

Shareholding Pattern

AU Small Finance Bank Limited

General Information

Scrip code	540611
NSE Symbol	AUBANK
MSEI Symbol	NOTLISTED
ISIN	INE949L01017
Whether company is SME	false
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2025
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	false

Declaration

Searial No.	Particular	Yes / No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	false	false	false	false
2	Whether the Listed Entity has issued any Convertible Securities ?	false	false	false	false
3	Whether the Listed Entity has issued any Warrants ?	false	false	false	false
4	Whether Listed Entity has granted any ESOPs, which are outstanding?	true	false	true	false
5	Whether the Listed Entity has any shares against which depository receipts are issued?	false	false	false	false
6	Whether the Listed Entity has any shares in locked-in?	false	false	false	false
7	Whether any shares held by promoters are encumbered under 'Pledge'?	false	false		
8	Whether any shares held by promoters are encumbered under 'Non-Disposal Undertaking'?	false	false		
9	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?	false	false		
10	Whether company has equity shares with differential voting rights?	false	false	false	false
11	Whether the listed entity has any significant beneficial owner?	false			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet																															
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities(XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)=(VII+X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(XI)As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)		Non-Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Number of equity shares held in dematerialized form (XVIII)	Sub-categorization of shares			
								No of Voting (XIV) Rights									Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Shareholding (No. of shares) under		
								Class eg:X	Class eg:Y	Total																			Sub-category (I)	Sub-category (II)	Sub-category (III)
(A)	Promoter & Promoter Group	4	170298412			170298412	22.79%	170298412		170298412	22.79%				170298412	22.05%												170298412			
(B)	Public	181631	576882306			576882306	77.21%	576882306		576882306	77.21%		24990426	24990426	601872732	77.95%												576879865	0	0	0
(C)	Non Promoter-Non Public	0	0			0		0		0	0.00%				0													0			
(C1)	Shares underlying DRs	0	0			0		0		0	0.00%				0													0			
(C2)	Shares held by Employee Trusts	0	0			0	0.00%	0		0	0.00%				0	0.00%												0			
	Total	181635	747180718			747180718	100.00%	747180718		747180718	100.00%		24990426	24990426	772171144	100.00%												747178277	0	0	0

