FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]

सत्यमेव जयते

Annual Return

(other than OPCs and Small Companies)

Form language ◉ English ○ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company: L36911RJ1996PLC011381 [Pre-fill]

Global Location Number (GLN) of the company:

* Permanent Account Number (PAN) of the company: AAACL2777N

(ii) (a) Name of the company: AU SMALL FINANCE BANK LIM

(b) Registered office address

19-A DHULESHWAR GARDEN
JAIPUR
Rajasthan
302001
India

(c) *e-mail ID of the company: Secretarial@aubank.in

(d) *Telephone number with STD code: 01416660666

(e) Website: www.aubank.in

(iii) Date of Incorporation: 10/01/1996

(iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital ◉ Yes ○ No

(vi) *Whether shares listed on recognized Stock Exchange(s) ◉ Yes ○ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	BSE Limited	1
	National Stock Exchange of India Ltd.	1,024

(b) CIN of the Registrar and Transfer Agent U67190MH1999PTC118368 Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ○ Yes ◉ No

(a) If yes, date of AGM

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted ○ Yes ◉ No

(f) Specify the reasons for not holding the same

AGM will be held on August 23, 2022

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K1	Banking activities by Central, Commercial and Saving banks	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0 Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	350,000,000	314,900,031	314,900,031	314,900,031
Total amount of equity shares (in Rupees)	3,500,000,000	3,149,000,310	3,149,000,310	3,149,000,310

Number of classes: 1

Class of Shares EQUITY SHARES	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	350,000,000	314,900,031	314,900,031	314,900,031
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,500,000,000	3,149,000,310	3,149,000,310	3,149,000,310

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes: 0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	0	312,213,390	312213390	3,122,133,9	3,122,133,9	
Increase during the year	0	2,686,641	2686641	26,866,410	26,866,410	877,937,912
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	2,686,641	2686641	26,866,410	26,866,410	877,937,912
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify NIL				0	0	
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify NIL				0	0	
At the end of the year	0	314,900,031	314900031	3,149,000,3	3,149,000,3	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify NIL				0	0	
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify NIL				0	0	
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company: INE949L01017

(ii) Details of stock split/consolidation during the year (for each class of shares): 0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media] ○ Yes ○ No ○ Not Applicable

Separate sheet attached for details of transfers ○ Yes ○ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	5,000	1000000	5,000,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			5,000,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	11,750,000,000	0	6,750,000,000	5,000,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover 69,154,249,518.58

(ii) Net worth of the Company 75,140,139,185.82

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	82,234,670	26.11	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	6,664,536	2.12	0	
10.	Others NIL	0	0	0	
	Total	88,899,206	28.23	0	0

Total number of shareholders (promoters) 6

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	**Category**	Equity		Preference	
		Number of shares	**Percentage**	**Number of shares**	**Percentage**
1.	Individual/Hindu Undivided Family				
	(i) Indian	33,788,668	10.73	0	
	(ii) Non-resident Indian (NRI)	2,573,265	0.82	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	11,698,278	3.71	0	

4.	Banks	107	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	248,796	0.08	0	
7.	Mutual funds	35,344,899	11.22	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	18,387,802	5.84	0	
10.	Others Foreign Portfolio Investors,	123,959,010	39.36	0	
	Total	226,000,825	71.76	0	0

Total number of shareholders (other than promoters) 154,181

Total number of shareholders (Promoters+Public/ Other than promoters) 154,187

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company 2

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
THE BOEING COMPAN	JPMORGAN CHASE BANK, MINDSP			215,954	0.07
RUSSELL INVESTMENT	DEUTSCHE BANK AG, DB HOUSE, S			32,842	0.01

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	6	6
Members (other than promoters)	118,859	154,181
Debenture holders	18	13

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. **Promoter**	1	0	1	0	18.03	0

B. Non-Promoter	1	6	1	7	2.02	0.02
(i) Non-Independent	1	0	1	0	2.02	0
(ii) Independent	0	6	0	7	0	0.02
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	6	2	7	20.05	0.02

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAJ VIKASH VERMA	03546341	Director	0	
KRISHAN KANT RATHI	00040094	Director	68,289	
JYOTI NARANG	00351187	Director	5,887	
MANKAL SHANKAR SF	00588922	Director	0	
PUSHPINDER SINGH	08496066	Director	200	
KANNAN GOPALARAG	03443982	Director	275	
HARUN RASHID KHAN	07456806	Director	0	
SANJAY AGARWAL	00009526	Managing Director	56,766,359	
UTTAM TIBREWAL	01024940	Whole-time directo	6,365,595	
VIMAL JAIN	AFMPJ7254G	CFO	58,189	
MANMOHAN PARNAM	AHFPP5775R	Company Secretar	75,685	

(ii) Particulars of change in director(s) and Key managerial personnel during the year 1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
HARUN RASHID KHAN	07456806	Director	28/12/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held: 1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	17/08/2021	129,486	123	20.57

