

Ref. No. AUSFB/SEC/2019-20/414
Date: 31st December, 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra	Department of Corporate Services, BSE Limited, PhirozJeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra
Symbol: AUBANK	Scrip Code: 540611

Dear Sir,

Sub: Intimation for Closure of Trading Window for dealing in securities of the Bank in terms of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Intimation is given that pursuant to the SEBI (Prohibition of Insider Trading) Regulations, 2015, and the Bank Code of Conduct - Prohibition of Insider Trading Policy, we hereby inform that the Trading window for dealing in securities of the AU SMALL FINANCE BANK LIMITED (the "**Bank**") shall be closed for the Directors, Designated Employees and other persons as covered under said SEBI Regulations, from 1st January, 2020 till the expiry of 48 hours after the closure of the Board meeting of the Bank for the quarter ended 31st December, 2019 and trading window shall re-open thereafter.

The date of the Board Meeting of the Bank for the aforesaid purpose will be intimated to the Exchange in due course.

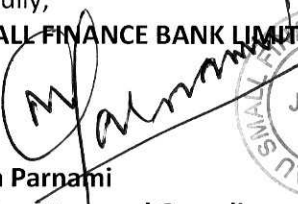
Kindly take note of the above.

We request you to kindly take this on your record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED


Manmohan Parnami
Company Secretary and Compliance Officer



SEARCHABLE FORMAT

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