Ref. No.: AUSFB/SEC/2019-20/72
Date: 15th May, 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol : **AUBANK**	Department of Corporate Services, The Bombay Stock Exchange Limited, Phiroz Jeejee bhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: **540611**

Dear Sir/Madam,

Sub: Disclosure of Related Party Transactions in compliance to Regulation 23(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

In reference to the compliance of disclosure of Related Party Transactions in terms of Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith note on Related Party Transactions for year ended 31st March 2019 drawn in accordance with applicable accounting standards.

This for your records and appropriate dissemination.

Thanking You
For AU SMALL FINANCE BANK LIMITED

AU SMALL FINANCE BANK LIMITED JAIPUR

MANMOHAN PARNAMI
COMPANY SECRETARY AND COMPLIANCE OFFICER

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as **Au Financiers (India) Limited**

AU SMALL FINANCE BANK LIMITED

Notes forming part of the financial statements for the Year Ended March 31, 2019

Related Party Disclosures:

A. Related Parties :

Key management personnel (KMP)	Mr. Sanjay Agarwal, Managing Director and CEO Mr. Uttam Tibrewal, Whole Time Director Mr. Deepak Jain, Chief Financial Officer Mr. Manmohan Parnami, Company Secretary
Enterprises over which KMP / relatives of KMP have control / significant influence	AU Foundation (w.e.f. July 19, 2018) BIFCO Private Limited Deepak Tarachand HUF DS Speciality Product LLP Durga Automation & Control Private Limited (w.e.f. March 12, 2019) Durga Bearings (Mumbai) Private Limited Jyoti Sanjay Family Trust Khushi Buildhome Private Limited M Power Micro Finance Private Limited (upto July 19, 2017) MYS Holdings Private Limited Namokar Mining Industries LLP Shivgyan Mines Minerals Private Limited Shree Dham Mining Industries LLP Speciality Stones Private Limited
Relatives of KMP	Ms. Jyoti Agarwal Mr. Chiranji Lal Agarwal Ms. Shakuntala Agarwal Mr. Yuvraj Agarwal Ms. Mallika Agarwal Mr. Ajay Agarwal Ms. Pinki Agarwal Ms. Pooja Tibrewal Mr. Devi Prasad Tibrewal Ms. Geeta Devi Tibrewal Mr. Vaibhav Tibrewal Mr. Subhash Tibrewal Ms. Manju Agarwal Ms. Uma Bagaria Ms. Suman Sultania Ms. Shweta Jain Ms, Santosh Jain Mr. Devansh Jain Ms. Khushi Jain Ms. Kavita Goyal Ms. Sunita Agrawal Ms Anita Agarwal Ms.Bhawna Parnami Ms. Rajkumari Sukhija Ms. Asmita Parnami Mr. Mahinder Parnami Ms. Kavita Sukhija Ms. Shikha Taneja

AU SMALL FINANCE BANK LIMITED • JAIPUR

AU SMALL FINANCE BANK LIMITED

Notes forming part of the financial statements for the Year Ended March 31, 2019

B. The Bank's related parties balances and transactions for the year ended are summarised as follows:

1. Deposits

(₹ in Crore)

Particular	As at March 31, 2019		As at March 31, 2018	
	Closing balance	Highest balance during the year	Closing balance	Highest balance during the year
Key Management Personnel (KMP)	3.26	35.43	3.95	18.24
Relatives of KMP	10.96	26.22	8.47	18.68
Enterprise over which KMP/Relative of KMP have control / significant influence	0.22	3.51	0.08	0.38

2. Interest Paid on Deposits

(₹ in Crore)

Particular	Year ended March 31, 2019	Year ended March 31, 2018
Key Management Personnel (KMP)	0.27	0.21
Relatives of KMP	0.63	0.33
Enterprise over which KMP/Relative of KMP have control / significant influence	0.00	-

Income including miscellaneous charges received from all the related parties are less than ₹ 50,000/- hence not shown separately.

3. Remuneration

(₹ in Crore)

Particular	Year ended March 31, 2019	Year ended March 31, 2018
Mr. Sanjay Agarwal, Managing Director and CEO	1.69	1.47
Mr. Uttam Tibrewal, Executive Director	1.47	1.28
Mr. Deepak Jain, Chief Financial Officer	1.61	1.98
Mr. Manmohan Parnami, Company Secretary	0.47	0.45
Total	**5.24**	**5.18**

1. Remuneration paid excludes value of employee stock options exercised during the year.
2. The remuneration to the key managerial personnel does not include the provisions made for gratuity and leave benefits, as they are determined on an actuarial basis for the Bank as a whole.

4. Loans given and repayment

There is no loan related transaction with the related parties during the year (previous year : Nil).

5. Other transaction

(₹ in Crore)

Name of related party	Nature of transactions	Year ended March 31, 2019	Year ended March 31, 2018
Mr. Uttam Tibrewal	Issue of Equity Shares of the Bank	2.57	1.71
Mr. Deepak Jain	Issue of Equity Shares of the Bank	0.15	0.10
Mr. Manmohan Parnami	Issue of Equity Shares of the Bank	0.02	0.01
Mr. Sanjay Agarwal	Personal guarantee on behalf of Bank	-	1.05
Mr. Sanjay Agarwal & Mrs. Jyoti Agarwal	Personal guarantee on behalf of Bank	-	12.60
AU Foundation	Donation	0.77	-

For AU Small Finance Bank Limited

Deepak Jain
Chief Financial Officer

For AU Small Finance Bank Limited

Manmohan Parnami
Company Secretary

AU SMALL FINANCE BANK LIMITED JAIPUR

SEARCHABLE FORMAT

Ref. No.: AUSFB/SEC/2019-20/72
Date: 15th May, 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol : **AUBANK**	Department of Corporate Services, The Bombay Stock Exchange Limited, Phiroz Jeejee bhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: **540611**

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Thanking You
For AU SMALL FINANCE BANK LIMITED

Sd/-
MANMOHAN PARNAMI
COMPANY SECRETARY AND COMPLIANCE OFFICER

Encl: As above

Registered Office
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