

Ref. No.: AUSFB/SEC/2022-23/168

Date: 11th July 2022

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Compliance pursuant to Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.

We hereby enclose the Shareholding Pattern of the Bank for the Quarter ended on 30th June 2022.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED



Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in

Encl: As above

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as on 30th June, 2022					
1.	Name of Listed Entity: AU Small Finance Bank Limited				
2.	Scrip Code/Name of Scrip/Class of Security: 540611				
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)				
a.	If under 31(1)(b) then indicate the report for Quarter ending 30th June, 2022				
b.	If under 31(1)(c) then indicate date of allotment/extinguishment : NA				
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-				
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

AU Small Finance Bank Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	5	177798412	0	0	177798412	28.2136	177798412	0	177798412	28.2136	0	28.2136	0	0.0000	0	0.0000	177798412
(B)	Public	177395	452388054	0	0	452388054	71.7864	452388054	0	452388054	71.7864	0	71.7864	0	0.0000	NA	NA	452374416
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	177400	630186466	0	0	630186466	100.0000	630186466	0	630186466	100.0000	0	100.0000	0	0.0000	0	0.0000	630172828

AU Small Finance Bank Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Indian		4	164469340	0	0	164469340	26.0985	164469340	0	164469340	26.0985	0	26.0985	0	0.0000	0	0.0000	164469340
(a)	Individuals / Hindu Undivided Family																		
	Sanjay Agarwal	Promoters	1	113532718	0	0	113532718	18.0157	113532718	0	113532718	18.0157	0	18.0157	0	0.0000	0	0.0000	113532718
	Shakuntala Agarwal	Promoters	1	23640860	0	0	23640860	3.7514	23640860	0	23640860	3.7514	0	3.7514	0	0.0000	0	0.0000	23640860
	Jyoti Agarwal	Promoters	1	23637120	0	0	23637120	3.7508	23637120	0	23637120	3.7508	0	3.7508	0	0.0000	0	0.0000	23637120
	Chiranjil Lal Agarwal	Promoters	1	3658642	0	0	3658642	0.5806	3658642	0	3658642	0.5806	0	0.5806	0	0.0000	0	0.0000	3658642
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		1	13329072	0	0	13329072	2.1151	13329072	0	13329072	2.1151	0	2.1151	0	0.0000	0	0.0000	13329072
	Bodies Corporate		1	13329072	0	0	13329072	2.1151	13329072	0	13329072	2.1151	0	2.1151	0	0.0000	0	0.0000	13329072
	Mys Holdings Private Limited	Promoter Group	1	13329072	0	0	13329072	2.1151	13329072	0	13329072	2.1151	0	2.1151	0	0.0000	0	0.0000	13329072
	Sub Total (A)(1)		5	177798412	0	0	177798412	28.2136	177798412	0	177798412	28.2136	0	28.2136	0	0.0000	0	0.0000	177798412
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		5	177798412	0	0	177798412	28.2136	177798412	0	177798412	28.2136	0	28.2136	0	0.0000	0	0.0000	177798412

