

Ref. No.: AUSFB/SEC/2023-24/308

Date: January 18, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611, 958400, 974093, 974094, 974095
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Dear Sir/Madam,

Sub: Shareholding Pattern of AU Small Finance Bank Limited for the Quarter ended on December 31, 2023

Ref: Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015

We hereby enclose the Shareholding Pattern of AU Small Finance Bank Limited for the Quarter ended on December 31, 2023.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in

Encl: As above

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381

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AU Small Finance Bank Limited																		
Table I - Summary Statement holding of specified securities																		
Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of		Number of		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	4	17,02,98,412	-	-	17,02,98,412	25.46	17,02,98,412	-	17,02,98,412	25.46	-	25.46	-	-	-	-	17,02,98,412
(B)	Public	1,55,656	49,84,76,038	-	-	49,84,76,038	74.54	49,84,76,038	-	49,84,76,038	74.54	-	74.54	-	-	-	-	49,84,73,179
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares Held By Employee Trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total	1,55,660	66,87,74,450	-	-	66,87,74,450	100.00	66,87,74,450	-	66,87,74,450	100.00	-	100.00	-	-	-	-	66,87,71,591

AU Small Finance Bank Limited																		
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																		
	Category & Name of the shareholders	Entity Type	Nos. of share-holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in		Number of Shares pledged		Number of equity shares held in dematerialised form
									No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: Y	Total							
(I)			(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of	(IX)			(X)	(XI)= (VII)+(X) As a	(XII)		(XIII)		(XIV)
1	Indian																	
(a)	Individuals / Hindu Undivided Family		3	15,94,69,340	-	-	15,94,69,340	23.85	15,94,69,340	-	15,94,69,340	23.85	-	23.85	-	-	-	15,94,69,340
	Sanjay Agarwal	Promoters	1	11,71,91,360	-	-	11,71,91,360	17.53	11,71,91,360	-	11,71,91,360	17.53	-	17.53	-	-	-	11,71,91,360
	Jyoti Agarwal	Promoters	1	2,36,37,120	-	-	2,36,37,120	3.53	2,36,37,120	-	2,36,37,120	3.53	-	3.53	-	-	-	2,36,37,120
	Shakuntala Agarwal	Promoters	1	1,86,40,860	-	-	1,86,40,860	2.79	1,86,40,860	-	1,86,40,860	2.79	-	2.79	-	-	-	1,86,40,860
	Yuvraj Agarwal	Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Malika Agarwal	Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Central Government / State Government(s)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions / Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specify)		1	1,08,29,072	-	-	1,08,29,072	1.62	1,08,29,072	-	1,08,29,072	1.62	-	1.62	-	-	-	1,08,29,072
	Bodies Corporate		1	1,08,29,072	-	-	1,08,29,072	1.62	1,08,29,072	-	1,08,29,072	1.62	-	1.62	-	-	-	1,08,29,072
	Mys Holdings Private Limited	Promoter Group	1	1,08,29,072	-	-	1,08,29,072	1.62	1,08,29,072	-	1,08,29,072	1.62	-	1.62	-	-	-	1,08,29,072
	Sub Total (A)(1)		4	17,02,98,412	-	-	17,02,98,412	25.46	17,02,98,412	-	17,02,98,412	25.46	-	25.46	-	-	-	17,02,98,412
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (Specify)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		4	17,02,98,412	-	-	17,02,98,412	25.46	17,02,98,412	-	17,02,98,412	25.46	-	25.46	-	-	-	17,02,98,412