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No.of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No.of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII)+(X) As a % of(A+B+C2)	Shareholding as a % assuming full conversion of convertible securities as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of(A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)	Non-Disposal Undertaking (XV)	Other encumbrances, if any (XVI)	Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Number of equity shares held in dematerialized for (XVIII)	Sub-categorization of shares			Shareholder type	
											No of Voting (XIV) Rights									Total as a % of Total Voting rights	Shareholding (No.of shares) under											
											Class eg:X	Class eg:Y	Total								Sub - category (I)				Sub - category (II)	Sub - category (III)						
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																															
(1)	Indian																															
(a)	Individuals/Hindu undivided Family				3	159469340			159469340	21.34%	159469340	159469340	21.34%					159469340	20.65%								159469340				Promoter	
	Sanjay Agarwal				1	117191360			117191360	15.68%	117191360	117191360	15.68%					117191360	15.18%								117191360				Promoter	
	Jyoti Agarwal				1	23637120			23637120	3.16%	23637120	23637120	3.16%					23637120	3.06%								23637120				Promoter	
	Shakuntala Agarwal				1	18640860			18640860	2.49%	18640860	18640860	2.49%					18640860	2.41%								18640860				Promoter	
	Yuvraj Agarwal				0	0			0	0.00%	0	0	0.00%					0	0.00%								0				Promoter Group	
	Malika Agarwal				0	0			0	0.00%	0	0	0.00%					0	0.00%								0				Promoter Group	
(b)	Central Government/ State Government(s)																															
(c)	Financial Institutions/ Banks																															
(d)	Any Other (specify)				1	10829072			10829072	1.45%	10829072	10829072	1.45%					10829072	1.40%								10829072					
	Mys Holdings Private Limited	Bodies Corporate			1	10829072			10829072	1.45%	10829072	10829072	1.45%					10829072	1.40%								10829072					Promoter Group
	Sub-Total (A)(1)				4	170298412			170298412	22.79%	170298412	170298412	22.79%					170298412	22.05%								170298412					
(2)	Foreign																															
(a)	Individuals (NonResident Individuals/ Foreign Individuals)																															
(b)	Government																															
(c)	Institutions																															
(d)	Foreign Portfolio Investor																															
(e)	Any Other (specify)																															
	Sub-Total (A)(2)																															
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				4	170298412			170298412	22.79%	170298412	170298412	22.79%					170298412	22.05%								170298412					
B	Table III - Statement showing shareholding pattern of the Public shareholder																															
(1)	Institutions (Domestic)																															
(a)	Mutual Funds				35	168974916			168974916	22.61%	168974916	168974916	22.61%			0	0	168974916	21.88%								168974916	0	0	0		
	Hdfc Mutual Fund - Hdfc Mid-Cap Fund				1	53127104			53127104	7.11%	53127104	53127104	7.11%			0	0	53127104	6.88%								53127104	0	0	0		
	Nippon Life India Trustee Ltd- A/C Nippon India Growth Mid Cap Fund				1	20330551			20330551	2.72%	20330551	20330551	2.72%			0	0	20330551	2.63%								20330551	0	0	0		
	Dsp Midcap Fund				1	14266821			14266821	1.91%	14266821	14266821	1.91%			0	0	14266821	1.85%								14266821	0	0	0		
	Aditya Birla Sun Life Trustee Private Limited A/C Aditya Birla Sun Life Flexi Cap Fund				1	14200399			14200399	1.90%	14200399	14200399	1.90%			0	0	14200399	1.84%								14200399	0	0	0		
	Invesco India Flexi Cap Fund				1	13735761			13735761	1.84%	13735761	13735761	1.84%			0	0	13735761	1.78%								13735761	0	0	0		
	Kotak Flexicap Fund				1	11984299			11984299	1.60%	11984299	11984299	1.60%			0	0	11984299	1.55%								11984299	0	0	0		
	Uti Nifty 200 Momentum 30 Index Fund				1	9326731			9326731	1.25%	9326731	9326731	1.25%			0	0	9326731	1.21%								9326731	0	0	0		
(b)	Venture Capital Funds				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%								0	0	0	0		
(c)	Alternate Investment Funds				28	10064910			10064910	1.35%	10064910	10064910	1.35%			0	0	10064910	1.30%								10064910	0	0	0		
(d)	Banks				3	2600			2600	0.00%	2600	2600	0.00%			0	0	2600	0.00%								2600	0	0	0		
(e)	Insurance Companies				23	50553685			50553685	6.77%	50553685	50553685	6.77%			0	0	50553685	6.55%								50553685	0	0	0		
	Sbi Life Insurance Co. Ltd				1	13096045			13096045	1.75%	13096045	13096045	1.75%			0	0	13096045	1.70%								13096045	0	0	0		
	Hdfc Life Insurance				1	11990443			11990443	1.60%	11990443	11990443	1.60%			0	0	11990443	1.55%								11990443	0	0	0		

