Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: AU Small Finance Bank Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540611
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)
a.	If under 31(1)(b) then indicate the report for Quarter ending - June 30, 2024
b.	If under 31(1)(c) then indicate date of allotment/extinguishment - NA
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sr. No.	Particulars	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

AU Small Finance Bank Ltd. JAIPUR

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	**Name of Listed Entity: AU Small Finance Bank Limited**
2.	**Scrip Code/Name of Scrip/Class of Security: 540611**
3.	**Share Holding Pattern Filed under: Reg. 31(1)(b)**
a.	**If under 31(1)(b) then indicate the report for Quarter ending - June 30, 2024**
b.	**If under 31(1)(c) then indicate date of allotment/extinguishment -** NA
4.	**Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-**

Sr. No.	Particulars	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

AU Small Finance Bank Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) as a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) as a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	4	17,02,98,412	-	-	17,02,98,412	22.92	17,02,98,412	-	17,02,98,412	22.92	-	22.92	-	-	-	-	17,02,98,412
(B)	Public	1,95,758	57,28,60,743	-	-	57,28,60,743	77.08	57,28,60,743	-	57,28,60,743	77.08	-	77.08	-	-	NA	NA	57,28,58,041
(C)	Non Promoter - Non Public				-				-			-			-	NA	NA	
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(C2)	Shares Held By Employee Trust	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total	1,95,762	74,31,59,155	-	-	74,31,59,155	100.00	74,31,59,155	-	74,31,59,155	100.00	-	100.00	-	-	-	-	74,31,56,453

AU Small Finance Bank Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of	(IX)				(X)	(XI)= (VII)+(X) As a	(XII)		(XIII)		(XIV)
1	**Indian**																		
(a)	**Individuals / Hindu Undivided Family**		**3**	**15,94,69,340**	**-**	**-**	**15,94,69,340**	**21.46**	**15,94,69,340**	**-**	**15,94,69,340**	**21.46**	**-**	**21.46**	**-**	**-**	**-**	**-**	**15,94,69,340**
	Sanjay Agarwal	Promoters	1	11,71,91,360	-	-	11,71,91,360	15.77	11,71,91,360	-	11,71,91,360	15.77	-	15.77	-	-	-	-	11,71,91,360
	Jyoti Agarwal	Promoters	1	2,36,37,120	-	-	2,36,37,120	3.18	2,36,37,120	-	2,36,37,120	3.18	-	3.18	-	-	-	-	2,36,37,120
	Shakuntala Agarwal	Promoters	1	1,86,40,860	-	-	1,86,40,860	2.51	1,86,40,860	-	1,86,40,860	2.51	-	2.51	-	-	-	-	1,86,40,860
	Yuvraj Agarwal	Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mallika Agarwal	Promoter Group	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	**Central Government / State Government(s)**		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	**Financial Institutions / Banks**		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	**Any Other (Specify)**		**1**	**1,08,29,072**	**-**	**-**	**1,08,29,072**	**1.46**	**1,08,29,072**	**-**	**1,08,29,072**	**1.46**	**-**	**1.46**	**-**	**-**	**-**	**-**	**1,08,29,072**
	Bodies Corporate		**1**	**1,08,29,072**	**-**	**-**	**1,08,29,072**	**1.46**	**1,08,29,072**	**-**	**1,08,29,072**	**1.46**	**-**	**1.46**	**-**	**-**	**-**	**-**	**1,08,29,072**
	Mys Holdings Private Limited	Promoter Group	1	1,08,29,072	-	-	1,08,29,072	1.46	1,08,29,072	-	1,08,29,072	1.46	-	1.46	-	-	-	-	1,08,29,072
	Sub Total (A)(1)		**4**	**17,02,98,412**	**-**	**-**	**17,02,98,412**	**22.92**	**17,02,98,412**	**-**	**17,02,98,412**	**22.92**	**-**	**22.92**	**-**	**-**	**-**	**-**	**17,02,98,412**
2	**Foreign**																		
(a)	**Individuals (Non-Resident Individuals / Foreign Individuals)**		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	**Government**		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	**Institutions**		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	**Foreign Portfolio Investor**		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	**Any Other (Specify)**		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		**4.00**	**17,02,98,412**	**-**	**-**	**17,02,98,412**	**22.92**	**17,02,98,412**	**-**	**17,02,98,412**	**22.92**	**-**	**22.92**	**-**	**-**	**-**	**-**	**17,02,98,412**

