

Application for Export Documents against advance remittance
(On letter head of the company)

To,
The Manager,
AU Small Finance Bank Ltd
Branch address _____

Date: _____

Sub: Submission of Export documents against advance remittance received for release of EDF/ shipping bill /SOFTEX form.

Bill Amount: _____

Tenor: _____

We enclose herewith the following Export Documents

Document	invoice	Transport Doc	EDF/S.B/SOFTEX FORM
Original			
Duplicate			TO BE SUBMITTED IN ORIGINAL ONLY
Inward remittance Reference Number			
Name of the remitter			
Name of the buyer			
Shipping Bill/SOFTEX Form no, and Date			
Shipping Bill Amount			
AD CODE			
Port Code			
Date of Transport Doc. (AWB/BL/LR)			
We are eligible to export the above mentioned goods under the current Foreign Trade policy in place and our LE			
Account no for Debit of charges			

Declaration for AD Code Transfer-

1. We do not have any outstanding export credit against the said invoice with the bank mentioned in the shipping bill

2. We shall ensure that the other bank transfers the AD code to that of AU Small Finance Bank on the EDPMS, within 7 days from the date of lodging documents with AU Small Finance Bank.

Declaration-EDPMS "We confirm that the above mentioned BOE/Shipping Bill has not been utilized with any other AD Category Bank."

Declaration-Direct Dispatch- we dispatch the export documents direct to the overseas buyer as per their requirement. This is done due to our business teams as per buyer's requirement.

Undertaking for reduction in Involves-Invoice No-

- 1.The reduction does not of invoice value 25 percent of invoice value.
2. It does not relate to export of commodities subject to floor price stipulations
- 3.We are not on the exporters caution list of the Reserve Bank and
- 4.We hereby declare that there is no export incentive availed of, if any
- 5.Reason for reduction.

DECLARATION-CUM UNDERTAKING

I/We hereby declare that the above transaction does not involve and is not designed for the purpose of the any contravention or evasion of the provisions of the FEMA 1999 or of any rules, regulation, notification, direction, or order made there under. I/we hereby undertake that goods exported are not banned under EXIM policy. I/We also hereby agree and undertake to give such information/documents as will reasonably satisfy you about this transaction in terms of the above declaration. I/We also understand that if I/We refuse to comply with any such requirements or make only unsatisfactory compliance therewith, the bank shall refuse in writing to undertake the transaction and shall if it has reason to believe that any contravention/evasion is contemplated by me/us report the matter to Reserve Bank of India. I/We further declare that the undersigned has/have the authority to give this declaration and undertaking on behalf of the firm/ the company.

Sub: OFAC Declaration pertaining to Cross Border Trade Transactions (Inward/ outward)

We _____ ("the Customer") hereby represent to AU Small Finance Bank Limited ("the Bank") that neither the Customer nor any other person benefiting in any capacity, directly or indirectly, from or in connection with the Transaction is a Specially Designated National (SDN) and/or otherwise sanctioned under the sanctions (and related laws) promulgated by the United States of America (including its Office of Foreign Assets Control (OFAC)), India or by Reserve Bank of India or any other regulatory authority), United Nations, European Union, and/or any other country (collectively, the "Sanctions") The Customer hereby agrees to indemnify and hold harmless the Bank and each of its officer, direction, employees, agents, attorneys, to the fullest extent permitted by applicable Law, for all liabilities, obligations, losses, damages, penalties, claims, actions, judgments, costs, expenses and disbursements incurred by any of them as a result of, or arising out of or in any way related to, or by reason of, litigation or other proceeding (whether or not the Bank is a party thereto) related to the entering into and/or performance of the Transaction (including due to claims by a third party), and/or as a result of any breach by the Customer of its representations and undertakings as contained herein.

We also that there is no party/shipment/any link with Crimea region of Ukraine.

Yours Faithfully

Date: _____

Authorized Signatory

Place: _____

Company Stamp/Seal