

Ref. No.: AUSFB/SEC/2019-20/348

Date: 19th November 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	Department of Corporate Services, The Bombay Stock Exchange Limited, Phiroz Jeejee bhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

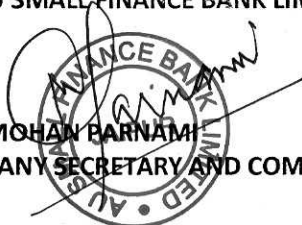
Sub: Half yearly Disclosure of Related Party Transactions for the period ended on 30th September 2019 in compliance to Regulation 23(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

In reference to the compliance of Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith half yearly disclosure on Related Party Transactions in accordance with applicable accounting standards for the period ended 30th September 2019.

This is for your records and appropriate dissemination.

Thanking You

For AU SMALL FINANCE BANK LIMITED


MANMOHAN BARNANI
 COMPANY SECRETARY AND COMPLIANCE OFFICER

Encl: Half yearly disclosure on Related Party Transactions

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
 Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381

Formerly known as **Au Financiers (India) Limited**

AU SMALL FINANCE BANK LIMITED

Related Party Disclosures:

A. Related Parties :

Key management personnel (KMP)	Mr. Sanjay Agarwal, Managing Director and CEO Mr. Uttam Tibrewal, Whole Time Director Mr. Deepak Jain, Chief Financial Officer Mr. Manmohan Parnami, Company Secretary
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Enterprises over which KMP / relatives of KMP have control / significant influence	Abhiyan Bharat Foundation AU Bank Employees Group Gratuity Trust Au Financiers Employees Welfare Trust AU Foundation BIFCO Private Limited Deepak Tarachand HUF DS Speciality Product LLP Durga Automation & Control Private Limited Durga Bearings (Mumbai) Private Limited Jyoti Sanjay Family Trust Khushi Buildhome Private Limited Manmohan Parnami HUF MYS Holdings Private Limited Namokar Mining Industries LLP Sanjay And Jyoti Agarwal Foundation Shivgyan Mines Minerals Private Limited Shree Dham Mining Industries LLP Speciality Stones Private Limited
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Relatives of KMP

Ms. Jyoti Agarwal
Mr. Chiranjil Lal Agarwal
Ms. Shakuntala Agarwal
Mr. Yuvraj Agarwal
Ms. Mallika Agarwal
Mr. Ajay Agarwal
Ms. Pinki Agarwal
Ms. Pooja Tibrewal
Mr. Devi Prasad Tibrewal
Ms. Geeta Devi Tibrewal
Mr. Vaibhav Tibrewal
Mr. Subhash Tibrewal
Ms. Manju Agarwal
Ms. Uma Bagaria
Ms. Suman Sultania
Ms. Shweta Jain
Ms. Santosh Jain
Mr. Devansh Jain
Ms. Khushi Jain
Ms. Kavita Goyal
Ms. Sunita Agrawal
Ms. Anita Agarwal
Ms. Bhawna Parnami
Ms. Rajkumari Sukhija
Ms. Asmita Parnami
Mr. Mahinder Parnami
Ms. Kavita Sukhija
Ms. Shikha Taneja

[Signature]



[Signature]

B. The Bank's related parties balances and transactions for the Half year ended are summarised as follows:

1. Deposits

(₹ in Crore)

Particular	As at Sep 30, 2019	
	Closing balance	Highest balance during the Half year
Key Management Personnel (KMP)	7.19	12.94
Relatives of KMP	16.86	21.92
Enterprise over which KMP/Relative of KMP have control / significant influence	0.82	7.93

2. Interest Paid on Deposits

(₹ in Crore)

Particular	For the Half year ended Sep 30, 2019
Key Management Personnel (KMP)	0.18
Relatives of KMP	0.54
Enterprise over which KMP/Relative of KMP have control / significant influence	0.01

Income including miscellaneous charges received from all the related parties are less than ₹ 50,000/- hence not shown separately.

3. Remuneration

(₹ in Crore)

Particular	For the Half year ended
Mr. Sanjay Agarwal, Managing Director and CEO	1.38
Mr. Uttam Tibrewal, Executive Director	2.59
Mr. Deepak Jain, Chief Financial Officer	1.05
Mr. Manmohan Parnami, Company Secretary	0.27
Total	5.30

1. Remuneration paid excludes value of employee stock options exercised during the period.

2. The remuneration to the key managerial personnel does not include the provisions made for gratuity and leave benefits, as they are determined on an actuarial basis for the Bank as a whole.

4. Loans given and repayment

There is no loan related transaction with the related parties during the period.

5. Other transaction

(₹ in Crore)

Name / Type of related party	Nature of transactions	For the Half year ended Sep 30, 2019
Key Management Personnel (KMP)	Dividend paid	1.18
Relatives of KMP	Dividend paid	2.32
Enterprise over which KMP/Relative of KMP have control / significant influence	Dividend paid	0.73
AU Foundation	Donation	1.82

For AU Small Finance Bank Limited

Deepak Jain
Chief Financial Officer



For AU Small Finance Bank Limited

Manmohan Parnami
Company Secretary

SEARCHABLE FORMAT

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For AU SMALL FINANCE BANK LIMITED

MANMOHAN PARNAMI
COMPANY SECRETARY AND COMPLIANCE OFFICER

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