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders: Nil

AU Small Finance Bank Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares		
								No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		Shareholding(No. of shares) under		
								Class eg: X	Class eg: y	Total									Sub-category(i)	Sub-category(ii)	Sub-category(iii)
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) as a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) as a % of (A+B+C2)	(XII)		(XIII)		(XIV)	(XV)		
1	**Institutions (Domestic)**																				
(a)	**Mutual Fund**	**23**	**9,25,43,150**	-	-	**9,25,43,150**	**12.45**	**9,25,43,150**	-	**9,25,43,150**	**12.45**	-	**12.45**	-	-	**NA**	**NA**	**9,25,43,150**	-	-	-
	Kotak Flexicap Fund	1	2,30,35,088	-	-	2,30,35,088	3.10	2,30,35,088	-	2,30,35,088	3.10	-	3.10	-	-	NA	NA	2,30,35,088	-	-	-
	Hdfc Mutual Fund - Hdfc Mid-Cap Opportunities Fund	1	1,94,45,720	-	-	1,94,45,720	2.62	1,94,45,720	-	1,94,45,720	2.62	-	2.62	-	-	NA	NA	1,94,45,720	-	-	-
	Aditya Birla Sun Life Trustee Private Limited A/C Aditya Birla Sun Life Flexi Cap Fund	1	1,55,12,987	-	-	1,55,12,987	2.09	1,55,12,987	-	1,55,12,987	2.09	-	2.09	-	-	NA	NA	1,55,12,987	-	-	-
	Nippon Life India Trustee Ltd-A/C Nippon India Growth Fund	1	1,11,95,905	-	-	1,11,95,905	1.51	1,11,95,905	-	1,11,95,905	1.51	-	1.51	-	-	NA	NA	1,11,95,905	-	-	-
	Dsp Midcap Fund	1	1,11,37,697	-	-	1,11,37,697	1.50	1,11,37,697	-	1,11,37,697	1.50	-	1.50	-	-	NA	NA	1,11,37,697	-	-	-
(b)	**Venture Capital Funds**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(c)	**Alternate Investment Funds**	**20**	**2,37,50,938**	-	-	**2,37,50,938**	**3.20**	**2,37,50,938**	-	**2,37,50,938**	**3.20**	-	**3.20**	-	-	**NA**	**NA**	**2,37,50,938**	-	-	-
	Westbridge Aif I	1	1,29,90,717	-	-	1,29,90,717	1.75	1,29,90,717	-	1,29,90,717	1.75	-	1.75	-	-	NA	NA	1,29,90,717	-	-	-
	Business Excellence Trust Iii - India Business Excellence Fund Iii	1	83,63,454	-	-	83,63,454	1.13	83,63,454	-	83,63,454	1.13	-	1.13	-	-	NA	NA	83,63,454	-	-	-
(d)	**Banks**	**1**	**214**	-	-	**214**	**0.00**	**214**	-	**214**	**0.00**	-	**0.00**	-	-	**NA**	**NA**	**214**	-	-	-
(e)	**Insurance Companies**	**14**	**2,07,36,610**	-	-	**2,07,36,610**	**2.79**	**2,07,36,610**	-	**2,07,36,610**	**2.79**	-	**2.79**	-	-	**NA**	**NA**	**2,07,36,610**	-	-	-
	Hdfc Life Insurance Company Limited	1	91,59,055	-	-	91,59,055	1.23	91,59,055	-	91,59,055	1.23	-	1.23	-	-	NA	NA	91,59,055	-	-	-
(f)	**Provident Funds/ Pension Funds**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(G)	**Asset Reconstruction Companies**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(h)	**Sovereign Wealth Funds**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(i)	**NBFCs registered with RBI**	**2**	**331**	-	-	**331**	**0.00**	**331**	-	**331**	**0.00**	-	**0.00**	-	-	**NA**	**NA**	**331**	-	-	-