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders: Nil

AU Small Finance Bank Limited																					
Table III - Statement showing shareholding pattern of the Public shareholder																					
	Category & Name of the shareholders	Nos. of share-holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares			
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	Shareholding(No. of shares)		
								Class eg: X	Class eg: y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	(XV)			
1	Institutions (Domestic)																				
(a)	Mutual Fund	23	78290918	-	-	78290918	11.71	78290918	-	78290918	11.71	-	-	NA	NA	78290918	-	-	-	-	
	Kotak Flexicap Fund	1	30032672	-	-	30032672	4.49	30032672	-	30032672	4.49	-	-	NA	NA	30032672	-	-	-	-	
	Nippon Life India Trustee Ltd-A/C Nippon India Growth	1	9813891	-	-	9813891	1.47	9813891	-	9813891	1.47	-	-	NA	NA	9813891	-	-	-	-	
	Dsp Midcap Fund	1	9631976	-	-	9631976	1.44	9631976	-	9631976	1.44	-	-	NA	NA	9631976	-	-	-	-	
	Hdfc Mutual Fund - Hdfc Mid-Cap Opportunities Fund	1	9011140	-	-	9011140	1.35	9011140	-	9011140	1.35	-	-	NA	NA	9011140	-	-	-	-	
	UTI Flexi Cap Fund	1	6799079	-	-	6799079	1.02	6799079	-	6799079	1.02	-	-	NA	NA	6799079	-	-	-	-	
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(c)	Alternate Investment Funds	32	3,73,35,582	-	-	3,73,35,582	5.58	3,73,35,582	-	3,73,35,582	5.58	-	-	NA	NA	3,73,35,582	-	-	-	-	
	Westbridge Aif I	1	3,21,13,180	-	-	3,21,13,180	4.80	3,21,13,180	-	3,21,13,180	4.80	-	-	NA	NA	3,21,13,180	-	-	-	-	
(d)	Banks	1	214	-	-	214	-	214	-	214	-	-	-	NA	NA	214	-	-	-	-	
(e)	Insurance Companies	15	2,35,27,242	-	-	2,35,27,242	3.52	2,35,27,242	-	2,35,27,242	3.52	-	-	NA	NA	2,35,27,242	-	-	-	-	
	Hdfc Life Insurance Company Limited	1	1,05,58,084	-	-	1,05,58,084	1.58	1,05,58,084	-	1,05,58,084	1.58	-	-	NA	NA	1,05,58,084	-	-	-	-	
(f)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(g)	Asset Reconstruction Companies	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(h)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(i)	NBFCs registered with RBI	1	12	-	-	12	-	12	-	12	-	-	-	NA	NA	12	-	-	-	-	
(j)	Other Financial Institutions	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(k)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
	Sub Total (B)(1)	72	13,91,53,968	-	-	13,91,53,968	20.81	13,91,53,968	-	13,91,53,968	20.81	-	-	NA	NA	13,91,53,968	-	-	-	-	
2	Institutions (Foreign)																				
(a)	Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(b)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(c)	Sovereign Wealth Funds	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(d)	Foreign Portfolio Investors Category I	566	26,31,34,056	-	-	26,31,34,056	39.35	26,31,34,056	-	26,31,34,056	39.35	-	-	NA	NA	26,31,34,056	-	-	-	-	
	Smallcap World Fund, Inc	1	3,20,26,993	-	-	3,20,26,993	4.79	3,20,26,993	-	3,20,26,993	4.79	-	-	NA	NA	3,20,26,993	-	-	-	-	
	New World Fund Inc	1	2,89,69,552	-	-	2,89,69,552	4.29	2,89,69,552	-	2,89,69,552	4.29	-	-	NA	NA	2,89,69,552	-	-	-	-	
	Camas Investments Pte. Ltd.	1	2,69,39,946	-	-	2,69,39,946	4.03	2,69,39,946	-	2,69,39,946	4.03	-	-	NA	NA	2,69,39,946	-	-	-	-	
	Nomura India Investment Fund Mother Fund	1	1,50,31,618	-	-	1,50,31,618	2.25	1,50,31,618	-	1,50,31,618	2.25	-	-	NA	NA	1,50,31,618	-	-	-	-	
	St. James's Place Emerging Markets Equity Unit Trust Managed by Wasatch Advisors Inc	1	79,24,095	-	-	79,24,095	1.18	79,24,095	-	79,24,095	1.18	-	-	NA	NA	79,24,095	-	-	-	-	
	Kotak Funds - India Midcap Fund	1	69,65,208	-	-	69,65,208	1.04	69,65,208	-	69,65,208	1.04	-	-	NA	NA	69,65,208	-	-	-	-	
(e)	Foreign Portfolio Investors Category II	23	1,16,77,607	-	-	1,16,77,607	1.75	1,16,77,607	-	1,16,77,607	1.75	-	-	NA	NA	1,16,77,607	-	-	-	-	
	Jancho Partners Pan-Asian Master Fund	1	78,78,352	-	-	78,78,352	1.18	78,78,352	-	78,78,352	1.18	-	-	NA	NA	78,78,352	-	-	-	-	
(f)	Overseas Depositories(holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(g)	Any Other (Specify)	1	1,74,909	-	-	1,74,909	0.03	1,74,909	-	1,74,909	0.03	-	-	NA	NA	1,74,909	-	-	-	-	
	Foreign Institutional Investors	1	1,74,909	-	-	1,74,909	0.03	1,74,909	-	1,74,909	0.03	-	-	NA	NA	1,74,909	-	-	-	-	
	Sub Total (B)(2)	590	27,49,86,572	-	-	27,49,86,572	41.12	27,49,86,572	-	27,49,86,572	41.12	-	-	NA	NA	27,49,86,572	-	-	-	-	
3	Central Government/ State Government(s)																				
(a)	Central Government / President of India	1	186	-	-	186	-	186	-	186	-	-	-	NA	NA	186	-	-	-	-	
(b)	State Government / Governor	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
	Sub Total (B)(3)	1	186	-	-	186	-	186	-	186	-	-	-	NA	NA	186	-	-	-	-	
4	Non-Institutions																				
(a)	Associate companies / Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)	5	1,38,57,742	-	-	1,38,57,742	2.07	1,38,57,742	-	1,38,57,742	2.07	-	-	NA	NA	1,38,57,742	-	-	-	-	
	Uttam Tibrewal	1	1,37,97,468	-	-	1,37,97,468	2.06	1,37,97,468	-	1,37,97,468	2.06	-	-	NA	NA	1,37,97,468	-	-	-	-	
(c)	Key Managerial Personnel	2	2,36,735	-	-	2,36,735	0.04	2,36,735	-	2,36,735	0.04	-	-	NA	NA	2,36,735	-	-	-	-	
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	2	46,401	-	-	46,401	0.01	46,401	-	46,401	0.01	-	-	NA	NA	46,401	-	-	-	-	
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(f)	Investor Education and Protection Fund (IEPF)	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-	-	
(g)	i. Resident individual holding nominal share capital up to Rs. 2 lakhs.	1,46,450	2,83,22,653	-	-	2,83,22,653	4.24	2,83,22,653	-	2,83,22,653	4.24	-	-	NA	NA	2,83,19,819	-	-	-	-	
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	187	1,68,94,049	-	-	1,68,94,049	2.53	1,68,94,049	-	1,68,94,049	2.53	-	-	NA	NA	1,68,94,049	-	-	-	-	

