

Ref. No.: AUSFB/SEC/2023-24/200

Date: October 17, 2023

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Shareholding Pattern of AU Small Finance Bank Limited for the Quarter ended September 30, 2023

Ref: Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015

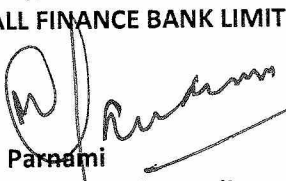
We hereby enclose the Shareholding Pattern of AU Small Finance Bank Limited for the Quarter ended on September 30, 2023.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED


Manmohan Parmami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in


Encl: As above

AU Small Finance Bank Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of		Number of		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	4	17,02,98,412	-	-	17,02,98,412	25.49	17,02,98,412	-	17,02,98,412	25.49	-	25.49	-	-	-	-	17,02,98,412
(B)	Public	1,59,033	49,79,30,212	-	-	49,79,30,212	74.51	49,79,30,212	-	49,79,30,212	74.51	-	74.51	-	-	-	-	49,79,27,240
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares Held By Employee Trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total	1,59,037	66,82,28,624	-	-	66,82,28,624	100.00	66,82,28,624	-	66,82,28,624	100.00	-	100.00	-	-	-	-	66,82,25,652

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of share-holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities [as a percentage of diluted share capital)	Number of Locked In		Number of Shares pledged		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: Y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of	(IX)				(X)	(XI)= (VII)+(X) As a %	(XII)		(XIII)		(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family		3	15,94,69,340	-	-	15,94,69,340	23.86	15,94,69,340	-	15,94,69,340	23.86	-	23.86	-	-	-	-	15,94,69,340
	Sanjay Agarwal	Promoters	1	11,71,91,360	-	-	11,71,91,360	17.54	11,71,91,360	-	11,71,91,360	17.54	-	17.54	-	-	-	-	11,71,91,360
	Jyoti Agarwal	Promoters	1	2,36,37,120	-	-	2,36,37,120	3.54	2,36,37,120	-	2,36,37,120	3.54	-	3.54	-	-	-	-	2,36,37,120
	Shakuntala Agarwal	Promoters	1	1,86,40,860	-	-	1,86,40,860	2.79	1,86,40,860	-	1,86,40,860	2.79	-	2.79	-	-	-	-	1,86,40,860
	Yuvraj Agarwal	Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Malika Agarwal	Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Central Government / State Government(s)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions / Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specify)		1	1,08,29,072	-	-	1,08,29,072	1.62	1,08,29,072	-	1,08,29,072	1.62	-	1.62	-	-	-	-	1,08,29,072
	Bodies Corporate		1	1,08,29,072	-	-	1,08,29,072	1.62	1,08,29,072	-	1,08,29,072	1.62	-	1.62	-	-	-	-	1,08,29,072
	Mys Holdings Private Limited	Promoter Group	1	1,08,29,072	-	-	1,08,29,072	1.62	1,08,29,072	-	1,08,29,072	1.62	-	1.62	-	-	-	-	1,08,29,072
	Sub Total (A)(1)		4	17,02,98,412	-	-	17,02,98,412	25.49	17,02,98,412	-	17,02,98,412	25.49	-	25.49	-	-	-	-	17,02,98,412
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (Specify)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		4	17,02,98,412	-	-	17,02,98,412	25.49	17,02,98,412	-	17,02,98,412	25.49	-	25.49	-	-	-	-	17,02,98,412