(j)	**Other Financial Institutions**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(k)	**Any Other (Specify)**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
	Sub Total (B)(1)	**60**	**13,70,31,243**	-	-	**13,70,31,243**	**18.44**	**13,70,31,243**	-	**13,70,31,243**	**18.44**	-	**18.44**	-	-	**NA**	**NA**	**13,70,31,243**	-	-	-
2	**Institutions (Foreign)**			-	-					-		-		-	-				-	-	-
(a)	**Foreign Direct Investment**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(b)	**Foreign Venture Capital Investors**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(c)	**Sovereign Wealth Funds**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(d)	**Foreign Portfolio Investors Category I**	**563**	**27,35,26,996**	-	-	**27,35,26,996**	**36.81**	**27,35,26,996**	-	**27,35,26,996**	**36.81**	-	**36.81**	-	-	**NA**	**NA**	**27,35,26,996**	-	-	-
	New World Fund Inc	1	2,86,69,552	-	-	2,86,69,552	3.86	2,86,69,552	-	2,86,69,552	3.86	-	3.86	-	-	NA	NA	2,86,69,552	-	-	-
	Camas Investments Pte. Ltd.	1	2,69,39,946	-	-	2,69,39,946	3.63	2,69,39,946	-	2,69,39,946	3.63	-	3.63	-	-	NA	NA	2,69,39,946	-	-	-
	Smallcap World Fund, Inc	1	2,49,55,177	-	-	2,49,55,177	3.36	2,49,55,177	-	2,49,55,177	3.36	-	3.36	-	-	NA	NA	2,49,55,177	-	-	-
	Nomura India Investment Fund Mother Fund	1	1,31,66,568	-	-	1,31,66,568	1.77	1,31,66,568	-	1,31,66,568	1.77	-	1.77	-	-	NA	NA	1,31,66,568	-	-	-
	Df International Partners Ii Llc	1	1,20,52,200	-	-	1,20,52,200	1.62	1,20,52,200	-	1,20,52,200	1.62	-	1.62	-	-	NA	NA	1,20,52,200	-	-	-
	St. James's Place Emerging Markets Equity Unit Trust Managed By Wasatch Advisors Inc	1	77,47,990	-	-	77,47,990	1.04	77,47,990	-	77,47,990	1.04	-	1.04	-	-	NA	NA	77,47,990	-	-	-
(e)	**Foreign Portfolio Investors Category II**	**23**	**2,05,30,094**	-	-	**2,05,30,094**	**2.76**	**2,05,30,094**	-	**2,05,30,094**	**2.76**	-	**2.76**	-	-	**NA**	**NA**	**2,05,30,094**	-	-	-
	Janchor Partners Pan-Asian Master Fund	1	79,10,059	-	-	79,10,059	1.06	79,10,059	-	79,10,059	1.06	-	1.06	-	-	NA	NA	79,10,059	-	-	-
(f)	**Overseas Depositories(holding DRs) (balancing figure)**	-	-	-	-	-	-	-	-	-	-	-	-	-	-	**NA**	**NA**	-	-	-	-
(g)	**Any Other (Specify)**	**1**	**99,140**	-	-	**99,140**	**0.01**	**99,140**	-	**99,140**	**0.01**	-	**0.01**	-	-	**NA**	**NA**	**99,140**	-	-	-
	Foreign Institutional Investors	**1**	**99,140**	-	-	**99,140**	**0.01**	**99,140**	-	**99,140**	**0.01**	-	**0.01**	-	-	**NA**	**NA**	**99,140**	-	-	-
	Sub Total (B)(2)	**587**	**29,41,56,230**	-	-	**29,41,56,230**	**39.58**	**29,41,56,230**	-	**29,41,56,230**	**39.58**	-	**39.58**	-	-	**NA**	**NA**	**29,41,56,230**	-	-	-
3	**Central Government/ State Government(s)**																				

