

Ref. No. : AUSFB/SEC/2019-20/447

Date: 23rd January, 2020

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
Symbol: AUBANK	Scrip Code: 540611

Dear Sir(s),

Sub: 1. Intimation of Mr. Deepak Jain as Chief Operating Officer (COO)

2. Mr. Vimal Jain as Chief Financial Officer (CFO)

Under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations').

In reference to the Regulation 30 of Listing Regulations, we wish to inform you that the Board of Directors of the Bank, at its meeting held on Thursday 23rd January, 2020 has approved the change in Key Managerial Personnel of the Bank to be effective from **01st April, 2020**:

1. Mr. Deepak Jain, Presently, Chief Financial Officer of Bank shall be elevated and appointed as Chief Operating Officer (COO) and shall continue as Key Managerial Personnel
2. Mr. Vimal Jain, Presently, Chief of Finance and Accounts shall be elevated and be appointed to take Charge as Chief Financial Officer (CFO) and Key Managerial Personnel

It is further confirmed that appointment of Mr. Vimal Jain is in compliance of RBI Circular Ref. No. DBR.Appt.No.BC.68/29.67.001/2016-17 dated 18th May 2017.

The above appointments have been undertaken with a view to strengthen managerial bandwidth and strategic capabilities of the Bank.

You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED




Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

Enclosures: Annexure

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**

Annexure- I

Appointment of Mr. Deepak Jain as Chief Operating Officer (COO) of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Elevation of Mr. Deepak Jain as Chief Operating Officer (COO) of the Bank, effective from 01 st April 2020.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	01 st April 2020 Not Applicable
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable



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Annexure II

Brief Profile of Mr. Deepak Jain as Chief Operating Officer (COO) of AU SMALL FINANCE BANK LIMITED

Heading	Details
Brief	Mr. Deepak Jain has been associated with the organization since May 2010 as the Chief Financial Officer. He has over 21 years of experience in the field of finance & accounts, treasury and audit and has contributed immensely towards the success of Bank. His proactive approach and belief that operations of the organisation should be robust enough in everchanging regulatory environment to ensure that control mechanism are in place and intact.
Qualification	- Chartered Accountant (CA) - January, 1998 - Institute of Chartered Accountants of India. - Bachelor of Commerce -1994 - Commerce College, Rajasthan University, Jaipur.
Experience	He has a rich experience of over 21 years out of which 11 years, as a practising Chartered accountant and thereafter 9 years with AU as Chief Financial Officer responsible for Finance & Accounts, Corporate & Security Laws, Treasury & Operations, IT, Audit, Collections, Legal, Infra and governance.
Other Key Details	He was awarded as a “Best CFO of the Year” by Institute of Chartered Accountant of India in 2018, one of the most reputed awards celebrating the success in the field of Finance & Accountancy. His eye to details, strategic inputs and ability to provide simplified solution to complex issues sets him apart. He was instrumental in transformation from existing NBFC Business to the Banking platform covering Compliance of Licensing conditions to set up Small Finance Bank and regulatory transition; Successful completion of Secondary Sale of the Company; Stake Sale of Subsidiaries; He handled the Bonus issue of the Bank; and Post Transition on the Banking business he plays a crucial role in activities pertaining to the Initial Public Offer (“IPO”) of the Bank; He directly reports to Managing Director & CEO, Audit Committee and Board of the Bank; part of critical committees including ALCO, Investment, Wilful Defaulter, Credit Risk & NPA management committee and IT Steering Committee.



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Appointment of Mr. Vimal Jain as Chief Financial Officer (CFO) of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Appointment of Mr. Vimal Jain as Chief Financial Officer (CFO) of the Bank, effective from 01 st April 2020.
2.	Date of Appointment/cessation (as applicable) Terms of Appointment	01 st April 2020 Not Applicable
3.	Brief Profile (in case of Appointment)	As per Annexure II
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable



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Annexure II

Brief Profile of Mr. Vimal Jain as Chief Financial Officer (CFO) of AU SMALL FINANCE BANK LIMITED

Heading	Details
Brief	Mr. Vimal Jain is a qualified Chartered Accountant and has been associated with the organization since Jan-2010 as the Chief of Finance, Accounts and Budgeting.
Qualification	Chartered Accountant - The Institute of Chartered Accountants of India. B. Com from Commerce College (Rajasthan University)
Experience	He has more than 15 years of rich experience and widely considered an authority for Business Planning, Budgeting and Finance & Accounts. He worked closely with MD & CEO for 5 years in executing the strategic initiatives and projects. He also worked with other Companies Gera Developments, Videocon D2h and other reputed Corporates.
Other Key Details	Mr. Vimal Jain has played a vital role in establishing key departments in the Bank like Accounts, Finance, Budget and Administration and his contribution towards the expedition of making the Bank was impeccable. With his perseverance approach to life and passion towards work, he built a strong dedicated team around Accounts, Finance, Business planning & strategic Budgeting in the organization to build a right eco-system. His in-depth understanding of the business, synergic efforts, balanced negotiation skills led the Bank to achieve operational excellence and consistency in performance.



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