 5,00,001-10,00,000 10,00,001-20,00,000 20,00,001-25,00,000

25,00,001-50,00,000 50,00,001-1,00,00,000 1,00,00,001-5,00,00,000 5,00,00,001-10,00,00,000

10,00,00,001-25,00,00,000 >25,00,00,000 Foreign Currency Exposure Yes No

*Annual Turnover (INR) <20,00,000 20,00,001-50,00,000 50,00,001-1,00,00,000 1,00,00,001-5,00,00,000 5,00,00,001-10,00,00,000

10,00,00,001-20,00,00,000 20,00,00,001-50,00,00,000 50,00,00,001-100,00,00,000 100,00,00,001-500,00,00,000

>500,00,00,000 Foreign Currency Exposure Yes No

BUSINESS DETAILS

*Entity Name *Total Exp. in Business Years Months

*Nature of Business Manufacturer Service Provider Trader Agri & Allied Activities Others specify

Business Premises Self-Owned (Mortgaged Free) Rented Parental Others specify

ADDRESS *Address Line 1
Address Line 2
*City *District *Pin Code
Landmark *State Country India Others specify

NAME & DETAILS OF BENEFICIAL OWNERS/ AUTHORISED SIGNATORIES

Name	Age	Gender	Designation	PAN	Shares (in %)	Contact No.	ID Proof

Please paste your recent passport size color photograph here and sign across the photograph

Please do not use pins, tape, staples on the photograph

Applicant's Signature

B. CO-APPLICANT DETAILS (Leave space between two words)

Existing Customer New Customer If existing customer, Customer ID CKYCR No.

There are changes in my/ our KYC [submit detail/document] There are no changes in my/ our KYC [Re-KYC is not due and KYC Valid as on date]

*Constitution Individual Proprietorship Partnership Firm Society Trust Others specify

*Name
 Father's OR Name
 Spouse

*Mother's Name

*Mobile No. +91 Telephone No. (R) Telephone No. (O)

*Email ID (In Capital Letters)

*Religion Hinduism Christianity Islam Sikhism Buddhism Jainism Others specify Gender M F T

*Category General SC ST OBC Others specify

*Marital Status Single Married Divorced Widowed Separated *Nationality / Country of Birth Indian Others specify *Date of Birth/ Incorporation

*PAN No. Form 60 (Please provide Form 49A if PAN applied)

GST No.

*Are you a politically exposed person or related to one? NO YES

*Are you differently abled? NO YES If yes Type of Impairment (As per UDID card) Percentage of Impairment (As per UDID Card)
 UDID Number (As per UDID Card)

*Education Qualification Illiterate Below 10th Metric (10th) Intermediate (12th) Graduate Post-Graudate and above Others specify

*Residential Status Resident Non-Resident Indian OCI/PIO Foreign National Do you want this account to be seeded with Aadhaar Yes No

*Citizenship

*Are you tax resident in any country other than India? No Yes (If yes, please fill the FATCA/ CRS declaration form separately)

Relationship with Applicant Place of Birth/ Incorporation

ADDRESS 1

*Address Line 1

Address Line 2

Landmark *City

*District *State *PIN Code

Country India Others specify Number of years of stay at current city Number of years of stay at current Residence

Property Status Self-Owned Rented Company Provided Parental Others specify

ADDRESS 2

*Address Line 1

Landmark *City

*District *State *PIN Code

Country India Others specify Property Status Self-Owned Rented Company Provided Parental Others specify

KYC DETAILS

*Proof of Identity Passport Driving Licence Aadhaar Voter ID Others specify
 *ID No. *ID Expiry Date (If applicable)

*Proof of Address Passport Driving Licence Aadhaar Utility Bill Voter ID Others specify
 *ID No. *ID Expiry Date (If applicable)

OCCUPATION

Salaried { Govt. Self-employed Self-employed Professional Agri Retired Others specify
 Private
 Public

*Gross Annual Income (INR) 1-2,50,000 2,50,001-5,00,000 5,00,001-10,00,000 10,00,001-20,00,000 20,00,001-25,00,000
 25,00,001-50,00,000 50,00,001-1,00,00,000 1,00,00,001-5,00,00,000 5,00,00,001-10,00,00,000
 10,00,00,001-25,00,00,000 >25,00,00,000 Foreign Currency Exposure Yes No

