

Ref. No.: AUSFB/SEC/2020-21/416

Date: 9th March 2021

To,

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra Scrip Code: 540611	National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400051 Maharashtra Symbol: AUBANK
---	--

Dear Sir/Madam,

Subject: Intimation for Closure of Trading Window for dealing in securities of the AU Small Finance Bank Limited ('the Bank') in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ('PIT Regulations').

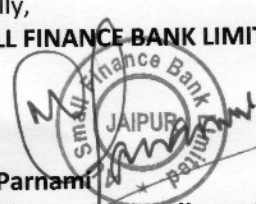
Pursuant to the PIT Regulations and the Bank's Code of Conduct - Prohibition of Insider Trading Policy, we hereby inform that, the Trading window for dealing in securities of the Bank shall be closed for the Designated Persons and other connected persons as covered under said PIT Regulations, from 9th March, 2021 till 17th March, 2021 i.e. 48 hours from the conclusion of the meeting of Capital Raising Committee to be held on March 15, 2021 for the purpose of the Qualified Institutions Placement ("QIP") and trading window shall re-open thereafter.

We request you to kindly take this on your record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED


Manmohan Parnami
Company Secretary & Compliance Officer
Membership No.: F9999

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**

SEARCHABLE FORMAT

Ref. No.: AUSFB/SEC/2020-21/416

Date: 9th March 2021

To,

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra Scrip Code: 540611	National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400051 Maharashtra Symbol: AUBANK
---	--

Dear Sir/Madam,

Subject: Intimation for Closure of Trading Window for dealing in securities of the AU Small Finance Bank Limited ('the Bank') in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ('PIT Regulations').

Pursuant to the PIT Regulations and the Bank's Code of Conduct - Prohibition of Insider Trading Policy, we hereby inform that, the Trading window for dealing in securities of the Bank shall be closed for the Designated Persons and other connected persons as covered under said PIT Regulations, from 9th March, 2021 till 17th March, 2021 i.e. 48 hours from the conclusion of the meeting of Capital Raising Committee to be held on March 15, 2021 for the purpose of the Qualified Institutions Placement ("QIP") and trading window shall re-open thereafter.

We request you to kindly take this on your record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary & Compliance Officer
Membership No.: F9999