

Ref. No.: AUSFB/SEC/2020-21/226

Date: 25th September, 2020

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
NSE Symbol: AUBANK	BSE Scrip Code: 540611

Dear Sir(s),

Sub: Intimation of allotment of Equity Shares pursuant to exercise of Employees Stock Options under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We hereby inform that the Bank has allotted **35,567** (Thirty Five Thousand Five Hundred Sixty Seven) Equity shares of face value of Rs. 10/- each) on 25th September, 2020 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2015 Plan A	1,114
ESOP Scheme 2015 Plan B	1,458
ESOP Scheme 2016	17,052
ESOP Scheme 2018	15,943
Total	35,567

The paid-up equity share capital of the Bank has increased from Rs. 306,49,97,620 consisting of 30,64,99,762 equity shares having a face value of Rs. 10/- each to Rs. 306,53,53,290 consisting of 30,65,35,329 equity shares having a face value of Rs. 10/- each.

Kindly take above on your record.

Thanking You,

Yours faithfully,

FOR AU SMALL FINANCE BANK LIMITED



Manmohan Parnami
Company Secretary & Compliance Officer

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

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CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**