*Annual Turnover (INR) <20,00,000 20,00,001-50,00,000 50,00,001-1,00,00,000 1,00,00,001-5,00,00,000 5,00,00,001-10,00,00,000
 10,00,00,001-20,00,00,000 20,00,00,001-50,00,00,000 50,00,00,001-100,00,00,000 100,00,00,001-500,00,00,000
 >500,00,00,000 Foreign Currency Exposure Yes No

EMPLOYER/BUSINESS DETAILS

*Entity/ Employer Name *Total Exp. in Job/Business Years Months

*Nature of Business Manufacturer Service Provider Trader Wholesaler Others specify

Constitution of Business Proprietorship Partnership Firm Pvt. Ltd. Others specify

Business Premises Self-Owned (Mortgaged Free) Rented Parental Others specify Entity Proof {

ADDRESS

*Address Line 1

Address Line 2

*City *District *Pin Code

Landmark *State Country India Others specify

Please paste your recent passport size color photograph here and sign across the photograph

Please do not use pins, tape, staples on the photograph

Co-Applicant's Signature

NAME & DETAILS OF BENEFICIAL OWNERS/ AUTHORISED SIGNATORIES

Name	Age	Gender	Designation	PAN	Contact No.	ID Proof	Shares (%)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

C. CO-APPLICANT / GUARANTOR'S DETAILS (Leave space between two words)

Existing Customer New Customer If existing customer, Customer ID CKYCR No.

There are changes in my/ our KYC [submit detail/document] There are no changes in my/ our KYC [Re-KYC is not due and KYC Valid as on date]

• **Constitution** Individual Proprietorship Partnership Firm Pvt. Ltd. Public Ltd. Society Trust Others specify

• **Name**

Father's OR Name
 Spouse

• **Mother's Name**

• **Mobile No.** +91 **Telephone No. (R)** **Telephone No. (O)**

• **Email ID** (In Capital Letters)

• **Religion** Hinduism Christianity Islam Sikhism Buddhism Jainism Others specify • **Gender** M F T

• **Category** General SC ST OBC Others specify

• **Marital Status** Single Married Divorced Widowed Separated • **Nationality / Country of Birth** Indian Others specify • **Date of Birth/ Incorporation**

• **PAN No.** Form 60 (Please provide Form 49A if PAN applied) • **Residential Status** Resident Non-Resident Indian OCI/PIO Foreign National

GST NO.

• **Are you a politically exposed person or related to one?** NO YES

• **Are you differently abled?** NO YES **If yes** Type of Impairment (As per UDID card) Percentage of Impairment (As per UDID Card)
UDID Number (As per UDID Card)

• **Education Qualification** Illiterate Below 10th Metric (10th) Intermediate (12th) Graduate Post-Graudate and above Others specify

• **Citizenship** Indian Non-Indian • **Are you tax resident in any country other than India?** No Yes (If yes, please fill the FATCA/ CRS declaration form separately)

Relationship with Applicant **Place of Birth/ Incorporation**

ADDRESS 1 **Current Address**

• Address Line 1
• Address Line 2
• Landmark • City
• District • State • PIN Code
• Country India Others specify **Number of years of stay at current city** **Number of years of stay at current Residence**

OCCUPATION

Salaried { Govt. Private Public Self-employed Self-employed Professional Agri Retired Student Housewife Others specify

Gross Annual Income (INR) 1-2,50,000 2,50,001-5,00,000 5,00,001-10,00,000 10,00,001-20,00,000 20,00,001-25,00,000 25,00,001-50,00,000 50,00,001-1,00,00,000 1,00,00,001-5,00,00,000 5,00,00,001-10,00,00,000 10,00,00,001-25,00,00,000 >25,00,00,000 **Foreign Currency Exposure** Yes No