AU Small Finance Bank Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares		
								No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		Shareholding(No. of shares) under		
								Class eg: X	Class eg: y	Total									Sub-category(i)	Sub-category(ii)	Sub-category(iii)
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) as a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) as a % of (A+B+C2)	(XII)		(XIII)		(XIV)	(XV)		
(a)	Central Government / President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(b)	State Government / Governor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(C)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
	Sub Total (B)(3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
4	Non-Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(a)	Associate companies / Subsidiaries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)	5	1,39,08,742	-	-	1,39,08,742	1.87	1,39,08,742	-	1,39,08,742	1.87	-	1.87	-	-	NA	NA	1,39,08,742	-	-	-
	Uttam Tibrewal	1	1,37,97,468	-	-	1,37,97,468	1.86	1,37,97,468	-	1,37,97,468	1.86	-	1.86	-	-	NA	NA	1,37,97,468	-	-	-
(C)	Key Managerial Personnel	2	2,49,387	-	-	2,49,387	0.03	2,49,387	-	2,49,387	0.03	-	0.03	-	-	NA	NA	2,49,387	-	-	-
(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	2	51,314	-	-	51,314	0.01	51,314	-	51,314	0.01	-	0.01	-	-	NA	NA	51,314	-	-	-
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee','beneficiary', or 'author of the trust''	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(f)	Investor Education and Protection Fund (IEPF)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	186865	2,35,32,128	-	-	2,35,32,128	3.17	2,35,32,128	-	2,35,32,128	3.17	-	3.17	-	-	NA	NA	2,35,29,451	-	-	-
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	167	1,63,67,791	-	-	1,63,67,791	2.20	1,63,67,791	-	1,63,67,791	2.20	-	2.20	-	-	NA	NA	1,63,67,791	-	-	-
(i)	Non Resident Indians (NRIs)	3725	27,14,793	-	-	27,14,793	0.37	27,14,793	-	27,14,793	0.37	-	0.37	-	-	NA	NA	27,14,793	-	-	-
(j)	Foreign Nationals	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-	-	-	-
(k)	Foreign Companies	5	64,10,876	-	-	64,10,876	0.86	64,10,876	-	64,10,876	0.86	-	0.86	-	-	NA	NA	64,10,876	-	-	-
(l)	Bodies Corporate	992	7,22,40,983	-	-	7,22,40,983	9.72	7,22,40,983	-	7,22,40,983	9.72	-	9.72	-	-	NA	NA	7,22,40,958	-	-	-
	Fincare Business Services Ltd	1	5,97,82,387	-	-	5,97,82,387	8.04	5,97,82,387	-	5,97,82,387	8.04	-	8.04	-	-	NA	NA	5,97,82,387	-	-	-
(m)	Any Other (Specify)	3348	61,97,256	-	-	61,97,256	0.83	61,97,256	-	61,97,256	0.83	-	0.83	-	-	NA	NA	61,97,256	-	-	-
	Trusts	6	31,088	-	-	31,088	0.00	31,088	-	31,088	0.00	-	0.00	-	-	NA	NA	31,088	-	-	-
	Body Corp-Ltd Liability Partnership	112	13,66,354	-	-	13,66,354	0.18	13,66,354	-	13,66,354	0.18	-	0.18	-	-	NA	NA	13,66,354	-	-	-
	Hindu Undivided Family	3222	6,29,115	-	-	6,29,115	0.08	6,29,115	-	6,29,115	0.08	-	0.08	-	-	NA	NA	6,29,115	-	-	-
	Clearing Member	8	41,70,699	-	-	41,70,699	0.56	41,70,699	-	41,70,699	0.56	-	0.56	-	-	NA	NA	41,70,699	-	-	-
	Sub Total (B)(4)	195111	14,16,73,270	-	-	14,16,73,270	19.06	14,16,73,270	-	14,16,73,270	19.06	-	19.06	-	-	NA	NA	14,16,70,568	-	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)	195758	57,28,60,743	-	-	57,28,60,743	77.08	57,28,60,743	-	57,28,60,743	77.08	-	77.08	-	-	NA	NA	57,28,58,041	-	-	-