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

AU Small Finance Bank Limited
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
														No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Institutions																	
(a)	Mutual Fund	19	68288726	0	0	68288726	10.8363	68288726	0	68288726	10.8363	0	10.8363	0	0.0000	NA	NA	68288726
	Kotak Flexicap Fund	1	28833108	0	0	28833108	4.5753	28833108	0	28833108	4.5753	0	4.5753	0	0.0000	NA	NA	28833108
	Nippon Life India Trustee Ltd-A/C Nippon	1	11551737	0	0	11551737	1.8331	11551737	0	11551737	1.8331	0	1.8331	0	0.0000	NA	NA	11551737
	Motilal Oswal Flexi Cap Fund	1	8999450	0	0	8999450	1.4281	8999450	0	8999450	1.4281	0	1.4281	0	0.0000	NA	NA	8999450
	Uti Flexi Cap Fund	1	8723722	0	0	8723722	1.3843	8723722	0	8723722	1.3843	0	1.3843	0	0.0000	NA	NA	8723722
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds	23	25036197	0	0	25036197	3.9728	25036197	0	25036197	3.9728	0	3.9728	0	0.0000	NA	NA	25036197
	Westbridge Aif I	1	20879996	0	0	20879996	3.3133	20879996	0	20879996	3.3133	0	3.3133	0	0.0000	NA	NA	20879996
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor	452	220990133	0	0	220990133	35.0674	220990133	0	220990133	35.0674	0	35.0674	0	0.0000	NA	NA	220990133
	Smallcap World Fund, Inc	1	25866800	0	0	25866800	4.1046	25866800	0	25866800	4.1046	0	4.1046	0	0.0000	NA	NA	25866800
	New World Fund Inc	1	21831952	0	0	21831952	3.4644	21831952	0	21831952	3.4644	0	3.4644	0	0.0000	NA	NA	21831952
	Nomura India Investment Fund Mother F	1	15513966	0	0	15513966	2.4618	15513966	0	15513966	2.4618	0	2.4618	0	0.0000	NA	NA	15513966
	St. James's Place Emerging Markets Equit	1	10707180	0	0	10707180	1.6990	10707180	0	10707180	1.6990	0	1.6990	0	0.0000	NA	NA	10707180
	Camas Investments Pte. Ltd.	1	6731218	0	0	6731218	1.0681	6731218	0	6731218	1.0681	0	1.0681	0	0.0000	NA	NA	6731218
(f)	Financial Institutions / Banks	1	214	0	0	214	0.0000	214	0	214	0.0000	0	0.0000	0	0.0000	NA	NA	214
(g)	Insurance Companies	11	22698872	0	0	22698872	3.6019	22698872	0	22698872	3.6019	0	3.6019	0	0.0000	NA	NA	22698872
	Hdfc Life Insurance Company Limited	1	12107534	0	0	12107534	1.9213	12107534	0	12107534	1.9213	0	1.9213	0	0.0000	NA	NA	12107534
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)	506	337014142	0	0	337014142	53.4785	337014142	0	337014142	53.4785	0	53.4785	0	0.0000	NA	NA	337014142
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																	
(a)	Individuals		0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	168058	34391898	0	0	34391898	5.4574	34391898	0	34391898	5.4574	0	5.4574	0	0.0000	NA	NA	34378285
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2	235	33367111	0	0	33367111	5.2948	33367111	0	33367111	5.2948	0	5.2948	0	0.0000	NA	NA	33367111
	Uttam Tibrewal		12731190	0	0	12731190	2.0202	12731190	0	12731190	2.0202	0	2.0202	0	0.0000	NA	NA	12731190
(b)	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(c)	Any Other (Specify)	8596	47614903	0	0	47614903	7.5557	47614903	0	47614903	7.5557	0	7.5557	0	0.0000	NA	NA	47614878	
	Trusts	14	6380394	0	0	6380394	1.0125	6380394	0	6380394	1.0125	0	1.0125	0	0.0000	NA	NA	6380394	
	Hindu Undivided Family	3365	795496	0	0	795496	0.1262	795496	0	795496	0.1262	0	0.1262	0	0.0000	NA	NA	795496	
	Foreign Companies	1	20208728	0	0	20208728	3.2068	20208728	0	20208728	3.2068	0	3.2068	0	0.0000	NA	NA	20208728	
	Camas Investments Pte. Ltd.	1	20208728	0	0	20208728	3.2068	20208728	0	20208728	3.2068	0	3.2068	0	0.0000	NA	NA	20208728	
	Non Resident Indians (Non Repat)	1346	2533732	0	0	2533732	0.4021	2533732	0	2533732	0.4021	0	0.4021	0	0.0000	NA	NA	2533732	
	Non Resident Indians (Repat)	2579	2082379	0	0	2082379	0.3304	2082379	0	2082379	0.3304	0	0.3304	0	0.0000	NA	NA	2082379	
	Body Corp-Ltd Liability Partnership	109	2250777	0	0	2250777	0.3572	2250777	0	2250777	0.3572	0	0.3572	0	0.0000	NA	NA	2250777	
	Clearing Member	87	886103	0	0	886103	0.1406	886103	0	886103	0.1406	0	0.1406	0	0.0000	NA	NA	886103	
	Bodies Corporate	1095	12477294	0	0	12477294	1.9799	12477294	0	12477294	1.9799	0	1.9799	0	0.0000	NA	NA	12477269	
	Motilal Oswal Financial Services Limited	1	6565438	0	0	6565438	1.0418	6565438	0	6565438	1.0418	0	1.0418	0	0.0000	NA	NA	6565438	
										11537391									
	Sub Total (B)(3)	176889	115373912	0	0	115373912	18.3079	115373912	0	2	18.3079	0	18.3079	0	0.0000	NA	NA	115360274	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	177395	452388054	0	0	452388054	71.7864	452388054	0	45238805	4	71.7864	0	71.7864	0	0.0000	NA	NA	452374416