• **Annual Turnover (INR)** <20,00,000 20,00,001-50,00,000 50,00,001-1,00,00,000 1,00,00,001-5,00,00,000 5,00,00,001-10,00,00,000 10,00,00,001-20,00,00,000 20,00,00,001-50,00,00,000 50,00,00,001-100,00,00,000 100,00,00,001-500,00,00,000 >500,00,00,000 **Foreign Currency Exposure** Yes No

• **Property Status** Self-Owned Rented Company Provided Parental Others specify

ADDRESS 2 **Permanent Address**

• Address Line 1
• Landmark • City
• District • State • PIN Code
• Country India Others specify **Property Status** Self-Owned Rented Company Provided Parental Others specify

KYC DETAILS

• **Proof of Identity** Passport Driving Licence Aadhaar Voter ID Others specify • ID No. • ID Expiry Date (If applicable)

• **Proof of Address** Passport Driving Licence Aadhaar Utility Bill Voter ID Others specify • ID No. • ID Expiry Date (If applicable)

NAME & DETAILS OF BENEFICIAL OWNERS/ AUTHORISED SIGNATORIES

Name	Age	Gender	Designation	PAN	Contact No.	ID Proof	Shares (%)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

D. EXISTING LOAN DETAILS

S. No.	Account No.	Name of Institution	Account/ Facility Type	Tenure	EMI Amount (INR)	Facility Amount (INR)
1	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

E. REFERENCES

Reference 1

• Name
• Mobile No. Relationship with Applicant Years of acquaintance
• Address
• email

Reference 2

• Name
• Mobile No. Relationship with Applicant Years of acquaintance
• Address
• email

F. PRIORITY SECTOR CATEGORY

- Farm Credit** Individual Farmers engaged in allied activities viz., dairy, fishery, animal husbandry, poultry, bee-keeping and sericulture.
Land Holding (acres) 0-2.47 2.48- 4.94 4.95 & above **Specify (acres):** _____ **Location :** _____ **PIN :** _____
- Agri Infrastructure:** Loans for construction of storage facilities (warehouses, market yards, godowns and silos) including cold storage designed to store agriculture produce/products
- Ancillary activities:** Food & Agro processing
- Manufacturing or Service Enterprise :**
Investment in P&M or Equipment upto INR 2.5 Cr. > INR 2.5 Cr. to INR 25 Cr. > INR 25 Cr. to INR 125 Cr. > 125 Cr.
Value of Investment (WDV) : _____
Annual Turnover upto INR 10 Cr. > INR 10 Cr. to INR 100 Cr. > INR 100 Cr. to INR 500 Cr. > 500 Cr.
Annual Turnover (): _____
(Professional/Non-Professional/SRTO/Trade/Retail Trade)

- Khadi & village industries (KVI sector):** For the purpose of Agriculture and/or to assist the transport of own agriculture input, agriculture produce and farm product.
Declaration: I/we hereby declare/confirm that the vehicle purchased/ to be purchased/loan availed/to availed by me/us shall be used:
- I/we are aware that it is on the faith of this representation, declaration and confirmation that you have agreed to consider my loan application for financial assistance under the category of priority sector advances. I/We shall indemnify the bank of make the loss of good in the event of any loss or damage that may arise on account of false/incorrect declaration by me/us.

G. DECLARATION OF PURPOSE OF LOAN

The Facility applied will be utilized for below mentioned purpose					
Purpose of Loan	Business	Amount Required	Purpose of Loan	Business	Amount Required
Working Capital			Agri & Allied Activities		
New Business / Tradeline			Cattle Purchase		
Shop & Office Purchase			Commercial Construction		
Plant & Machinery Purchase			Other Business Requirement		
Capital Expenditure					