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of(A+B+C2)	Number of Locked in shares (XIII)	Number of Shares pledged (XIV)	Non-Disposal Undertaking (XV)	Other encumbrances, if any (XVI)	Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)	Number of equity shares held in dematerialized for (XVIII)	Sub-categorization of shares			Shareholder type		
											No of Voting (XIV) Rights															Shareholding (No. of shares) under					
											Class eg:X	Class eg:Y	Total														Sub - category (I)	Sub - category (II)		Sub - category (III)	
	Company Limited																														
	Axis Max Life Insurance Limited				1	8263424			8263424	1.11%	8263424	8263424	1.11%			0	0	8263424	1.07%							8263424	0	0	0		
(f)	Provident Funds/ Pension Funds				1	2280192			2280192	0.31%	2280192	2280192	0.31%			0	0	2280192	0.30%							2280192	0	0	0		
(g)	Asset reconstruction companies				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(h)	Sovereign Wealth Funds				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(i)	NBFCs registered with RBI				2	408			408	0.00%	408	408	0.00%			0	0	408	0.00%							408	0	0	0	0	
(j)	Other Financial Institutions				1	1736002			1736002	0.23%	1736002	1736002	0.23%			0	0	1736002	0.22%							1736002	0	0	0	0	
(k)	Any Other (specify)				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
	Sub-Total (B)(1)				93	233612713			233612713	31.27%	233612713	233612713	31.27%			0	0	233612713	30.25%							233612713	0	0	0	0	
(2)	Institutions (Foreign)																														
(a)	Foreign Direct Investment				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(b)	Foreign Venture Capital Investors				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(c)	Sovereign Wealth Funds				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(d)	Foreign Portfolio Investors Category I				604	251518787			251518787	33.66%	251518787	251518787	33.66%			0	0	251518787	32.57%							251518787	0	0	0	0	
	Camas Investments Pte. Ltd.				1	26939946			26939946	3.61%	26939946	26939946	3.61%			0	0	26939946	3.49%							26939946	0	0	0	0	
	Smallcap World Fund, Inc				1	18231262			18231262	2.44%	18231262	18231262	2.44%			0	0	18231262	2.36%							18231262	0	0	0	0	
	New World Fund Inc				1	16325129			16325129	2.18%	16325129	16325129	2.18%			0	0	16325129	2.11%							16325129	0	0	0	0	
	Nomura India Investment Fund Mother Fund				1	11970071			11970071	1.60%	11970071	11970071	1.60%			0	0	11970071	1.55%							11970071	0	0	0	0	
	Zulia Investments Pte. Ltd.				1	10161655			10161655	1.36%	10161655	10161655	1.36%			0	0	10161655	1.32%							10161655	0	0	0	0	
(e)	Foreign Portfolio Investors Category II				35	20817643			20817643	2.79%	20817643	20817643	2.79%			0	0	20817643	2.70%							20817643	0	0	0	0	
	Janchor Partners Pan-Asian Master Fund				1	8012058			8012058	1.07%	8012058	8012058	1.07%			0	0	8012058	1.04%							8012058	0	0	0	0	
(f)	Overseas Depositories (holding DRs) (balancing figure)				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(g)	Any Other (specify)				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
	Sub-Total (B)(2)				639	272336430			272336430	36.45%	272336430	272336430	36.45%			0	0	272336430	35.27%							272336430	0	0	0	0	
(3)	Central Government / State Government(s)																														
(a)	Central Government / President of India				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(b)	State Government / Governor				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
	Sub-Total (B)(3)				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(4)	Non-institutions																														
(a)	Associate companies / Subsidiaries				0	0			0	0.00%	0	0	0.00%			0	0	0	0.00%							0	0	0	0		
(b)	Directors and their relatives (excluding independent directors and nominee directors)				6	13434955			13434955	1.80%	13434955	13434955	1.80%			127826	127826	13562781	1.76%							13434955	0	0	0	0	
	Uttam Tibrewal				1	13374133			13374133	1.79%	13374133	13374133	1.79%			127826	127826	13501959	1.75%							13374133	0	0	0	0	
(c)	Key Managerial Personnel				2	147949			147949	0.02%	147949	147949	0.02%			205106	205106	353055	0.05%							147949	0	0	0	0	
(d)	Relatives of promoters (other than immediate				2	50426			50426	0.01%	50426	50426	0.01%			103081	103081	153507	0.02%							50426	0	0	0	0	