Notes:
In the 'Statement showing foreign ownership limits utlized percentage', sum of Foreign Portfolio Investors, Foreign Institutional Investor and Non-Resident Indian (Repat) is disclosed. Non-Resident Indian (Non-repatriable) shareholding of 0.24% being considered as domestic shareholding, is not included in the above mentioned foreign shareholding.

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
0

AU Small Finance Bank Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	NA	NA	0.00
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	NA	NA	0.00
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	NA	NA	0.00

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):				
S. No.	**Name of shareholders**	**Name of the PAC**	**No. of Shares**	**%**
1	WASATCH ADVISORS LP	AL MEHWAR COMMERCIAL INVESTMENTS L.L.C. - (WANDA)	42,35,058	0.57
2	WASATCH ADVISORS LP	BUSS (QUEENSLAND) PTY LTD AS TRUSTEE FOR BUSS (QUEENSLAND) POOLED SUPERANNUATION TRUST	1,38,994	0.02
3	WASATCH ADVISORS LP	REGIME DE RETRAITE D'HYDRO-QUEBEC	3,76,149	0.05
4	WASATCH ADVISORS LP	COUNSEL GLOBAL SMALL CAP	5,45,772	0.07
5	WASATCH ADVISORS LP	EMPLOYEES RETIREMENT SYSTEM OF THE STATE OF HAWAII	17,78,619	0.24
6	WASATCH ADVISORS LP	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	16,67,276	0.22
7	WASATCH ADVISORS LP	COMMONWEALTH OF PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES RETIREMENT SYSTEM/GE322-WASATCH ADVISORS INC	23,78,767	0.32
8	WASATCH ADVISORS LP	PENSION RESERVES INVESTMENT TRUST FUND - WASTACH	9,05,673	0.12
9	WASATCH ADVISORS LP	PRIME SUPER	2,42,195	0.03
10	WASATCH ADVISORS LP	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	9,83,482	0.13
11	WASATCH ADVISORS LP	SPIRIT SUPER	12,07,406	0.16
12	WASATCH ADVISORS LP	ST. JAMES'S PLACE EMERGING MARKETS EQUITY UNIT TRUST MANAGED BY WASATCH ADVISORS INC	77,47,990	1.04
13	WASATCH ADVISORS LP	TEACHERS RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - WASATCH ADVISORS	17,06,008	0.23
14	WASATCH ADVISORS LP	APT-UNIVERSAL-FONDS	2,37,519	0.03
15	WASATCH ADVISORS LP	UPMC	1,26,247	0.02
16	WASATCH ADVISORS LP	UPMC BASIC RETIREMENT PLAN MASTER TRUST	84,220	0.01
17	WASATCH ADVISORS LP	VFMC INTERNATIONAL EQUITY TRUST 1	4,60,361	0.06
18	WASATCH ADVISORS LP	VFMC INTERNATIONAL EQUITY TRUST 2	8,79,298	0.12
19	WASATCH ADVISORS LP	WASATCH ADVISORS LP	9,711	0.00
20	WASATCH ADVISORS LP	WASATCH EMERGING INDIA FUND	45,10,234	0.61
21	WASATCH ADVISORS LP	SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF WASATCH EMERGING MARKETS SELECT CIT	24,19,848	0.33
22	WASATCH ADVISORS LP	WASATCH EMERGING MARKETS SELECT FUND	15,62,407	0.21
23	WASATCH ADVISORS LP	SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF WASATCH EMERGING MARKETS SMALL CAP CIT	6,49,191	0.09
24	WASATCH ADVISORS LP	WASATCH EMERGING MARKETS SMALL CAP FUND	21,30,467	0.29
25	WASATCH ADVISORS LP	WASATCH GLOBAL OPPORTUNITIES FUND	7,63,658	0.10
26	WASATCH ADVISORS LP	WASATCH INTERNATIONAL GROWTH FUND	8,34,624	0.11
27	WASATCH ADVISORS LP	RENAISSANCE GLOBAL SMALL-CAP FUND - WASATCH ADVISORS, INC.	7,02,581	0.09
28	WASATCH ADVISORS LP	Wasatch Emerging Markets Select Master Fund, Ltd.	1,17,500	0.02

Table VI - Statement showing foreign ownership limits		
Particular	**Approved limits (%)**	**Limits utilized (%)**
As on shareholding date	49.00	40.58
As on the end of previous 1st quarter	49.00	39.60
As on the end of previous 2nd quarter	49.00	41.37
As on the end of previous 3rd quarter	49.00	41.92
As on the end of previous 4th quarter	49.00	41.91

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.