H. DETAILS OF PROPERTY OFFERED AS COLLATERAL

S. No.	Type	Name of Property	Occupancy Status	Area	Address
1					
2					
3					

I. DOCUMENT CHECKLIST

- Photograph (signed across) Proof of Identity Proof of Address Residence Office Loan Agreement 6 months Bank Statement SSI/MSME Registration Security Post Dated Cheques Signature Verification
- Proof of turnover (Latest sales/service tax returns) Last 2 yrs ITR with computed income NACH/ SI Mandate Post Dated Cheques Income assessment working sheet Agri Land Docs
- Contract Copy/ Work Order Repayment Track Record Loan a/c statement for balance transfer/ balance transfer & top up. Rent Agreement (if applicable for Residence & Business)
- Projected balance sheets for the next two years in case of working capital limits and for the period of the loan in case of term loan For partnership firm - Latest partnership deed, PAN of the firm and office address proof.
- In case of takeover of advances, sanction letters of facilities being availed from existing bankers/ financial For company - Certificate of incorporation, MOA & AOA, PAN, Shareholding pattern and office address proof.
- Institutions along with detailed terms and conditions. I.T returns for last 2-3 years (as applicable) with computation of income for both business and individuals i.e partners/directors.
- Copy of lease deed/title deeds of all the properties being offered as primary and collateral securities. Any other documents as may be required.
- Industry/sector specific documents with respect to mandatory licenses/registrations/qualifications. etc.

J. DETAILS OF FEES & CHARGES

- 1)** Stamp Duty : At actual as per applicable law.
- 2)** Interest rate of _____% p.a.
- 3)** Processing Charges (Non-Refundable) if INR. _____
- 4)** Other Fees & charges applicable as per schedule of charges available on the website

NOTE: (1) Visit <http://www.au.bank.in> for detailed fees and charges under the respective loan product. Changes in charges form time to time are updated on bank's website.
(2) All of the above charge are subject to change as per the Bank's discretion form time to time. (3) All of above charges are exclusive of all statutory and Government taxes.

K. LEGAL ENTITY IDENTIFIER (LEI) DECLARATION (APPLICABLE FOR NON- INDIVIDUALS ONLY)

- I/We confirm that the total banking exposure of our firm/company after availing the proposed loan/ credit facility is above Rs.25 Crore. The Legal Entity Identifier(LEI) is applicable to our firm/company and the details of the same are as under

1) LEI No.:	<input type="text"/>	3) LEI Issuance Date:	<input type="text"/>
2) LEI Issuer:	<input type="text"/>	4) LEI Expiry Date :	<input type="text"/>

- I/We confirm that the total banking exposure of our firm/company after availing the proposed loan/ credit facility is Between Rs.5 Crore to 25 Crore. We Will endeavour to obtain for the LEI at the earliest and agree to provide the LEI details to AU bank once we obtain the same.
 - I/We confirm that the total banking exposure of our firm/company after availing the proposed loan/ credit facility is below 5 crore. The Legal Entity Identifier (LEI) is not applicable to us.
 - I/We confirm that if total banking exposure of out firm/company goes beyond Rs. 5 Crore during the tenure of the loan/credit facility ,we will endeavour to obtain the LEI at the earliest and agree to provide the LEI details to AU bank once we obtain the same
- I/We declare that the particulars and information given above are true , correct and up to date in all aspects.

----- ✂ ----- Tear Off

DETAILS OF FEES & CHARGES (Customer Copy)

- 1)** Stamp Duty : At actual as per applicable law.
- 2)** Interest rate of _____% p.a.
- 3)** Processing Charges (Non-Refundable) if INR. _____
- 4)** Other Fees & charges applicable as per schedule of charges available on the website


NOTE:
(1) Visit <http://www.au.bank.in> for detailed fees and charges under the respective loan product. Changes in charges form time to time are updated on bank's website.
(2) All of the above charge are subject to change as per the Bank's discretion form time to time.
(3) All of above charges are exclusive of all statutory and Government taxes.