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

S.No	Name of shareholder	Name of PAC	Number of Shares	Percentage of Shareholding by PAC
1	WASATCH ADVISORS, INC.	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	1668096	0.26
2	WASATCH ADVISORS, INC.	PRIME SUPER	352090	0.06
3	WASATCH ADVISORS, INC.	UPMC BASIC RETIREMENT PLAN MASTER TRUST	99684	0.02
4	WASATCH ADVISORS, INC.	EMPLOYEES RETIREMENT SYSTEM OF THE STATE OF HAWAII	2321870	0.37
5	WASATCH ADVISORS, INC.	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	1455214	0.23
6	WASATCH ADVISORS, INC.	UNIVERSITY OF WISCONSIN FOUNDATION - WASATCH ADVISORS, INC.	533960	0.08
7	WASATCH ADVISORS, INC.	AL MEHWAR COMMERCIAL INVESTMENTS L.L.C. - (WANDA)	3383434	0.54
8	WASATCH ADVISORS, INC.	ST. JAMES'S PLACE EMERGING MARKETS EQUITY UNIT TRUST MANAGED BY WASATCH ADVISORS INC	10707180	1.70

9	WASATCH ADVISORS, INC.	WASATCH INTERNATIONAL GROWTH FUND	2105860	0.33
10	WASATCH ADVISORS, INC.	APT-UNIVERSAL-FONDS	374308	0.06
11	WASATCH ADVISORS, INC.	WASATCH EMERGING MARKETS SMALL CAP FUND	3468124	0.55
12	WASATCH ADVISORS, INC.	WASATCH GLOBAL OPPORTUNITIES FUND	1092756	0.17
13	WASATCH ADVISORS, INC.	COMMONWEALTH OF PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES RETIREMENT SYSTEM/GE322-WASATCH ADVISORS INC	2744716	0.44
14	WASATCH ADVISORS, INC.	WASATCH EMERGING INDIA FUND	4692444	0.74
15	WASATCH ADVISORS, INC.	UPMC	149528	0.02
16	WASATCH ADVISORS, INC.	WASATCH EMERGING MARKETS SELECT FUND	1521110	0.24
17	WASATCH ADVISORS, INC.	PENSION RESERVES INVESTMENT TRUST FUND - WASTACH	1051523	0.17
18	WASATCH ADVISORS, INC.	TEACHERS RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - WASATCH ADVISORS	1728052	0.27
19	WASATCH ADVISORS, INC.	WASATCH ADVISORS, INC.	11438	0.00
20	WASATCH ADVISORS, INC.	TEXAS MUNICIPAL RETIREMENT SYSTEM	887178	0.14
21	WASATCH ADVISORS, INC.	VFMC INTERNATIONAL EQUITY TRUST 1	487620	0.08
22	WASATCH ADVISORS, INC.	VFMC INTERNATIONAL EQUITY TRUST 2	609738	0.10
23	WASATCH ADVISORS, INC.	COUNSEL GLOBAL SMALL CAP	740560	0.12
24	WASATCH ADVISORS, INC.	BUSS (QUEENSLAND) PTY LTD AS TRUSTEE FOR BUSS (QUEENSLAND) POOLED SUPERANNUATION TRUST	172820	0.03

Details of Shares which remain unclaimed may be given hear along with details such as

No. of shareholders
NIL

AU Small Finance Bank Limited																			
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																			
	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in		Number of Shares		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI)= (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0