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

showing shareholding pattern of the Public shareholders

Table III - Statement showing shareholding pattern of the Public shareholder

Table A - Statement showing Shareholding pattern of the Company																					
	Category & Name of the shareholders	Nos. of share-holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities [as a percentage of diluted share capital]	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares		
								No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		Shareholding(No. of shares)		
								Class eg: X	Class eg: y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	(XV)		
1	Institutions (Domestic)																				
(a)	Mutual Fund	22	7,17,44,984	-	-	7,17,44,984	10.74	7,17,44,984	-	7,17,44,984	10.74	-	10.74	-	-	NA	NA	7,17,44,984	-	-	-
	Kotak Flexicap Fund	1	2,92,05,030	-	-	2,92,05,030	4.37	2,92,05,030	-	2,92,05,030	4.37	-	4.37	-	-	NA	NA	2,92,05,030	-	-	-
	Nippon Life India Trustee Ltd- A/C Nippon India Growth Fund	1	1,00,52,928	-	-	1,00,52,928	1.50	1,00,52,928	-	1,00,52,928	1.50	-	1.50	-	-	NA	NA	1,00,52,928	-	-	-
	Dsp Midcap Fund	1	95,44,669	-	-	95,44,669	1.43	95,44,669	-	95,44,669	1.43	-	1.43	-	-	NA	NA	95,44,669	-	-	-
	UTI Flexi Cap Fund	1	75,18,831	-	-	75,18,831	1.13	75,18,831	-	75,18,831	1.13	-	1.13	-	-	NA	NA	75,18,831	-	-	-
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(c)	Alternate Investment Funds	33	3,80,49,008	-	-	3,80,49,008	5.69	3,80,49,008	-	3,80,49,008	5.69	-	5.69	-	-	NA	NA	3,80,49,008	-	-	-
	Westbridge Alf I	1	3,18,74,170	-	-	3,18,74,170	4.77	3,18,74,170	-	3,18,74,170	4.77	-	4.77	-	-	NA	NA	3,18,74,170	-	-	-
(d)	Banks	1	214	-	-	214	-	214	-	214	-	-	-	-	-	NA	NA	214	-	-	-
(e)	Insurance Companies	13	2,08,92,333	-	-	2,08,92,333	3.13	2,08,92,333	-	2,08,92,333	3.13	-	3.13	-	-	NA	NA	2,08,92,333	-	-	-
	Hdfc Life Insurance Company Limited	1	1,16,05,090	-	-	1,16,05,090	1.74	1,16,05,090	-	1,16,05,090	1.74	-	1.74	-	-	NA	NA	1,16,05,090	-	-	-
(f)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(g)	Asset Reconstruction Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(h)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(i)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(j)	Other Financial Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(k)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
	Sub Total (B)(1)	69	13,06,86,539	-	-	13,06,86,539	19.56	13,06,86,539	-	13,06,86,539	19.56	-	19.56	-	-	NA	NA	13,06,86,539	-	-	-
2	Institutions (Foreign)																				
(a)	Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(b)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(c)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(d)	Foreign Portfolio Investors Category I	567	26,75,56,015	-	-	26,75,56,015	40.04	26,75,56,015	-	26,75,56,015	40.04	-	40.04	-	-	NA	NA	26,75,56,015	-	-	-
	Smallcap World Fund, Inc	1	3,19,37,993	-	-	3,19,37,993	4.78	3,19,37,993	-	3,19,37,993	4.78	-	4.78	-	-	NA	NA	3,19,37,993	-	-	-
	New World Fund Inc	1	2,86,69,552	-	-	2,86,69,552	4.29	2,86,69,552	-	2,86,69,552	4.29	-	4.29	-	-	NA	NA	2,86,69,552	-	-	-
	Carnas Investments Pte. Ltd.	1	2,69,39,946	-	-	2,69,39,946	4.03	2,69,39,946	-	2,69,39,946	4.03	-	4.03	-	-	NA	NA	2,69,39,946	-	-	-
	Nomura India Investment Fund Mother Fund	1	1,53,05,638	-	-	1,53,05,638	2.29	1,53,05,638	-	1,53,05,638	2.29	-	2.29	-	-	NA	NA	1,53,05,638	-	-	-
	Kotak Funds - India Midcap Fund	1	69,55,476	-	-	69,55,476	1.04	69,55,476	-	69,55,476	1.04	-	1.04	-	-	NA	NA	69,55,476	-	-	-
(e)	Foreign Portfolio Investors Category II	25	1,04,98,551	-	-	1,04,98,551	1.57	1,04,98,551	-	1,04,98,551	1.57	-	1.57	-	-	NA	NA	1,04,98,551	-	-	-
(f)	Overseas Depositories(holding DRs) [balancing figure]	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(g)	Any Other (Specify)	1	2,31,510	-	-	2,31,510	0.03	2,31,510	-	2,31,510	0.03	-	0.03	-	-	NA	NA	2,31,510	-	-	-
	Foreign Institutional Investors	1	2,31,510	-	-	2,31,510	0.03	2,31,510	-	2,31,510	0.03	-	0.03	-	-	NA	NA	2,31,510	-	-	-
	Sub Total (B)(2)	593	27,82,86,076	-	-	27,82,86,076	41.65	27,82,86,076	-	27,82,86,076	41.65	-	41.65	-	-	NA	NA	27,82,86,076	-	-	-
3	Central Government/ State Government(s)																				
(a)	Central Government / President of India	1	135	-	-	135	-	135	-	135	-	-	-	-	-	NA	NA	135	-	-	-
(b)	State Government / Governor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
	Sub Total (B)(3)	1	135	-	-	135	-	135	-	135	-	-	-	-	-	NA	NA	135	-	-	-
4	Non-Institutions																				