L. DECLARATION

- I/We declare that all the particulars and information and details given/filled in this application form are true, correct, complete and up-to-date in all respects and that I/we have not withheld any information whatsoever.
- I/We confirm that no insolvency proceeding or suit for recovery of outstanding dues or monies whatsoever or for attachment of my/our assets or properties and or proceeding have been initiated and /or are pending against me/us and that I/We have never been adjudicated insolvent by any court or other authorities.
- Neither action nor other steps have been taken or legal proceedings started by or against me/us in any court of law/other authorities for winding up, dissolution, administration or re organization or for the appointment of a receiver, trustee or similar officer or for my/our assets.
- I/We understand and acknowledge that AU Small Finance Bank Ltd. shall have the absolute discretion without assigning any reason (unless required by applicable law) to reject my/our application and that AU Small Finance Bank Ltd. shall not be responsible/lilable in any manner whatsoever to me/us for such rejection or any delay in notifying me/us of such rejection or any costs, losses, damages or expenses, or other consequences, caused by reason of such rejection of our application.
- AU Small Finance Bank Ltd. its group companies reserves the right to retain the photographs and documents submitted and will not return the same to the applicant
- I/we am / are not the director(s) of AU Small Finance Bank Ltd and also not a director(s)/ partner(s), managing agent(s), employee(s) or guarantor(s) or holder(s) of substantial interest of the borrower or its subsidiary or its holding company. if yes, Please specify _____
- I/we am/ are not the director(s) of any other bank or the subsidiary of any of the banks or trustees of mutual funds/venture capital funds set up by the banks and also not a director(s)/ partner(s), manager(s), managing agent(s), employee(s) or guarantor(s) or holder(s) of substantial interest of the borrower, If Yes, Please Specify _____
- I/We am/ are neither related to any of the directors of AU Small Finance Bank Ltd. nor any other bank, as defined by extant guidelines of RBI from time to time and also not a director(s)/partner(s) or major shareholder(s) or in control of the borrower or a major shareholder(s) or in control of the holding or subsidiary company of the borrower, If yes, please specify _____
- I/We hereby represent, warrant and confirm that the offers and purpose is a valid purpose and also agree and undertake to utilize the loan only for the above mentioned purpose and that the loan shall not be used for any illegal and/or anti-social and/or speculative purpose including but not limited to participating in stock market/IPOS
- I/We have been explained the product features for which I/We have applied for. I/We have read and understood the terms and conditions of the loan product of AU Small Finance Bank Ltd. and I/We agree to abide by them. All the terms and conditions set out in the Facility Terms shall govern and apply to the facility and all my/our obligations (as well as AU Small Finance Bank Ltd.'s rights and remedies) in relation thereto, if the facility applied for by me/us herein is sanctioned and granted by AU Small Finance Bank Ltd. based on my/our application.
- I/We further authorize AU Small Finance Bank Ltd., and or its associates/subsidiaries/affiliates and have NO OBJECTIONS to verify any information by visiting at my office/residence and/or contact me and/or my family members and/or my employer/Banker/Credit Bureau and to conduct internal checks for all my submitted documents and other information pertaining to the policies of AU Small Finance Bank Ltd.. I/We also authorize AU Small Finance Bank Ltd. to conduct ROC search and charge creation against the facilities availed by me/us.
- I/We hereby confirm that, I/We have no objection on AU Small Finance Bank Ltd. getting Guarantee Cover from CGFMU/CGTMSE (applicable in case of CGFMU/CGTMSE proposals only)
- I/We undertake to inform AU Small Finance Bank Ltd, regarding any changes whatsoever in my/our address, email ID, contact number as specified herein above.
- I/ We acknowledge and agree that the grant of the facility by AU Small Finance Bank Ltd, to me/shall be subject to compliance by me/us of the terms and conditions set out under this Application Form, Sanction Letter and its schedules (collectively, the "Facility Terms")
- I/We further agree and confirm that AU Small Finance Bank Ltd. shall be entitled to deduct upfront from the facility amount (in the event not paid by me/us before disbursement), the charges as specifically mentioned in the Sanction Letter/ KFS and/ or any other document related to the facility at the time of disbursement of the facility amount. AU Small Finance Bank Ltd. shall disburse the balance facility amount to me/us or any third party on my/our behalf, as the case may be, and I/we shall be liable to repay the entire facility amount along with the interest as would be specified in the Sanction Letter and on such dates/ intervals as and when the same becomes due.
- I/We confirm that the Processing Fee paid by me/us is Non-refundable under any circumstances whatsoever including but not limited to decline/part disbursement/disbursement of the facility.
- From time to time, AU Small Finance Bank Ltd. communicates various features/products/promotional offers which offer significant benefits to its customer and may use the services of third party agencies to do so. Do you wish to be informed about such benefits?
 I/We expressly authorize AU Small Finance Bank Ltd. to use information or data relating to me/us, for communicating marketing offers as outlined above. (Please tick)
 I/We instruct not to receive offers as outlined above. (Please tick)
- I/We am/are relative/ known to an AU Bank Employee No Yes If Yes, then details are: _____
- It is stated that the Customer/Account holder has provided consent that the Bank can extract his/her personal details, or any details as required by the Bank under law from CERSAI or any other authority by way of submission of his/her CKYCR number, in accordance with RBI guidelines or any other relevant law.
- I/We, do hereby provide my/our consent to AU Small Finance Bank Limited for sharing my/our required information including Aadhaar Number, Mobile Number, Address and other demographic details, shared by me/us with the AU Small Finance Bank Limited, with Udyam Assist Portal (www.udyamassist.gov.in) for getting my/our Udyam Registration done with the Ministry of MSME, Government of India (if eligible).