B. BOARD MEETINGS

*Number of meetings held: 14

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	01/04/2021	8	8	100
2	29/04/2021	8	8	100
3	10/05/2021	8	8	100
4	24/06/2021	8	8	100
5	08/07/2021	8	8	100
6	06/08/2021	8	8	100
7	28/08/2021	8	8	100
8	21/09/2021	8	8	100
9	05/10/2021	8	7	87.5
10	28/10/2021	8	8	100
11	27/12/2021	8	8	100
12	28/01/2022	9	9	100

C. COMMITTEE MEETINGS

Number of meetings held: 68

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committ	08/04/2021	4	4	100
2	Audit Committ	29/04/2021	4	4	100
3	Audit Committ	24/06/2021	4	4	100
4	Audit Committ	08/07/2021	4	4	100
5	Audit Committ	04/08/2021	4	4	100
6	Audit Committ	06/08/2021	4	4	100
7	Audit Committ	28/08/2021	4	4	100
8	Audit Committ	21/09/2021	4	4	100
9	Audit Committ	05/10/2021	4	4	100
10	Audit Committ	14/10/2021	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	(Y/N/NA)
1	RAJ VIKASH '	14	14	100	15	15	100	
2	KRISHAN KAI	14	14	100	51	51	100	
3	JYOTI NARAI	14	14	100	49	49	100	
4	MANKAL SHA	14	14	100	54	54	100	
5	PUSHPINDEF	14	14	100	23	23	100	
6	KANNAN GOF	14	14	100	40	40	100	
7	HARUN RASH	3	3	100	0	0	0	
8	SANJAY AGA	14	13	92.86	17	14	82.35	
9	UTTAM TIBRI	14	14	100	16	11	68.75	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered: 2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SANJAY AGARWAI	Managing Direct	18,595,392			4,239,314	22,834,706
2	UTTAM TIBREWAL	Wholetime Direc	16,855,380		1,195,859,586	3,684,618	1,216,399,584
	Total		35,450,772		1,195,859,586	7,923,932	1,239,234,290

Number of CEO, CFO and Company secretary whose remuneration details to be entered: 2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VIMAL JAIN	CFO (KMP)	6,447,013		6,912,850	2,128,531	15,488,394
2	MANMOMAN PARN	Company Secre	4,609,813		1,050,585	1,329,871	6,990,269
	Total		11,056,826		7,963,435	3,458,402	22,478,663

Number of other directors whose remuneration details to be entered: 7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Raj Vikash Verma	Director	0	2,000,000	0	1,555,000	3,555,000
2	Krishan Kant Rathi	Director	0	1,500,000	0	2,705,000	4,205,000
3	Jyoti Narang	Director	0	1,500,000	0	2,645,000	4,145,000
4	Mankal Shankar Sri	Director	0	1,500,000	0	2,805,000	4,305,000
5	Pushpinder Singh	Director	0	1,500,000	0	1,815,000	3,315,000
6	Kannan Gopalaragh	Director	0	1,500,000	0	2,355,000	3,855,000
7	Harun Rashid Khan	Director	0	391,438.4	0	240,000	631,438.4
	Total		0	9,891,438.4	0	14,120,000	24,011,438.4

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ◉ Yes ○ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

○ Yes ◉ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name: MANOJ MAHESHWARI

Whether associate or fellow ○ Associate ◉ Fellow

Certificate of practice number: 1971

I/We certify that:
(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. 07 dated 13/04/2017 (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiled with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director 00009526

To be digitally signed by

(•) Company Secretary

( ) Company secretary in practice

Membership number 9999

Certificate of practice number

Attachments

1. List of share holders, debenture holders — Attach
2. Approval letter for extension of AGM; — Attach
3. Copy of MGT-8; — Attach
4. Optional Attachement(s), if any — Attach

List of attachments

Remove attachment

Modify | Check Form | Prescrutiny | Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

AU SMALL FINANCE BANK
A SCHEDULED COMMERCIAL BANK

Details of Board Meetings till 31st March 2022

S. No	Types of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members Attended	% of attendance
1.	Board Committee	01/04/2021	8	8	100
2.	Board Committee	29/04/2021	8	8	100
3.	Board Committee	10/05/2021	8	8	100
4.	Board Committee	24/06/2021	8	8	100
5.	Board Committee	08/07/2021	8	8	100
6.	Board Committee	06/08/2021	8	8	100
7.	Board Committee	28/08/2021	8	8	100
8.	Board Committee	21/09/2021	8	8	100
9.	Board Committee	05/10/2021	8	7	87.50
10.	Board Committee	28/10/2021*	8	8	100
11.	Board Committee	27/12/2021	8	8	100
12.	Board Committee	28/01/2022	9	9	100
13.	Board Committee	15/03/2022**	9	9	100
14.	Board Committee	30/03/2022	9	9	100