AU Small Finance Bank Limited																					
Table III - Statement showing shareholding pattern of the Public shareholder																					
	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares			
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	Shareholding(No. of shares)		
								Class eg: X	Class eg: y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	(XV)			
(i)	Non Resident Indians (NRIs)	3,991	39,22,131	-	-	39,22,131	0.59	39,22,131	-	39,22,131	0.59	-	0.59	-	-	NA	NA	39,22,131	-	-	-
(j)	Foreign Nationals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	
(k)	Foreign Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	
(l)	Bodies Corporate	1,200	1,20,76,066	-	-	1,20,76,066	1.81	1,20,76,066	-	1,20,76,066	1.81	-	1.81	-	-	NA	NA	1,20,76,041	-	-	-
(m)	Any Other (Specify)	3,156	89,79,535	-	-	89,79,535	1.34	89,79,535	-	89,79,535	1.34	-	1.34	-	-	NA	NA	89,79,535	-	-	-
	Trusts	11	63,79,597	-	-	63,79,597	0.35	63,79,597	-	63,79,597	0.35	-	0.35	-	-	NA	NA	63,79,597	-	-	-
	Body Corp-Ltd Liability Partnership	126	15,16,948	-	-	15,16,948	0.23	15,16,948	-	15,16,948	0.23	-	0.23	-	-	NA	NA	15,16,948	-	-	-
	Hindu Undivided Family	3,009	5,97,816	-	-	5,97,816	0.09	5,97,816	-	5,97,816	0.09	-	0.09	-	-	NA	NA	5,97,816	-	-	-
	Clearing Member	10	4,85,174	-	-	4,85,174	0.07	4,85,174	-	4,85,174	0.07	-	0.07	-	-	NA	NA	4,85,174	-	-	-
	Sub Total (B)(4)	1,54,993	8,43,35,312	-	-	8,43,35,312	13	8,43,35,312	-	8,43,35,312	13	-	13	-	-	NA	NA	8,43,32,453	-	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+B(4)	1,55,656	49,84,76,038	-	-	49,84,76,038	75	49,84,76,038	-	49,84,76,038	75	-	75	-	-	NA	NA	49,84,73,179	-	-	-