Terms & Conditions: AADHAAR

I/We hereby give my consent to AU Small Finance Bank Ltd. to obtain my Aadhaar Number, Name and Fingerprint /iris for authentication with UIDAI. Bank has informed me that my identity information would only be used for KYC and also informed that my biometrics will not be stored/shared and will be submitted to CIDR only for that purpose of authentication

 I hereby grant my consent to subscribe and receive promotional campaigns, and important information and updates relating to my bank account, loan, insurance & other products and communications from the bank on my WhatsApp mobile/alternative mobile no.....

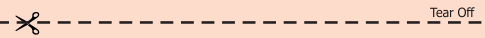
Signature of Applicant	Signature of Co-Applicant	Signature of Co-Applicant/Guarantor
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FOR BANK'S INTERNAL USE ONLY

Risk Level of the applicant based on KYC-AML guidelines: <input type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High			Lead Number			
Loan Account No.			Promo Code 1			
LG Code	LC Code	TL Emp. ID	Promo Code 2			
Sourcing Channel <input type="checkbox"/> Direct <input type="checkbox"/> AU Value <input type="checkbox"/> AU Connect <input type="checkbox"/> Referral <input type="checkbox"/> Other Channels <u> Please specify </u>						
Channel Name		Customer ID	Document Submitted	Channel Code	Customer ID	Document Submitted
Applicant		<input type="checkbox"/> ID Proof <input type="checkbox"/> Add Proof <input type="checkbox"/> Photo	Co-Applicant		<input type="checkbox"/> ID Proof <input type="checkbox"/> Add Proof <input type="checkbox"/> Photo	
Guarantor		<input type="checkbox"/> ID Proof <input type="checkbox"/> Add Proof <input type="checkbox"/> Photo	Co-Applicant		<input type="checkbox"/> ID Proof <input type="checkbox"/> Add Proof <input type="checkbox"/> Photo	

I confirm that I have visited the communication address(es) of the applicant(s) mentioned in the Application Form and the Applicant/Co-Applicant/Guarantor has signed in my presence

Signature of SO/BM/PBM	RPC/CPC Stamp with Date	RCU Signature & Date
------------------------	-------------------------	----------------------



Application ID: _____ **Application No.:** _____

We acknowledge that we have received an application dated _____ from Mr./Ms/M/s _____ resident of/situated at _____ for a loan of INR _____ under _____ and decision on sanction or rejection shall be communicated within 30 days* from receipt of this application & other relevant particulars by the Bank. (*For Micro & Small Enterprises with loan amount up to Rs. 25 Lakhs in 14 working days.)

For AU Small Finance Bank Ltd. Please Note that:

- This is only an acknowledgement for having received the application and this should not be construed as an indication for our acceptance of the proposal. A decision on which will be taken only after due consideration of the proposal on its merit and/or on fulfillment of conditions if any, that may be stipulated by the Bank.
 - The application will be taken for consideration only after all the particulars/date/documents as may be required are received by the Bank.
 - In case the proposal is rejected/not considered, the reasons for the same will be intimated to the applicant.
- In case of query, you can drop us a mail at customercare@aubank.in or call us at Toll Free No.: 1800 1200 1200**

(Sign & Employee ID)
Authorised Signatory



AU
SMALL
FINANCE
BANK

A SCHEDULED COMMERCIAL BANK