

Ref. No.: AUSFB/SEC/2020-21/180

Date: 25th August, 2020

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
NSE Symbol: AUBANK	BSE Scrip Code: 540611

Dear Sir(s),

Sub: Intimation of allotment of Equity Shares pursuant to exercise of Employees Stock Options under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We hereby inform that the Bank has allotted **41,085** (Forty One Thousand Eighty Five) Equity shares of face value of Rs. 10/- each) on 25th August, 2020 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2015 Plan B	4,191
ESOP Scheme 2016	30,449
ESOP Scheme 2018	6,445
Total	41,085

The paid-up equity share capital of the Bank has increased from Rs. 306,45,86,770 consisting of 30,64,58,677 equity shares having a face value of Rs. 10/- each to Rs. 306,49,97,620 consisting of 30,64,99,762 equity shares having a face value of Rs. 10/- each.

Kindly take above on your record.

**Thanking You,
Yours faithfully,
FOR AU SMALL FINANCE BANK LIMITED**




**Manmohan Parnami
Company Secretary & Compliance Officer**

Registered Office

AU SMALL FINANCE BANK LIMITED
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CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**