AU Small Finance Bank Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares		
								No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		Shareholding (No. of shares)		
								Class eg: X	Class eg: Y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	(XV)		
(a)	Associate companies / Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-			
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)	5	1,38,57,742	-	-	1,38,57,742	2.07	1,38,57,742	-	1,38,57,742	2.07	-	2.07	-	-	NA	NA	1,38,57,742	-	-	-
	Uttam Tibrewal	1	1,37,97,468	-	-	1,37,97,468	2.06	1,37,97,468	-	1,37,97,468	2.06	-	2.06	-	-	NA	NA	1,37,97,468	-	-	-
(C)	Key Managerial Personnel	2	2,61,748	-	-	2,61,748	0.04	2,61,748	-	2,61,748	0.04	-	0.04	-	-	NA	NA	2,61,748	-	-	-
(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	2	45,168	-	-	45,168	0.01	45,168	-	45,168	0.01	-	0.01	-	-	NA	NA	45,168	-	-	-
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-			
(f)	Investor Education and Protection Fund (IEPF)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-			
(g)	i. Resident individual holding nominal share capital up to Rs. 2 lakhs.	1,49,620	3,06,78,434	-	-	3,06,78,434	4.59	3,06,78,434	-	3,06,78,434	4.59	-	4.59	-	-	NA	NA	3,06,75,487	-	-	-
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	197	1,62,67,262	-	-	1,62,67,262	2.43	1,62,67,262	-	1,62,67,262	2.43	-	2.43	-	-	NA	NA	1,62,67,262	-	-	-
(i)	Non Resident Indians (NRIs)	4,096	41,06,758	-	-	41,06,758	0.61	41,06,758	-	41,06,758	0.61	-	0.61	-	-	NA	NA	41,06,758	-	-	-
(j)	Foreign Nationals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-			
(k)	Foreign Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-			
(l)	Bodies Corporate	1,276	1,25,36,379	-	-	1,25,36,379	1.88	1,25,36,379	-	1,25,36,379	1.88	-	1.88	-	-	NA	NA	1,25,36,354	-	-	-
(m)	Any Other (Specify)	3,172	1,12,03,971	-	-	1,12,03,971	1.68	1,12,03,971	-	1,12,03,971	1.68	-	1.68	-	-	NA	NA	1,12,03,971	-	-	-
	Trusts	13	63,80,582	-	-	63,80,582	0.95	63,80,582	-	63,80,582	0.95	-	0.95	-	-	NA	NA	63,80,582	-	-	-
	Body Corp-Ltd Liability Partnership	123	16,50,595	-	-	16,50,595	0.25	16,50,595	-	16,50,595	0.25	-	0.25	-	-	NA	NA	16,50,595	-	-	-
	Hindu Undivided Family	3,027	6,33,344	-	-	6,33,344	0.09	6,33,344	-	6,33,344	0.09	-	0.09	-	-	NA	NA	6,33,344	-	-	-
	Clearing Member	9	25,39,450	-	-	25,39,450	0.38	25,39,450	-	25,39,450	0.38	-	0.38	-	-	NA	NA	25,39,450	-	-	-
	Sub Total (B)(4)	1,58,370	8,89,57,462	-	-	8,89,57,462	13.31	8,89,57,462	-	8,89,57,462	13.31	-	13.31	-	-	NA	NA	8,89,54,490	-	-	-
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)+(B)(4)	1,59,033	49,79,30,212	-	-	49,79,30,212	74.51	49,79,30,212	-	49,79,30,212	74.51	-	74.51	-	-	NA	NA	49,79,27,240	-	-	-