**Board meeting dated 28.10.2021 was continued till 29.10.2021 and the same was concluded on 29.10.2021*

***Board meeting dated 15.03.2022 was continued till 16.03.2022 and the same was concluded on 16.03.2022*

For AU Small Finance Bank Limited

Manmohan Parnami
Company Secretary & Compliance Officer
FCS 9999

Details of Committee Meetings till 31st March 2022

S. No	Types of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members Attended	% of attendance
1.	Audit Committee	08/04/2021	4	4	100
2.	Audit Committee	29/04/2021	4	4	100
3.	Audit Committee	24/06/2021	4	4	100
4.	Audit Committee	08/07/2021	4	4	100
5.	Audit Committee	04/08/2021	4	4	100
6.	Audit Committee	06/08/2021	4	4	100
7.	Audit Committee	28/08/2021	4	4	100
8.	Audit Committee	21/09/2021	4	4	100
9.	Audit Committee	05/10/2021	4	4	100
10.	Audit Committee	14/10/2021	4	4	100
11.	Audit Committee	28/10/2021	4	4	100
12.	Audit Committee	19/01/2022	4	4	100
13.	Audit Committee	28/01/2022	4	4	100
14.	Audit Committee	16/03/2022	4	4	100
15.	Risk Management Committee	09/04/2021*	4	4	100
16.	Risk Management Committee	21/04/2021	4	4	100
17.	Risk Management Committee	24/06/2021	4	4	100

S. No	Types of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members Attended	% of attendance
18.	Risk Management Committee	05/08/2021	4	4	100
19.	Risk Management Committee	28/08/2021	4	4	100
20.	Risk Management Committee	16/10/2021	4	4	100
21.	Risk Management Committee	28/10/2021	4	4	100
22.	Risk Management Committee	18/01/2022	4	4	100
23.	Risk Management Committee	28/01/2022	4	4	100
24.	Nomination and Remuneration Committee	09/04/2021	3	3	100
25.	Nomination and Remuneration Committee	19/05/2021	3	3	100
26.	Nomination and Remuneration Committee	24/06/2021	3	3	100
27.	Nomination and Remuneration Committee	03/08/2021	3	3	100
28.	Nomination and Remuneration Committee	28/08/2021	3	3	100
29.	Nomination and Remuneration Committee	11/09/2021	3	3	100
30.	Nomination and Remuneration Committee	21/09/2021	3	3	100
31.	Nomination and Remuneration Committee	05/10/2021	3	3	100
32.	Nomination and Remuneration Committee	24/11/2021	3	3	100
33.	Nomination and Remuneration Committee	18/12/2021	3	3	100
34.	Nomination and Remuneration Committee	27/12/2021	3	3	100
35.	Nomination and Remuneration Committee	12/01/2022	3	3	100
36.	Nomination and Remuneration Committee	10/02/2022	3	3	100
37.	Nomination and Remuneration Committee	16/03/2022	3	3	100
38.	Stakeholders Relationship Committee	17/04/2021	3	3	100
39.	Stakeholders Relationship Committee	04/08/2021	3	3	100

S. No	Types of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members Attended	% of attendance
40.	Stakeholders Relationship Committee	14/10/2021	3	3	100
41.	Stakeholders Relationship Committee	12/01/2022	3	2	66.67
42.	Corporate Social Responsibility (CSR) Committee	17/04/2021	5	5	100
43.	Corporate Social Responsibility (CSR) Committee	04/08/2021	5	4	80
44.	Corporate Social Responsibility (CSR) Committee	08/09/2021	5	5	100
45.	Corporate Social Responsibility (CSR) Committee	25/10/2021	5	5	100
46.	Corporate Social Responsibility (CSR) Committee	18/12/2021	5	5	100
47.	Corporate Social Responsibility (CSR) Committee	30/03/2022	5	5	100
48.	IT Strategy and Information Systems Security Committee	08/04/2021	4	4	100
49.	IT Strategy and Information Systems Security Committee	04/08/2021	4	3	75
50.	IT Strategy and Information Systems Security Committee	12/10/2021	4	4	100
51.	IT Strategy and Information Systems Security Committee	13/01/2022	4	4	100
52.	Customer Service Committee (CSC)	09/04/2021	5	5	100
53.	Customer Service Committee (CSC)	04/08/2021	5	5	100
54.	Customer Service Committee (CSC)	13/10/2021	5	5	100
55.	Customer Service Committee (CSC)	13/01/2022	5	4	80
56.	Review of Classification of Willful Defaulters Committee	17/04/2021	4	4	100
57.	Review of Classification of Willful Defaulters Committee	04/08/2021	4	3	75
58.	Review of Classification of Willful Defaulters Committee	14/10/2021	4	4	100
59.	Review of Classification of Willful Defaulters Committee	12/01/2022	4	4	100
60.	Special Committee on Fraud Monitoring (SFMC)	24/06/2021	5	5	100
61.	Disciplinary Committee (DC)	09/04/2021	4	4	100

S. No	Types of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members Attended	% of attendance
62.	Disciplinary Committee	12/01/2022	4	3	75
63.	Committee for Financial Inclusion	17/04/2021	4	4	100
64.	Committee for Financial Inclusion	02/08/2021	4	4	100
65.	Committee for Financial Inclusion	16/10/2021	4	4	100
66.	Committee for Financial Inclusion	18/01/2022	4	3	75
67.	Management Committee	17/04/2021	4	4	100
68.	Management Committee	18/01/2022	4	3	75

**The meeting was adjourned to 17th April 2021*

For AU Small Finance Bank Limited

Manmohan Parnami
Company Secretary & Compliance Officer
FCS 9999