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)	Number of Shares pledged (XIV)	Non-Disposal Undertaking (XV)	Other encumbrances, if any (XVI)		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)	Number of equity shares held in dematerialized for (XVIII)	Sub-categorization of shares			Shareholder type		
											No of Voting (XIV) Rights	Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (XA)										Shareholding (No. of shares) under	Sub - category (I)			Sub - category (II)	Sub - category (III)				
																													Class eg:X		Class eg:Y	Total
	relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																															
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'				0	0			0	0.00%	0		0	0.00%			0	0	0							0		0	0	0		
(f)	Investor Education and Protection Fund (IEPF)				1	463			463	0.00%	463		463	0.00%			0	0	463							463		0	0	0		
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs				172221	19932449			19932449	2.67%	19932449		19932449	2.67%			9841085	9841085	29773534							19930033		0	0	0		
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs				167	17750116			17750116	2.38%	17750116		17750116	2.38%			14713328	14713328	32463444							17750116		0	0	0		
(i)	Non Resident Indians (NRIs)				4219	2485645			2485645	0.33%	2485645		2485645	0.33%			0	0	2485645							2485645		0	0	0		
(j)	Foreign Nationals				0	0			0	0.00%	0		0	0.00%			0	0	0							0		0	0	0		
(k)	Foreign Companies				1	2504300			2504300	0.34%	2504300		2504300	0.34%			0	0	2504300							2504300		0	0	0		
(l)	Bodies Corporate				912	5911104			5911104	0.79%	5911104		5911104	0.79%			0	0	5911104							5911079		0	0	0		
(m)	Any Other (specify)				3368	8715756			8715756	1.17%	8715756		8715756	1.17%			0	0	8715756							8715756		0	0	0		
	Trusts	Category			5	472			472	0.00%	472		472	0.00%			0	0	472							472		0	0	0		
	LLP	Category			105	1228897			1228897	0.16%	1228897		1228897	0.16%			0	0	1228897							1228897		0	0	0		
	HUF	Category			3217	628076			628076	0.08%	628076		628076	0.08%			0	0	628076							628076		0	0	0		
	Clearing Members	Category			41	6858311			6858311	0.92%	6858311		6858311	0.92%			0	0	6858311							6858311		0	0	0		
	Sub-Total (B)(4)				180899	70933163			70933163	9.49%	70933163		70933163	9.49%			24990426	24990426	95923589							70930722		0	0	0		
	(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				181631	576882306			576882306	77.21%	576882306		576882306	77.21%			24990426	24990426	601872732							576879865		0	0	0		
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																															
(1)	Custodian/DR Holder - Name of DR Holders (If Available)				0	0			0		0		0	0.00%				0								0						
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021				0	0			0	0.00%	0		0	0.00%				0	0.00%							0						
	Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)				0	0			0		0		0	0.00%				0								0						
	Total (A+B+C2)				181635	747180718	0	0	747180718	100.00%	747180718	0	747180718	100.00%	0	0	24990426	24990426	772171144	100.00%	0	0%	0	0%	0	0%	0	0%	747178277	0	0	0
	Total (A+B+C)				181635	747180718			747180718	100.00%	747180718		747180718	100.00%			24990426	24990426	772171144	100.00%						747178277		0	0	0		
Disclosure of notes on shareholding pattern																																
Textual Information(2)																																
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage																																
Disclosure of notes in case of public share holding is less than 25 percentage																																
Disclosure of notes on shareholding pattern for company remarks explanatory																																

Text Block[Textual Information\(2\)](#)

In the 'Statement showing foreign ownership limits utilised percentage', sum of Foreign Portfolio Investors, Foreign company, Foreign Institutional Investors and Non-Resident Indian (Repat) is disclosed. Non-Resident Indian (Non-Repatriable) shareholding of 0.21% being considered as domestic shareholding, is not included in the above mentioned foreign shareholding.

Table VI - Statement showing foreign ownership limits

Particular	Approved limits(%)	Limits utilized(%)
As on shareholding date	74.00%	35.71%
As on the end of previous 1st quarter	49.00%	33.79%
As on the end of previous 2nd quarter	49.00%	38.29%
As on the end of previous 3rd quarter	49.00%	39.28%
As on the end of previous 4th quarter	49.00%	40.04%