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):				
S. No.	Name of shareholders	Name of the PAC	No. of Shares	%
1	WASATCH ADVISORS LP	AL MEHWAR COMMERCIAL INVESTMENTS L.L.C. - (WANDA)	3998634	0.60%
2	WASATCH ADVISORS LP	BUSS (QUEENSLAND) PTY LTD AS TRUSTEE FOR BUSS (QUEENSLAND) POOLED SUPERANNUATION TRUST	157566	0.02%
3	WASATCH ADVISORS LP	REGIME DE RETRAITE D'HYDRO-QUEBEC	376149	0.06%
4	WASATCH ADVISORS LP	COUNSEL GLOBAL SMALL CAP	504254	0.08%
5	WASATCH ADVISORS LP	EMPLOYEES RETIREMENT SYSTEM OF THE STATE OF HAWAII	1778619	0.27%
6	WASATCH ADVISORS LP	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	1875504	0.28%
7	WASATCH ADVISORS LP	COMMONWEALTH OF PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES RETIREMENT SYSTEM/GE322-WASATCH ADVISORS INC	2543516	0.38%
8	WASATCH ADVISORS LP	PENSION RESERVES INVESTMENT TRUST FUND - WASTACH	983113	0.15%
9	WASATCH ADVISORS LP	PRIME SUPER	255610	0.04%
10	WASATCH ADVISORS LP	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	1343702	0.20%
11	WASATCH ADVISORS LP	SPIRIT SUPER	1207406	0.18%
12	WASATCH ADVISORS LP	ST. JAMES'S PLACE EMERGING MARKETS EQUITY UNIT TRUST MANAGED BY WASATCH ADVISORS INC	7924095	1.18%
13	WASATCH ADVISORS LP	TEACHERS RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - WASATCH ADVISORS	1922805	0.29%
14	WASATCH ADVISORS LP	APT-UNIVERSAL-FONDS	268063	0.04%
15	WASATCH ADVISORS LP	University of Pittsburgh Medical Center - Investment Portfolio (UPMC)	142659	0.02%
16	WASATCH ADVISORS LP	UPMC BASIC RETIREMENT PLAN MASTER TRUST	95120	0.01%
17	WASATCH ADVISORS LP	VPMC INTERNATIONAL EQUITY TRUST 1	460361	0.07%
18	WASATCH ADVISORS LP	VPMC INTERNATIONAL EQUITY TRUST 2	683698	0.10%
19	WASATCH ADVISORS LP	WASATCH ADVISORS LP	10422	0.00%
20	WASATCH ADVISORS LP	WASATCH EMERGING INDIA FUND	4510234	0.67%
21	WASATCH ADVISORS LP	SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF WASATCH EMERGING MARKETS SELECT CIT	457841	0.07%
22	WASATCH ADVISORS LP	WASATCH EMERGING MARKETS SELECT FUND	1612909	0.24%
23	WASATCH ADVISORS LP	SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF WASATCH EMERGING MARKETS SMALL CAP CIT	733474	0.11%
24	WASATCH ADVISORS LP	WASATCH EMERGING MARKET'S SMALL CAP FUND	2572278	0.38%
25	WASATCH ADVISORS LP	WASATCH GLOBAL OPPORTUNITIES FUND	794435	0.12%
26	WASATCH ADVISORS LP	WASATCH INTERNATIONAL GROWTH FUND	1131227	0.17%
27	WASATCH ADVISORS LP	RENAISSANCE GLOBAL SMALL-CAP FUND - WASATCH ADVISORS, INC.	450376	0.07%
28	WASATCH ADVISORS LP	Wasatch Emerging Markets Select Master Fund, Ltd.	117500	0.02%
29	WESTBRIDGE AIF I	ANDEEP SINGHAL (acting as trustee of Konark Trust)	519030	0.08%
30	WESTBRIDGE AIF I	MOUNTAIN MANAGERS PRIVATE LIMITED (acting as trustee of MMPL Trust)	24846	0.00%
31	WESTBRIDGE AIF I	WESTBRIDGE AIF I	32113180	4.80%

AU Small Finance Bank Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares	No. (a)	As a % of total Shares	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	

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Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	49.00	41.37
As on the end of previous 1st quarter	49.00	41.92
As on the end of previous 2nd quarter	49.00	41.91
As on the end of previous 3rd quarter	49.00	40.02
As on the end of previous 4th quarter	49.00	39.90
Notes :- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.		

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