

Ref. No. AUSFB/SEC/2020-21/141

Date: 22nd July 2020

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra Symbol: AUBANK	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra Scrip Code: 540611
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Dear Sir/Madam,

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations')

In reference to voting results submitted to Stock Exchange(s) vide letter Ref. No. AUSFB/SEC/2020-21/140, dated 22nd July, 2020, this is to inform that, the shareholders of the Bank at its Twenty Fifth Annual General meeting ("**25th AGM**") held on 21st July 2020 through Video Conferencing ("**VC**")/ Other Audio Visual Means ("**OAVM**"), have approved the appointment of Mr. Pushpinder Singh (DIN: 08496066), Mr. Mankal Shankar Sriram (DIN: 00588922) and Mr. Kannan Gopalaraghavan Vellur (V G Kannan) (DIN: 03443982) as Independent Directors on the Board of the Bank in compliance of applicable provisions of Companies Act, 2013 and Listing Regulations.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09th September 2015 are given as per enclosed Annexure.

You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED



Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

Enclosures: As above

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,

Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**



Annexure I

Appointment of Mr. Mankal Shankar Sriram, Mr. Pushpinder Singh and Mr. V G Kannan as Independent Directors on the Board of AU SMALL FINANCE BANK LIMITED pursuant to the approval of shareholders in 25th AGM.

Sr. No.	Details of events that need to be provided	Information of such event(s)		
		Mr. Mankal Shankar Sriram	Mr. Pushpinder Singh	Mr. V G Kannan
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Appointment of Mr. Mankal Shankar Sriram (DIN: 00588922) as Independent Director has been approved by the Shareholders at 25 th AGM held on Tuesday, 21 st July, 2020 on Board of the Bank.	Appointment of Mr. Pushpinder Singh (DIN:08496066) as Independent Director has been approved by the Shareholders at 25 th AGM held on Tuesday, 21 st July, 2020 on Board of the Bank.	Appointment of Mr. V G Kannan (DIN: 03443982) as Independent Director has been approved by the Shareholders at 25 th AGM held on Tuesday, 21 st July, 2020 on Board of the Bank.
2.	Date of Appointment/ cessation (as applicable) Terms of Appointment	Appointed for a period of three years w.e.f. 21 st October 2019.	Appointed for a period of three years w.e.f. 21 st October 2019.	Appointed for a period of three years w.e.f. 22 nd January 2020.

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info@aubank.in | www.aubank.in

3.	Brief Profile (in case of Appointment)	• Mr. M S Sriram joined the Board the Bank in October 2019. He has more than 30 years of experience in Financial Inclusion & Microfinance, Rural Economy, Co-operatives, and Agriculture Finance. • Presently, He is Chairperson of the Centre for Public Policy & Faculty member at IIM Bangalore (IIMB). He is also a Distinguished Fellow at the Institute for Development of Research in Banking Technology, Hyderabad. Prior to this, he was Professor of Finance and Accounting and the ICICI Bank Lalita D Gupte Chair Professor of Microfinance at the Indian Institute of Management, Ahmedabad. • He is a Director on Board of IDMC, NDDDB Dairy Services and other institutions. He also held position as a shareholder director on the Board of Union Bank of India for a period of 6 years. • He has authored many books including annual 'Inclusive Finance India Report' for the years 2015, 2016 and 2017.	• Mr. Pushpinder Singh joined the Board of the Bank in October 2019. He is a Banking Technology expert with over 35 years of rich experience. In his career, he focused on technological advancement and implementing IT as key enabler in the Banking sector. • With humble beginning at Bank of India, his career progressed, and promoted as Chief Information Officer (CIO) where he was responsible for IT infrastructure, implementation of various IT systems and projects. His valuable contribution to the IT Sector was duly acknowledged and he was also awarded with CIO Masters award in year 2013. He was associated with National Payment Corporation of India (NPCI) as advisor on FI and new Business till 2017. • At NPCI, he headed as product and business development lead for AePS product using Aadhaar authentication for payments using Micro ATMs. Presently, he is Member of the IT Strategy Committee of the Board of	Mr. V G Kannan joined the Board of the Bank in January 2020. He is a career banker with over 38 years of experience in the Banking & Financial Services Space and has held several leadership positions in the Sector. Mr. Kannan has significant experience in Banking & Financial Sector, especially in credit & risk management, Insurance, Capital markets and Treasury & Fund Management. Mr. Kannan is acknowledged as an authority in Credit, Treasury, Risk and Investment Management in the Banking sector. He handled several leadership positions with State Bank of India (SBI), its subsidiaries & group companies as Managing Director of SBI. Thereafter, Mr. Kannan was Chief Executive of Indian Bank's Association (IBA) where he led the development of sound and progressive banking principles and working closely with all banks to find resolutions to various systemic & operational issues by introducing new systems or services in the Banking industry. He was on the governing council of Indian Institute of Banking & Finance (IIBF). He was also Chairman of an RBI appointed committee to examine interchange in ATM services and served as a member of another
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	Qualification: Fellow- IIM Bangalore, PGDRM – IRMA, Anand, Gujarat He was Director in Union Bank of India. Faculty of the Institute of Rural Management Anand (IRMA). Visiting Professor at Indian Institute of Management, Bangalore and many other Institutions. Key Positions Held: • Served on several committees set up by the Government of India, RBI and NABARD. • Member at Governing Board of National Institute of Bank Management. • First Vice-president (Finance and Information) at BASIX. • Treasurer Centre for Budget and Policy Studies and Member, Board of Governors • Trustee of Pratham Books, Dastkar Andhra and Sanket.	SIDBI for advising on IT strategic matters. Qualification: B.Sc. and C.A.I.I.B – 1 • Engaged in New Initiatives and IT implementation programme since 1986 during his career with Bank of India. • Rich Operational Banking Experience in all areas • Experience in Banking as well as in depth knowledge of Technology required for banking and the unique skill to translate this into real-time use for the Bank by implementing various IT projects • Played key role in revolution of Internet Banking and CBS by taking some of the major initiatives. Worked with National Payment Corporation of India (NPCI) from 31.01.2014 to 31.07.2017 as advisor on FI and new Business.	RBI committee on secondary market for corporate loans. Qualification: ➤ University of Madras - (M.B.A.) - (1977 - 1980) ➤ Madurai Kamaraj University - (B.B.A.) (1974 – 1977) ➤ University of Pennsylvania - Organizational Leadership Executive program He has held Key Positions as: ➤ MD , State Bank of India ➤ MD & CEO, SBI Capital Markets ➤ President & Chief Operating officer, SBI Capital Markets Ltd. His area of Expertise is Banking, Treasury Management, Risk Management, Financial Services including Insurance & Capital Markets, Small Scale Industry and Economy. Held following leadership position: ➤ Director - SBI General Insurance ➤ Director - SBI Life Insurance ➤ Director - State Bank of Mysore ➤ Director - State Bank of Hyderabad Chief Executive - Indian Banks' Association
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			Worked as product development and business development lead for AePS product of NPCI using Aadhaar authentication for payments using Micro ATMs Member at Governing Board of National Institute of Bank Management.	
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Mankal Shankar Sriram is not related to any Director of the Bank.	Mr. Pushpinder Singh is not related to any Director of the Bank.	Mr. V G Kannan is not related to any of the Director of the Bank.

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