

Ref. No.: AUSFB/SEC/2026-27/9

Date: April 06, 2026

To,

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400001, Maharashtra.

Scrip Code: 974093, 974094, 974095, 974914, 974963, 975017, 975038 and 976580

Dear Sir/Madam,

Sub: Submission of details of the Compliance Officer and Registrar and Share Transfer Agent

Ref: Regulation 6(1) and 7(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Pursuant to Regulation 6(1) and 7(1) of Listing Regulations read with Guidance note for SEBI circular no. SEBI/HO/DDHS/DDHS/CIR/P/2020/231 dated November 13, 2020, details of the Compliance Officer and Registrar and Share Transfer Agent for listed debentures of AU Small Finance Bank Limited (Bank) are as under:

Compliance Officer

Name of the Compliance Officer: Mr. Manmohan Parnami
Membership No. of Compliance Officer: FCS 9999
Date of appointment of Compliance Officer: December 20, 2016

Registrar and Share Transfer Agent

Scrip Code 974093, 974094, 974095 and 976580:

Name of the RTA: MUFG Intime India Pvt Limited ("MUFG") (Formerly Known as "Link Intime India Pvt Limited")

RTA SEBI Reg. No: INR000004058

Scrip Code 974914, 974963, 975017 and 975038:

Name of the RTA: KFin Technologies Limited ("KFin")

RTA SEBI Reg. No: INR000000221

It is hereby confirmed that there has been no change of the Compliance Officer and Registrar and Share Transfer Agent during the quarter ended on March 31, 2026.

Note: The Bank is in the process of change in RTA for NCDs bearing ISINs INE519Q08160, INE519Q08178, INE519Q08186 and INE519Q08194 from KFin to MUFG. The effective date of transition and the tripartite agreement as and when entered between the Bank, KFin and MUFG will be intimated to the Stock Exchanges within stipulated timelines. However, at present KFin is acting as the RTA for the abovementioned ISINs till such time the database and electronic connectivity is shifted to MUFG.

This is for your information and record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

**Manmohan
Parnami**

Digitally signed by
Manmohan Parnami
Date: 2026.04.06 18:02:23
+05'30'

Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

investorrelations@aubank.in

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
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CIN: L36911RJ1996PLC011381