2

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):				
S. No.	Name of shareholders	Name of the PAC	No. of Shares	%
1	WASATCH ADVISORS LP	AL MEHWAR COMMERCIAL INVESTMENTS L.L.C. - (WANDA)	39,98,634	0.60
2	WASATCH ADVISORS LP	BUSS (QUEENSLAND) PTY LTD AS TRUSTEE FOR BUSS (QUEENSLAND) POOLED SUPERANNUATION TRUST	1,57,566	0.02
3	WASATCH ADVISORS LP	REGIME DE RETRAITE D'HYDRO-QUEBEC	3,96,410	0.06
4	WASATCH ADVISORS LP	COUNSEL GLOBAL SMALL CAP	5,89,173	0.09
5	WASATCH ADVISORS LP	EMPLOYEES RETIREMENT SYSTEM OF THE STATE OF HAWAII	23,21,870	0.35
6	WASATCH ADVISORS LP	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	18,75,504	0.28
7	WASATCH ADVISORS LP	COMMONWEALTH OF PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES RETIREMENT SYSTEM/GE322-WASATCH ADVISORS INC	31,53,085	0.47
8	WASATCH ADVISORS LP	PENSION RESERVES INVESTMENT TRUST FUND - WASTACH	9,83,113	0.15
9	WASATCH ADVISORS LP	PRIME SUPER	2,55,610	0.04
10	WASATCH ADVISORS LP	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	12,67,482	0.19
11	WASATCH ADVISORS LP	SPIRIT SUPER	16,06,366	0.24
12	WASATCH ADVISORS LP	ST. JAMES'S PLACE EMERGING MARKETS EQUITY UNIT TRUST MANAGED BY WASATCH ADVISORS INC	64,70,701	0.97
13	WASATCH ADVISORS LP	TEACHERS RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - WASATCH ADVISORS	15,77,571	0.24
14	WASATCH ADVISORS LP	APT-UNIVERSAL-FONDS	2,58,373	0.04
15	WASATCH ADVISORS LP	UPMC	1,36,183	0.02
16	WASATCH ADVISORS LP	UPMC BASIC RETIREMENT PLAN MASTER TRUST	90,817	0.01
17	WASATCH ADVISORS LP	UNIVERSITY OF WISCONSIN FOUNDATION - WASATCH ADVISORS, INC.	3,00,338	0.05
18	WASATCH ADVISORS LP	VFMC INTERNATIONAL EQUITY TRUST 1	4,87,620	0.07
19	WASATCH ADVISORS LP	VFMC INTERNATIONAL EQUITY TRUST 2	7,03,524	0.11
20	WASATCH ADVISORS LP	WASATCH ADVISORS LP	10,830	0.00
21	WASATCH ADVISORS LP	WASATCH EMERGING INDIA FUND	44,11,685	0.66
22	WASATCH ADVISORS LP	SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF WASATCH EMERGING MARKETS SELECT CIT	5,46,352	0.08
23	WASATCH ADVISORS LP	WASATCH EMERGING MARKETS SELECT FUND	20,85,937	0.31
24	WASATCH ADVISORS LP	SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF WASATCH EMERGING MARKETS SMALL CAP CIT	7,33,474	0.11
25	WASATCH ADVISORS LP	WASATCH EMERGING MARKETS SMALL CAP FUND	25,72,278	0.38
26	WASATCH ADVISORS LP	WASATCH GLOBAL OPPORTUNITIES FUND	9,99,455	0.15
27	WASATCH ADVISORS LP	WASATCH INTERNATIONAL GROWTH FUND	15,91,284	0.24
28	WASATCH ADVISORS LP	RENAISSANCE GLOBAL SMALL-CAP FUND - WASATCH ADVISORS, INC.	3,42,258	0.05
29	WASATCH ADVISORS LP	WASATCH EMERGING MARKETS SELECT MASTER FUND, LTD.	1,40,440	0.02
30	WESTBRIDGE AIF I	WESTBRIDGE AIF I	3,18,74,170	4.77
31	WESTBRIDGE AIF I	SANDEEP SINGHAL (acting as trustee of Konark Trust)	5,56,860	0.08
32	WESTBRIDGE AIF I	MOUNTAIN MANAGERS PRIVATE LIMITED (acting as trustee of MMPL Trust)	26,148	0.00

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AU Small Finance Bank Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares	No. (a)	As a % of total Shares	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)	(VII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-

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Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	49.00	41.92
As on the end of previous 1st quarter	49.00	41.91
As on the end of previous 2nd quarter	49.00	40.02
As on the end of previous 3rd quarter	49.00	39.90
As on the end of previous 4th quarter	49.00	38.85

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

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