

Ref. No.: AUSFB/SEC/2018-19/400

Date: 30th September, 2018

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	Department of Corporate Services, BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
Scrip code: AUBANK	Scrip Code: 540611

Dear Sir,

Sub: Intimation for Closure of Trading Window for dealing in securities of the Bank in terms of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Intimation is given that pursuant to the SEBI (Prohibition of Insider Trading) Regulations, 2015, and the Bank's Code of conduct- Prohibition of Insider Trading Policy we hereby inform that the Trading window for dealing in securities of the AU SMALL FINANCE BANK LIMITED (the "**Bank**") shall be closed for the Directors, Designated Employees and other persons as covered under SEBI guidelines, from 1st October, 2018 till the declaration of financial results of the Bank for the quarter ended September 30, 2018 and two days thereafter.

The date of the Board Meeting of the Bank for the aforesaid purpose will be intimated to the Exchange in due course.

Kindly take note of the above.

We request you to kindly take this on your record..

**Thanking You,
FOR AU SMALL FINANCE BANK LIMITED**




**Manmohan Parnami
Company Secretary & Compliance Officer**

Registered Office

AU SMALL FINANCE BANK LIMITED
 19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India
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CIN: L36911RJ1996PLC011381
 Formerly known as **Au Financiers (India) Limited**